

1. PROJECTIVITY OF ABELIAN VARIETIES

**Proposition 1.** *For any  $L \in \text{Pic}(X)$  the set  $K(L) = \ker(\phi_L)$  is a Zariski closed subset of  $X$ .*

*Proof.* Apply the Seesaw theorem ([Mu] p.54 Corollary 6) to the line bundle  $m^*L \otimes p_2^*L^{-1}$  on  $X \times X$ , where  $m$  is the addition map and  $p_2$  is the second projection. This way we obtain that the set

$$S = \{x \in X \mid m^*L \otimes p_2^*L^{-1}|_{\{x\} \times X} \text{ is trivial}\}$$

is Zariski closed. Now we will show that  $S = K(L)$ .

To see this, first note that if  $i$  denotes the inclusion map then the compositions  $\{x\} \times X \xrightarrow{i} X \times X \xrightarrow{m} X$  and  $\{x\} \times X \xrightarrow{i} X \times X \xrightarrow{p_2} X$  are equal to  $t_x$  and  $1_X$ , respectively (if we make the obvious identification  $\{x\} \times X \cong X$ ). Hence

$$m^*L \otimes p_2^*L^{-1}|_{\{x\} \times X} = i^*(m^*L \otimes p_2^*L^{-1}) \cong (m \circ i)^*L \otimes (p_2 \circ i)^*L^{-1} \cong t_x^*L \otimes L^{-1}.$$

Therefore  $S = K(L)$ .  $\square$

**Proposition 2.** *Let  $D$  be an effective divisor on an abelian variety  $X$  and  $L = \mathcal{O}_X(D)$ . Then the following conditions are equivalent.*

- i) The subgroup  $H = \{x \in X \mid t_x^*D = D\}$  of  $X$  is finite (Here equality means really the equality of divisors, not just linear equivalence);*
- ii)  $K(L)$  is finite;*
- iii) The linear system  $|2D|$  is base point free and defines a finite morphism  $\varphi: X \rightarrow \mathbb{P}^r$ ;*
- iv)  $L$  is an ample line bundle.*

*Proof.* **iii)  $\Rightarrow$  iv):** By the definition of  $\varphi$ , we have  $\varphi^*\mathcal{O}(1) \cong L^{\otimes 2}$ . We will prove that it is ample, then clearly it follows that  $L$  is ample as well. So we need to show that given a coherent sheaf  $\mathcal{F}$  on  $X$  there exists  $n \in \mathbb{Z}$  such that  $\mathcal{F} \otimes \varphi^*\mathcal{O}(1)^{\otimes n}$  is globally generated. Since  $\varphi$  is finite  $\varphi_*\mathcal{F}$  is a coherent sheaf (Ex 5.5 in [Ha]) and since  $\mathcal{O}(1)$  is ample on  $\mathbb{P}^r$  there exists  $n \in \mathbb{Z}$  such that we have a surjection

$$\mathcal{O}^{\oplus I} \rightarrow \varphi_*\mathcal{F} \otimes \mathcal{O}(1)^{\otimes n}.$$

Pulling this morphism back, we obtain the surjection

$$\mathcal{O}_X^{\oplus I} \rightarrow \varphi^*\varphi_*\mathcal{F} \otimes \varphi^*\mathcal{O}(1)^{\otimes n}.$$

Finally since  $\varphi$  is affine, the natural map  $\varphi^*\varphi_*\mathcal{F} \rightarrow \mathcal{F}$  is a surjection (reduce to the affine case and use that  $\mathcal{F}$  is coherent) we obtain that  $\mathcal{F} \otimes \varphi^*\mathcal{O}(1)^{\otimes n}$  is globally generated.

**iv)  $\Rightarrow$  ii):** Assume that  $K(L)$  is infinite. Let  $Y$  be the connected component of 0. Then  $Y$  is an abelian subvariety of  $X$  of positive dimension (One can show using connectedness that  $Y$  is closed under the group operation.  $Y$  is also irreducible, since the translation map of closed points induces isomorphisms of local rings at closed points).

