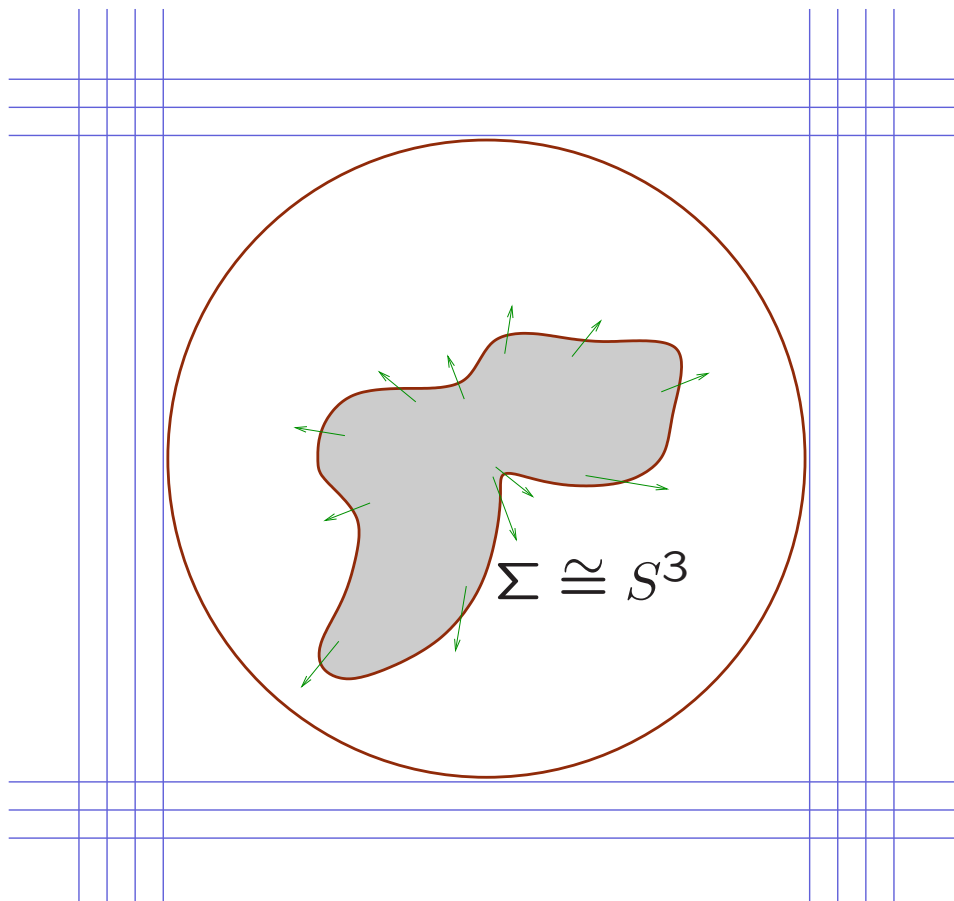


# What can have a 3-sphere as its boundary, and why should you ask Isaac Newton?



Chris Wendl

University College London

Talk for the UCL AdM Maths Society, 3rd March, 2014

Slides available at:

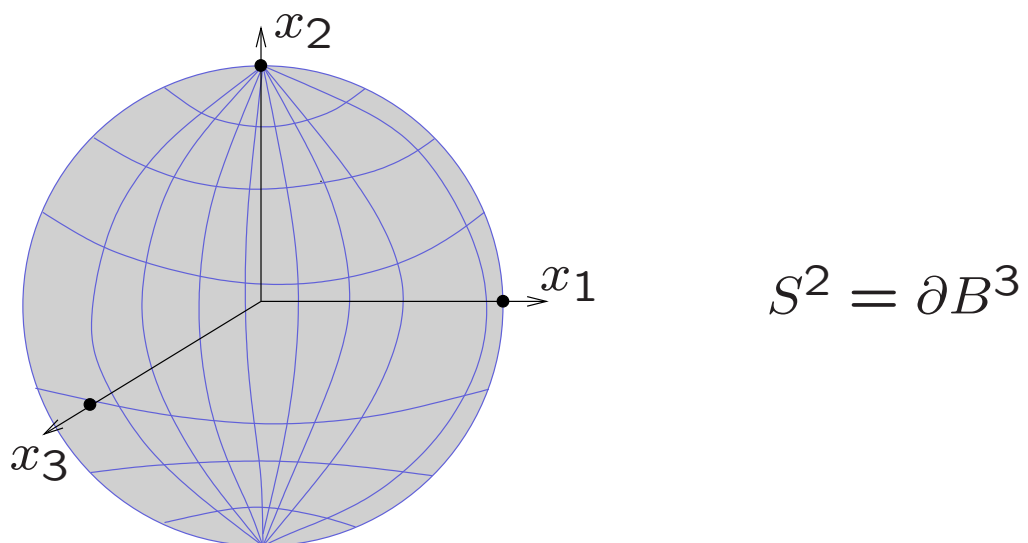
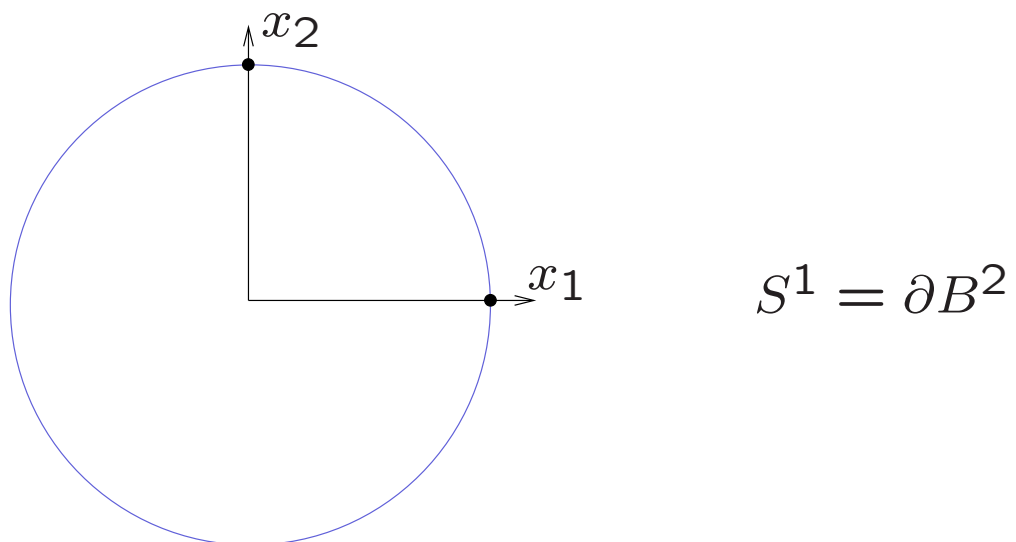
<http://www.homepages.ucl.ac.uk/~ucahcwe/publications.html#talks>

## PART 1: Differential topology

The  $n$ -dimensional sphere

$S^n := \{ \mathbf{x} \in \mathbb{R}^{n+1} \mid x_1^2 + \dots + x_{n+1}^2 = 1 \}$   
= boundary of the  $(n + 1)$ -dimensional ball

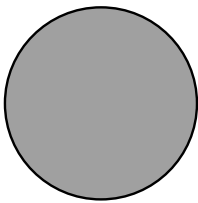
$$B^{n+1} := \{ \mathbf{x} \in \mathbb{R}^{n+1} \mid x_1^2 + \dots + x_{n+1}^2 \leq 1 \}.$$