The restriction  $L_Y$  of  $L$  to  $Y$  is again ample. (In the proof of **iii)  $\Rightarrow$  iv)** we essentially showed that the pullback of an ample bundle along a finite morphism is again ample. The restriction is pulling back along a closed immersion and closed immersions are clearly finite morphisms.)

Consider now the line bundle  $M = m^*L_Y \otimes p_1^*L_Y^{-1} \otimes p_2^*L_Y^{-1}$ , where  $m: Y \times Y \rightarrow Y$  is the addition map and  $p_1, p_2$  are projections. Since for any  $y \in Y$ , the pullback

$t_y^* L_Y \cong L_Y$ , the restriction  $M|_{\{y\} \times Y}$  is trivial. Then by the Seesaw principle,  $M \cong p_1^* R$  for some  $R \in \text{Pic}(Y)$ . By the same argument, the restriction  $p_1^* R|_{Y \times \{y\}}$  is also trivial, but this is nothing but the pullback along the composition map

$$Y \times \{y\} \xrightarrow{i} Y \times Y \xrightarrow{p_1} Y,$$

which is an isomorphism. Therefore  $R$  and hence  $M$  is trivial.

Pulling  $M$  back along the map

$$\begin{aligned} Y &\rightarrow Y \times Y \\ y &\mapsto (y, -y), \end{aligned}$$

we obtain that  $L_Y \otimes (-1_Y)^* L_Y$  is trivial on  $Y$ . Since  $-1_Y$  is an automorphism of  $Y$ , the pullback  $(-1_Y)^* L_Y$  and hence  $L_Y \otimes (-1_Y)^* L_Y$  is also ample. However, the trivial bundle is ample only if the dimension is zero. Since  $\dim Y > 0$ , this is a contradiction.

**ii)  $\Rightarrow$  i) :** Trivial since  $H \subseteq K(L)$ .

**i)  $\Rightarrow$  iii) :** By the theorem of the square,  $t_x^*(D) + t_{-x}^*(D) \in |2D|$ . For any  $u \in X$ , we can find an  $x \in X$  such that  $u \pm x \notin \text{Supp}(D)$  (because the complement of the set of such  $x$ 's lie in  $t_u^*(D) \cup t_{-u}^*((-1_X)^* D)$ , which is of codimension one), equivalently  $u \notin \text{Supp}(t_x^*(D) + t_{-x}^*(D))$ . Therefore, the linear system  $|2D|$  is base point free and we have a morphism  $\varphi: X \rightarrow \mathbb{P}^r$ .

Now assume that  $\varphi$  is not finite. Since for  $\varphi$ , being finite or quasi-finite are equivalent ([GW] p.358 Cor.12.89), that means that there exists a fiber  $\varphi^{-1}(q)$  for some  $q \in \mathbb{P}^r$  such that  $\dim \varphi^{-1}(q) \geq 1$ . Pick an irreducible curve  $C \subseteq \varphi^{-1}(q)$ . Since the map  $\varphi$  restricted to  $C$  is constant, that means that the linear system  $|2D|$  restricts trivially to  $C$ . This in turn means that for all  $E \in |2D|$ , either  $C \cap E = \emptyset$  or  $C \subseteq E$ .

We need a technical lemma to finish the proof of the theorem.

**Lemma 3.** *Let  $E$  be an effective irreducible divisor on  $X$  such that  $C \cap E = \emptyset$ . Then  $t_{x_i - x_j}^*(E) = E$  for all  $x_i, x_j \in C$ .*

*Proof.* Let  $L = \mathcal{O}_X(E)$  and consider the bundle  $m^* L$  on  $X \times C$ ,  $m$  being the restriction of the addition map  $X \times X \rightarrow X$ . The first projection  $X \times C \xrightarrow{p_1} X$  is obviously flat. Therefore the degree of the bundle  $m^* L|_{\{x\} \times C}$  is the same for all  $x \in X$ . Clearly,  $m^* L|_{\{x\} \times C} \cong t_x^* L|_C$  and since  $t_0^* L|_C \cong L|_C$  is trivial (as  $C \cap E = \emptyset$ ), we conclude that for all  $x \in X$ ,  $t_x^* L|_C$  has degree zero. Since  $t_x^* L|_C$  is effective (as  $E$  is effective), that means  $t_x^* L|_C$  is trivial for all  $x \in X$ .