**Question:** What other  $(n + 1)$ -dimensional objects can have  $S^n$  as boundary?

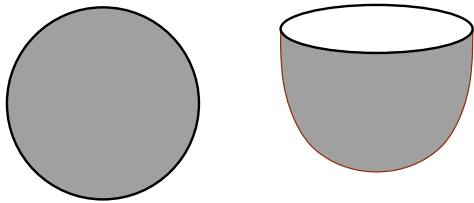
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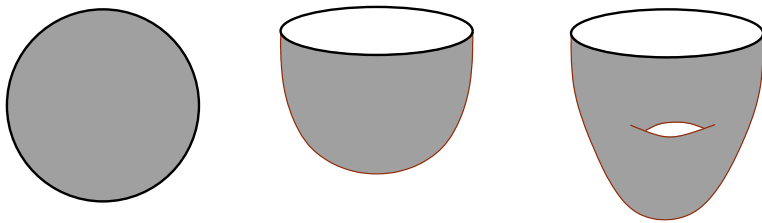
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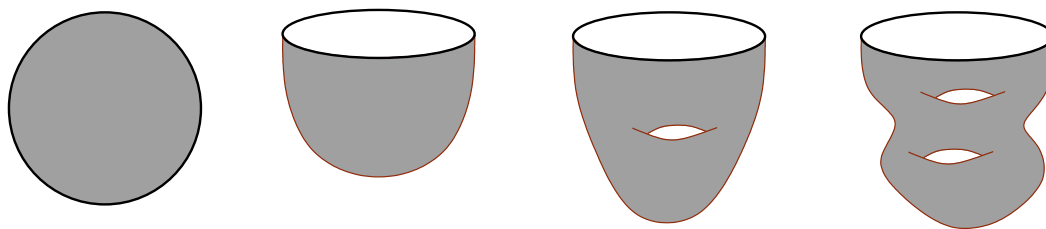
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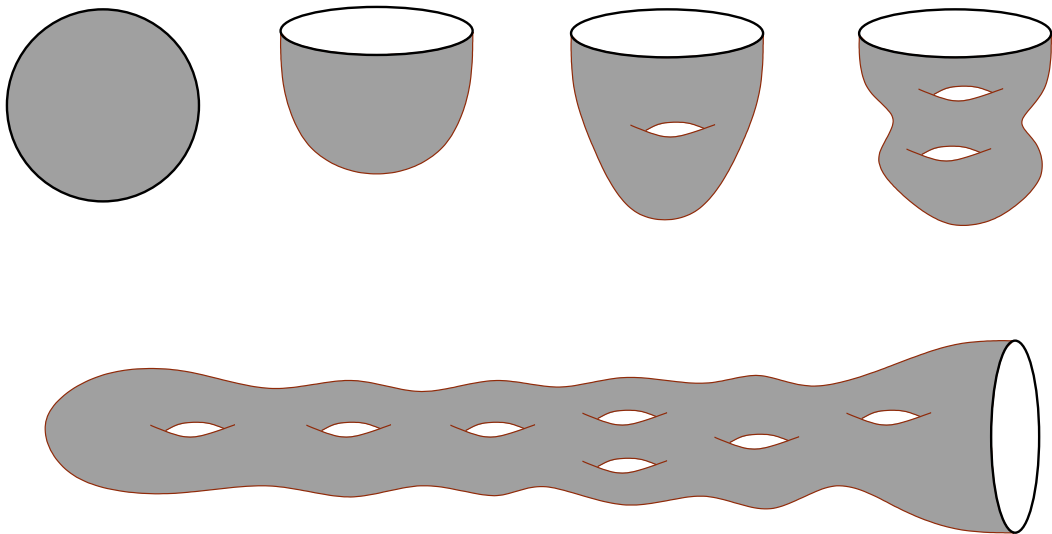
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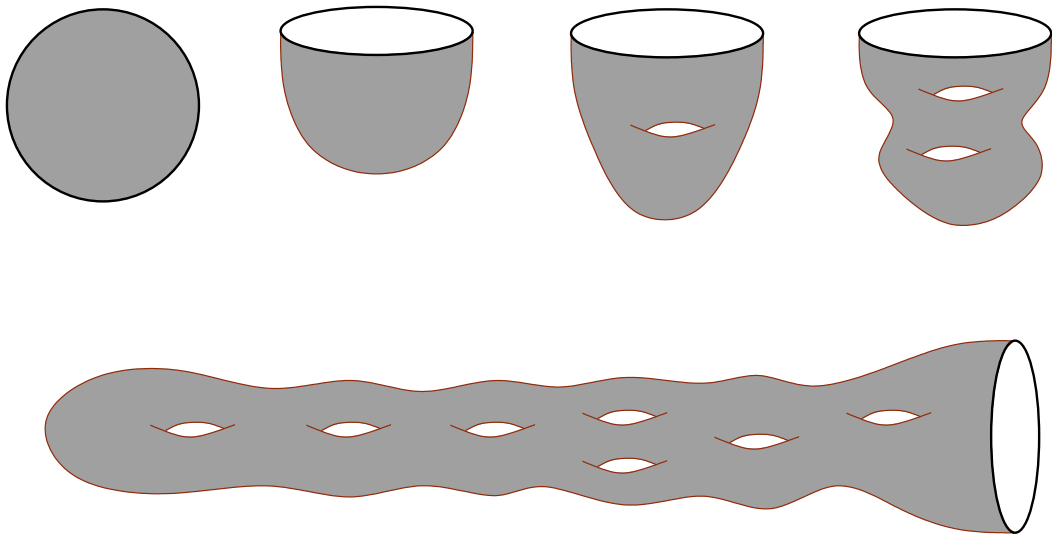
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## Definition

Suppose  $M \subset \mathbb{R}^N$  is a subset,  $\mathcal{U} \subset M$  is open.

An  $n$ -dimensional **coordinate chart** on  $\mathcal{U}$  is a set of functions  $x_1, \dots, x_n : \mathcal{U} \rightarrow \mathbb{R}$  such that the mapping

$$(x_1, \dots, x_n) : \mathcal{U} \rightarrow \mathbb{R}^n$$

is **bijective** onto some **open subset** of  $\mathbb{R}^n$ .

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### Proposition

If  $M \cong M'$ , then they have the same dimension, and  $M$  compact  $\Leftrightarrow M'$  compact.



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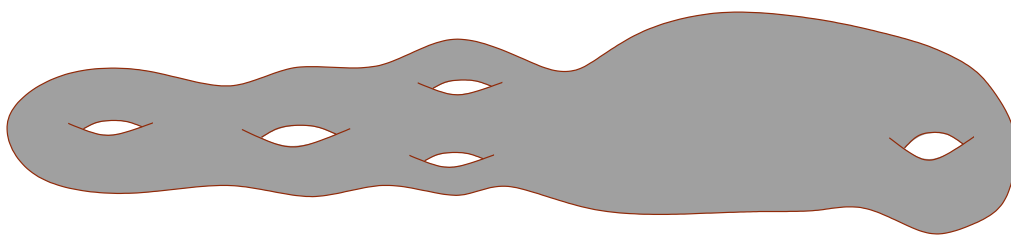
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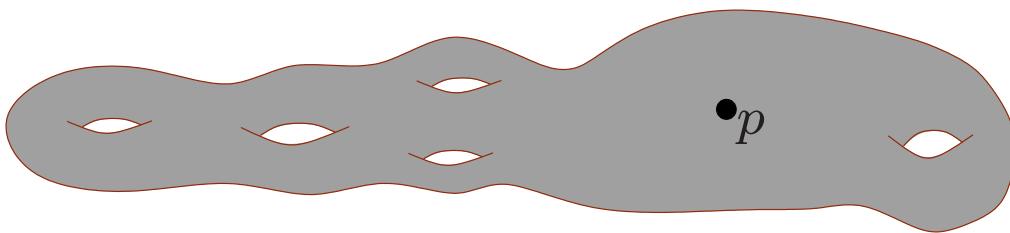
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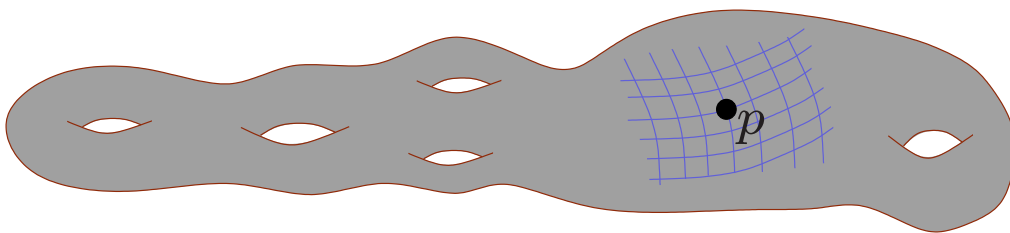
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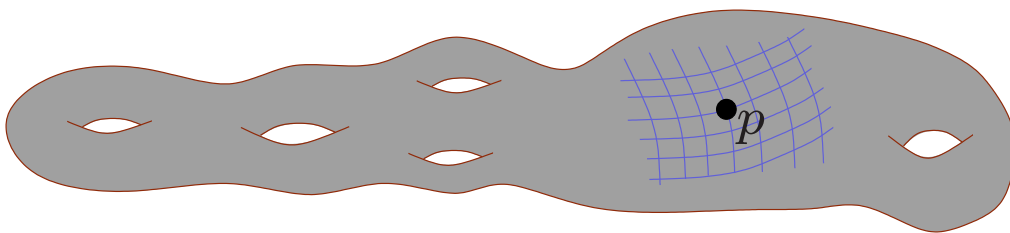
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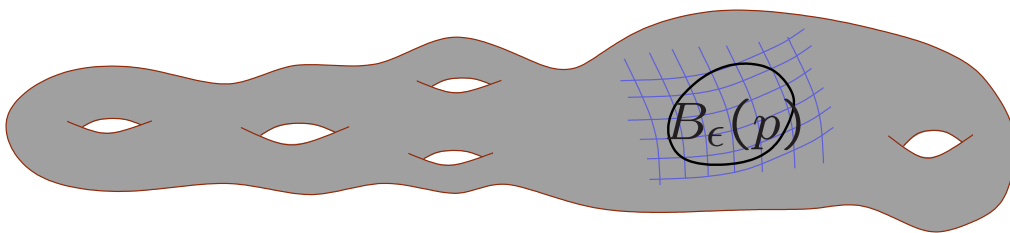
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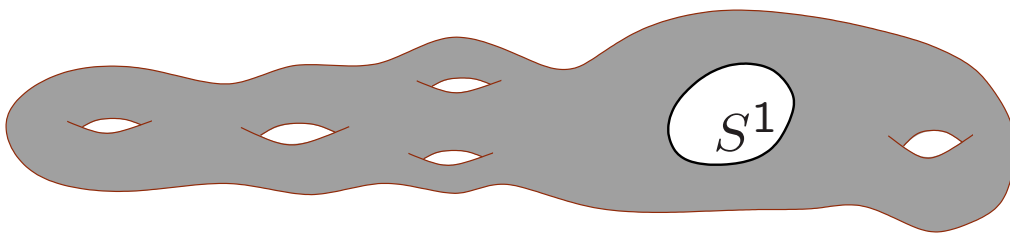
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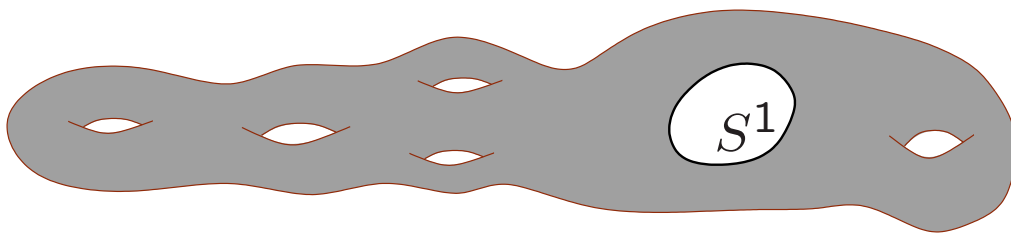
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**Conclusion:** We asked the wrong question.  
*The answer was too easy!*

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**Newton** (18th century):

A system of particles moving with  $n$  degrees of freedom is described by a path in  $\mathbb{R}^n$ ,

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a system of  $n$  **second-order ordinary differential equations** (ODE). Its **total energy**

$$E = \sum_{j=1}^n \frac{1}{2} m_j \dot{q}_j^2 + V(\mathbf{q})$$

is **conserved**, i.e.  $\frac{dE}{dt} = 0$ .