It follows that for all  $x \in X$ , either  $t_x(C) \cap E = \emptyset$  or  $t_x(C) \subseteq E$ . Let now  $x_1, x_2 \in C$  and  $y \in E$ . Then  $t_{y-x_1}(C) \cap E \neq \emptyset$  as they meet at  $y$ , therefore  $t_{y-x_1}(C) \subseteq E$  and hence  $y - x_1 + x_2 \in E$ . This proves the lemma.  $\square$

Now let  $x \in X$  such that  $C \cap t_x^* D + t_{-x}^* D = \emptyset$  (To see that such an element exists, let  $u \in C$  and then find  $x \in X$  such that  $u \notin t_x^*(D) + t_{-x}^*(D)$ . Since  $C$  cannot be contained in  $t_x^*(D) + t_{-x}^*(D)$ , they should be disjoint). So we have that  $t_x(C) \cap D = \emptyset$ . In particular, we have  $t_x(C) \cap D_i = \emptyset$ , where  $D = \sum n_i D_i$  is the decomposition into irreducible divisors. By lemma, we conclude that the set  $H$  is infinite, contradicting the assumption.  $\square$

**Corollary 4.** *Abelian varieties are projective.*

*Proof.* Let  $U$  be an open affine subset of  $X$  containing the point 0. Then it is a general fact that the complement  $X \setminus U$  has pure codimension 1 (Check the lecture notes of Bryden Cais [Ca] for this fact). Let  $D_1, \dots, D_t$  be the irreducible components of  $X \setminus U$  and let  $D = \sum D_i$ . We will show that  $D$  satisfies i) of the above proposition.

Consider the set  $H = \{x \in X \mid t_x^* D = D\}$ . Clearly, for any  $x \in H$  we have that  $t_x(U) = U$ . Since  $0 \in U$ , it follows that  $H \subseteq U$ . On the other hand,  $H$  is a closed set as it can be seen as  $f^{-1}(D)$  where  $f: K(L) \rightarrow |D|$  which is defined as  $f(x) = t_x^* D$ .  $H$  is clearly proper and being a closed subset of an affine scheme  $U$ , it is affine. Therefore  $H$  is finite (by [GW] p.358 Cor.12.89).  $\square$

## 2. ISOGENIES

**Definition 5.** A homomorphism of abelian varieties  $\alpha: X \rightarrow Y$  is called an isogeny if it is surjective and has zero dimensional kernel.

**Proposition 6.** For a homomorphism  $\alpha: X \rightarrow Y$  of abelian varieties the following are equivalent:

- i)  $\alpha$  is an isogeny;
- ii)  $\dim X = \dim Y$  and  $\ker(\alpha)$  is finite;
- iii)  $\dim X = \dim Y$  and  $\alpha$  is surjective;
- iv)  $\alpha$  is finite, flat and surjective.

*Proof.* First observe that for any  $y \in Y$ , choosing an element  $x \in \alpha^{-1}(y)$  we obtain an isomorphism of the fibers  $t_x|_{\alpha^{-1}(0)}: \alpha^{-1}(0) \rightarrow \alpha^{-1}(y)$ . In particular all fibers of the map  $\alpha: X \rightarrow \alpha(X)$  have the same dimension. Moreover, for any  $y \in Y$  we have that  $\dim \alpha^{-1}(y) \geq \dim X - \dim \alpha(X)$  and that equality holds on an open subset of  $Y$  (p.95 Ex 3.22 [Ha]). It follows that for any  $y \in Y$  we have the equality  $\dim \alpha^{-1}(y) = \dim X - \dim \alpha(X)$ . This proves the equality of i),ii) and iii).

Now we prove the equality of i) and iv). It is obvious that iv) implies i), so assume i) now. Since  $\alpha^{-1}(0)$  is finite and every fiber has the same dimension, we conclude that  $\alpha$  is quasi finite. Moreover, the composition  $X \xrightarrow{\alpha} Y \rightarrow \text{Spec}(k)$  is proper and  $Y \rightarrow \text{Spec}(k)$  is separated, therefore  $\alpha$  is proper (p.102 Corollary 4.8 [Ha]). Finally  $\alpha$  is finite, since it is quasi finite and proper. Finally, since every fiber is finite,  $|\alpha^{-1}(y)| = h^0(X_y, \mathcal{O}_{X_y})$  for any  $y \in Y$ . Since each fiber is isomorphic, they have the same cardinality, which in turn means that the map  $y \mapsto h^0(X_y, \mathcal{O}_{X_y})$  is constant. By ([Ha] p.125 Ex 5.8), we conclude that  $\alpha_* \mathcal{O}_X$  is locally free. Hence  $\alpha$  is flat.  $\square$

**Proposition 7.** Let  $X$  be an abelian variety of dimension  $g$ . Then  $n_X: X \rightarrow X$  is an isogeny of degree  $n^{2g}$ .

*Proof.* Let  $L$  be a symmetric (i.e.  $(-1_X)^* L \cong L$ ) very ample line bundle on  $X$  (To see that this choice can be made, pick an ample line bundle  $M$ . Then  $(-1_X)^* M \otimes M$  is also ample. Take a sufficiently high power of it to ensure that it is very ample. The resulting bundle is clearly symmetric). First observe that  $n_X^* L \cong L^{n^2}$  (by Theorem 17 from Angela's talk). Let  $Z = \ker(n_X)$ . Then  $n_X^* L|_Z$  is trivial since the map  $Z \hookrightarrow X \xrightarrow{n_X} X$  is a constant map. It is clearly ample as well. Hence it follows that  $\dim Z = 0$ . By ii) of the above proposition, we see that  $n_X$  is an isogeny.

Now we will show that the degree is  $n^{2g}$ . Let  $L \cong \mathcal{O}_X(D)$  for some effective divisor  $D$ . It is a classical fact that the  $g$ -fold intersection product

$$n_X^* D \dots n_X^* D = \deg(n_X).D \dots D$$

On the other hand, by our observation above,  $n_X^* D$  is linearly equivalent to  $n^2 D$ . So we obtain

$$\deg(n_X).D \dots D = n^{2g}.D \dots D$$

So we will be done if we can show that  $D \dots D \neq 0$ . But this is obvious, since  $|D|$  is very ample. In fact  $D \dots D = \deg(X)$ , where by the degree I mean the degree of  $X$  under the projective embedding given by  $|D|$ . □

**Remark 8.** The above proposition tells us that  $X$  is divisible as an abstract group.

**Theorem 9.** *Let  $X$  be an algebraic variety,  $G$  a finite group of automorphisms of  $X$ . Suppose that for any  $x \in X$  the orbit  $Gx$  is contained in an open affine subset of  $X$ . Then there is a pair  $(Y, \pi)$ , where  $Y$  is a variety and  $\pi : X \rightarrow Y$  a morphism, satisfying the following conditions:*

- i) as a topological space,  $(Y, \pi)$  is the quotient space for the  $G$ -action;*
- ii) if  $(\pi_* \mathcal{O}_X)^G$  denotes the subsheaf of invariants of  $\pi_* \mathcal{O}_X$  for the action of  $G$  on  $\pi_* \mathcal{O}_X$  deduced from *i*), the natural homomorphism  $\mathcal{O}_Y \rightarrow (\pi_* \mathcal{O}_X)^G$  is an isomorphism.*