**Hamilton** (19th century):

Pretend  $q_i$  and  $p_j := m_j \dot{q}_j$  (*momentum*) are **independent** variables moving in the “*phase space*”  $\mathbb{R}^{2n}$ .

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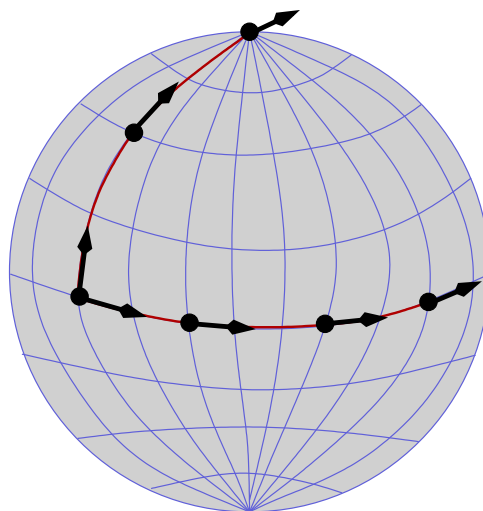
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**Idea:** To study motion of systems satisfying **constraints**, we can treat  $(\mathbf{q}, \mathbf{p})$  as **local coordinates** of a point moving in a **manifold**.



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- **Not symplectic:**  $S^{2n}$  for  $n > 1$   
 (can prove using *de Rham cohomology*)