*The pair  $(Y, \pi)$  is determined up to an isomorphism by these conditions. The morphism  $\pi$  is finite, surjective and separable.  $Y$  is affine if  $X$  is affine.*

*If further  $G$  acts freely on  $X$  then  $\pi$  is an etale morphism.*

*Proof.* See [Mu] p.66. □

**Theorem 10.** *Let  $X$  be an abelian variety. Then there is a 1-1 correspondence between the two sets of objects:*

- i) finite subgroups  $K \subseteq X$ ;*
- ii) separable isogenies  $f : X \rightarrow Y$ , where two isogenies  $f_1 : X \rightarrow Y_1$  and  $f_2 : X \rightarrow Y_2$  are considered equal if there is an isomorphism  $h : Y_1 \rightarrow Y_2$  such that  $h \circ f_1 = f_2$ .*

*Proof.* First let  $K \subseteq X$  be a finite subgroup. By the above theorem,  $X/K$  is a variety and the morphism  $X \xrightarrow{f} X/K$  is finite, surjective and separable. We want to show that  $X/K$  is an abelian variety.  $X/K$  is the image of a complete variety  $X$  and therefore it is complete.  $X/K$  has clearly the structure of an abstract group. To see that the multiplication map on  $X/K$  is a morphism consider the following diagram

$$\begin{array}{ccc} X \times X & \xrightarrow{m} & X \\ \downarrow f \times f & & \downarrow f \\ X/K \times X/K & \xrightarrow{n} & X/K \end{array}$$

$X/K \times X/K$  can be identified with  $(X \times X)/(K \times K)$  such that the map  $f \times f$  becomes the quotient map  $X \times X \rightarrow (X \times X)/(K \times K)$ . Now observe that the map  $f \circ m$  is clearly  $K \times K$  invariant. Since these quotients are good quotients, they are also categorical, i.e. they enjoy the universal property that any  $G$ -invariant map factors through the quotient variety. Therefore there is a unique morphism  $(X \times X)/(K \times K) \xrightarrow{g} X/K$  such that  $f \circ m = g \circ (f \times f)$ . Clearly the morphism  $g$  is equal to  $n$  on closed points and thus is the multiplication map on  $X/K$ .

To see that the inversion map is a morphism, we consider the commutative diagram

$$\begin{array}{ccc}
 X & \xrightarrow{i_1} & X \\
 f \downarrow & & \downarrow f \\
 X/K & \xrightarrow{i_2} & X/K
 \end{array}$$

where  $i_1, i_2$  are the inversion maps. Now observe that  $f \circ i_1$  is  $K$ -invariant. Therefore using the universal property, there exists a unique morphism  $g: X/K \rightarrow X/K$  such that  $f \circ i_1 = g \circ f$ . Again, the morphism  $g$  is equal to  $i_2$  on closed points and thus is the inversion map of  $X/K$ . Obviously,  $\ker(f) = K$  and  $f$  is an isogeny.

For the converse, let  $f: X \rightarrow Y$  be a separable isogeny.  $\ker(f)$  is a finite subgroup of  $X$ , so we can consider the isogeny  $X \xrightarrow{\pi} X/\ker(f)$ . Again since  $f$  is obviously  $\ker(f)$ -invariant, there is a unique morphism  $g: X/\ker(f) \rightarrow Y$  such that  $g \circ \pi = f$ . All we need to show now is that  $g$  is an isomorphism. Since  $f$  is separable, so is  $g$ . A separable morphism of varieties, which is a bijection is a birational isomorphism (p.35 [Hu]). Then by Zariski's main theorem (p.152 Corollary 4.6 [Li]) it follows that  $g$  is an isomorphism.  $\square$

**Corollary 11.** *A separable isogeny is an etale morphism.*

*Proof.* By the theorem any separable isogeny is of the form  $X \rightarrow X/K$  for some finite subgroup  $K \subseteq X$  and this quotient is etale since any subgroup of  $X$  acts freely on  $X$ .  $\square$

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