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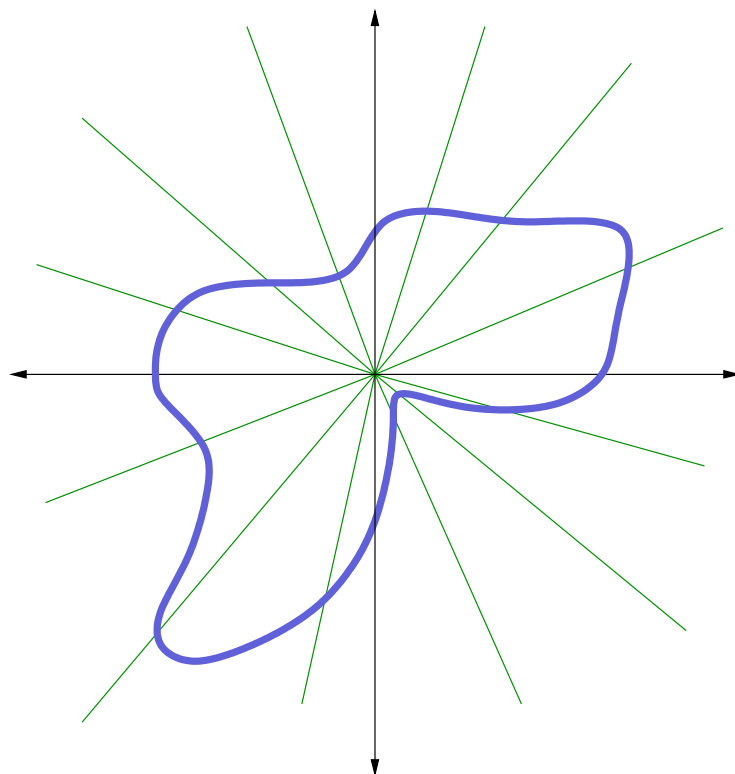
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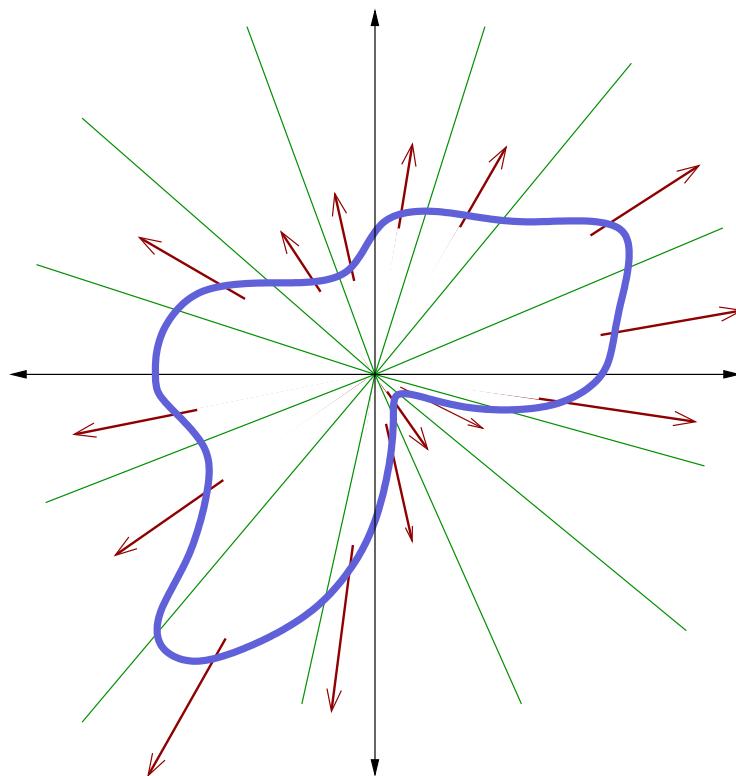
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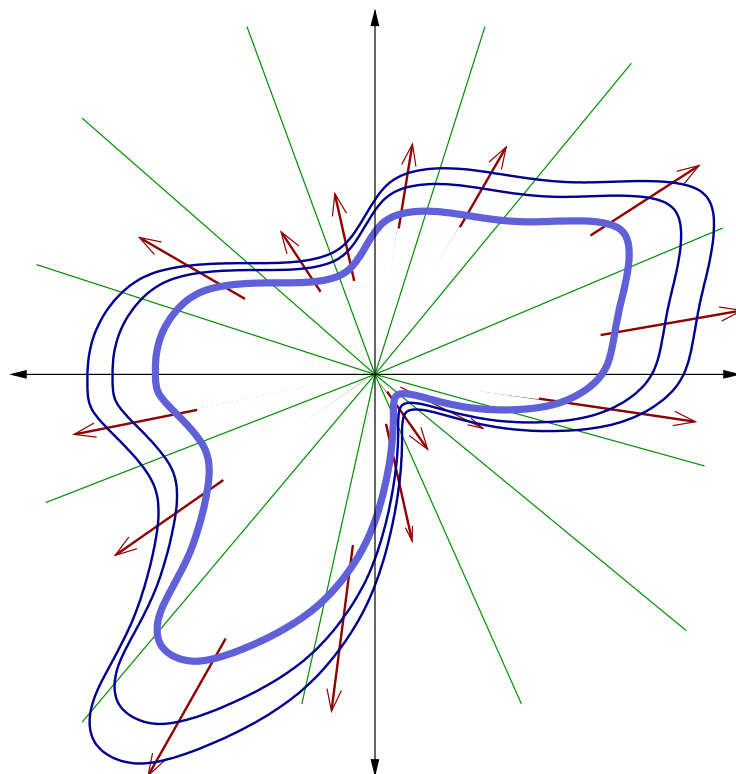
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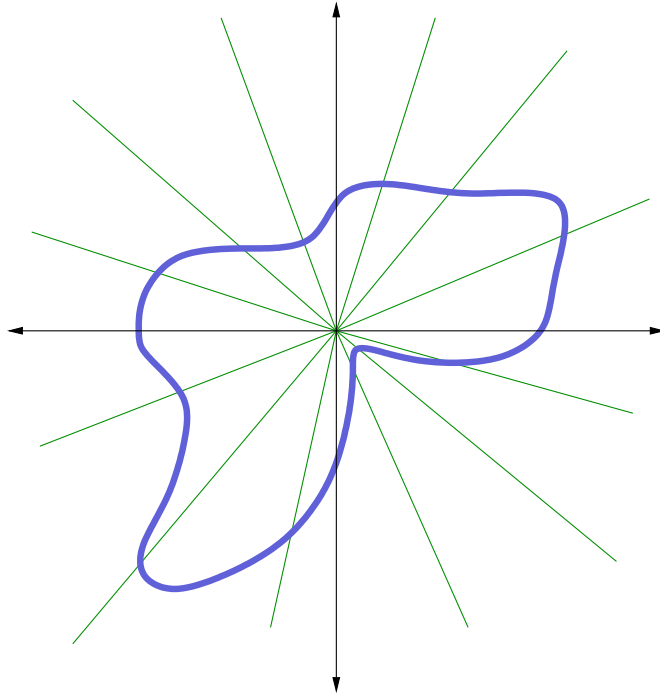
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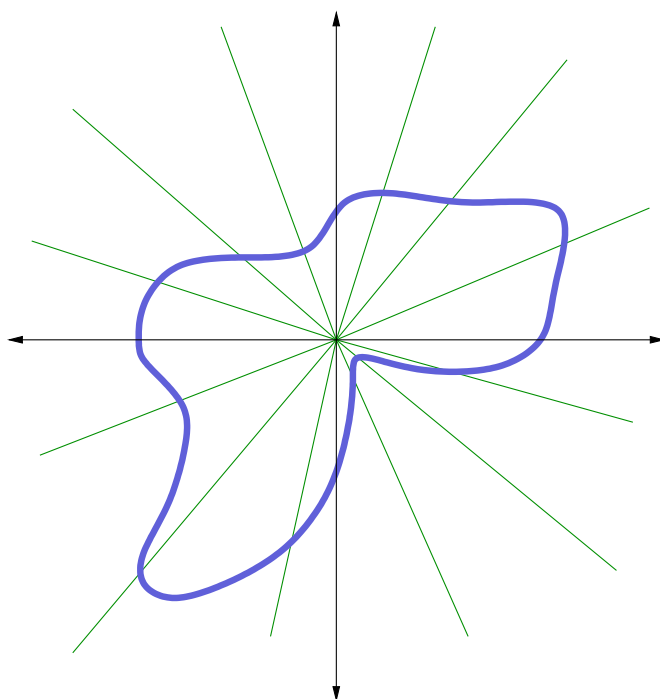
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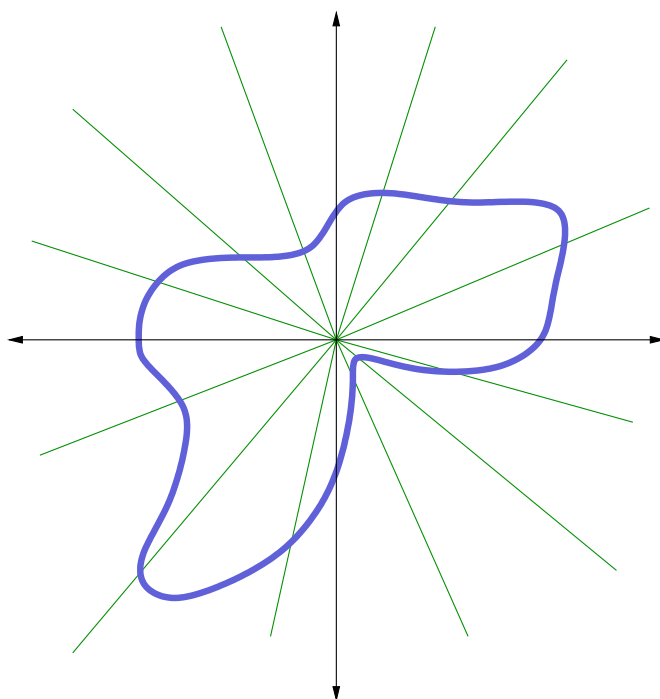
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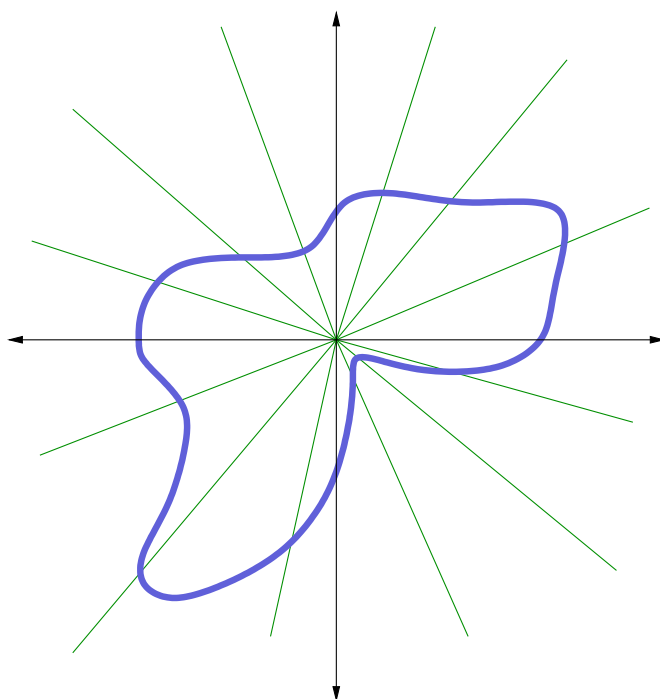


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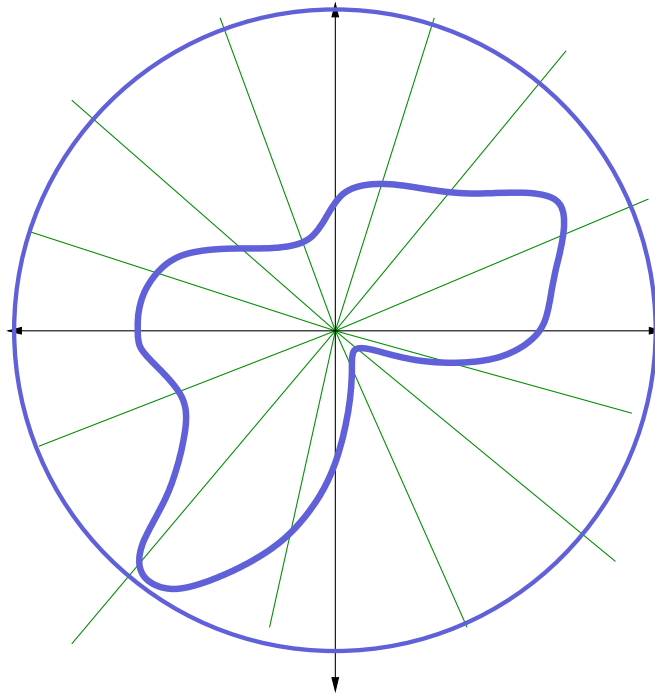
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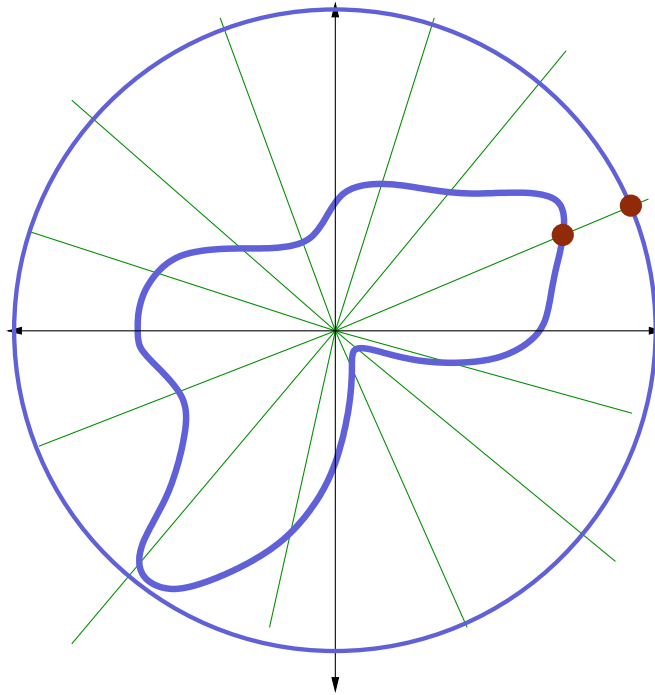
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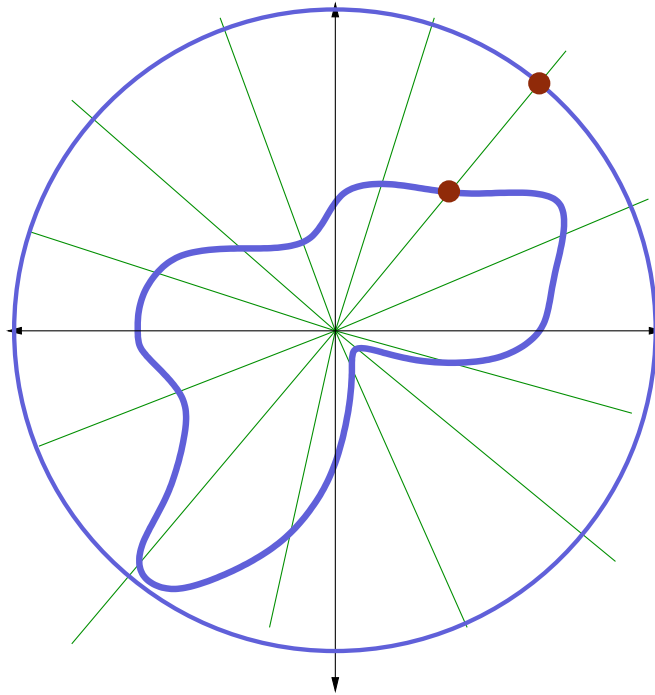
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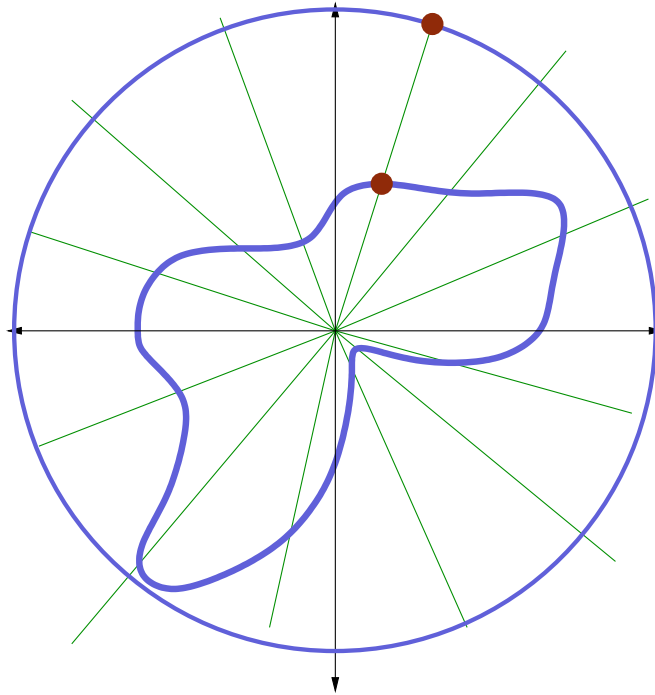
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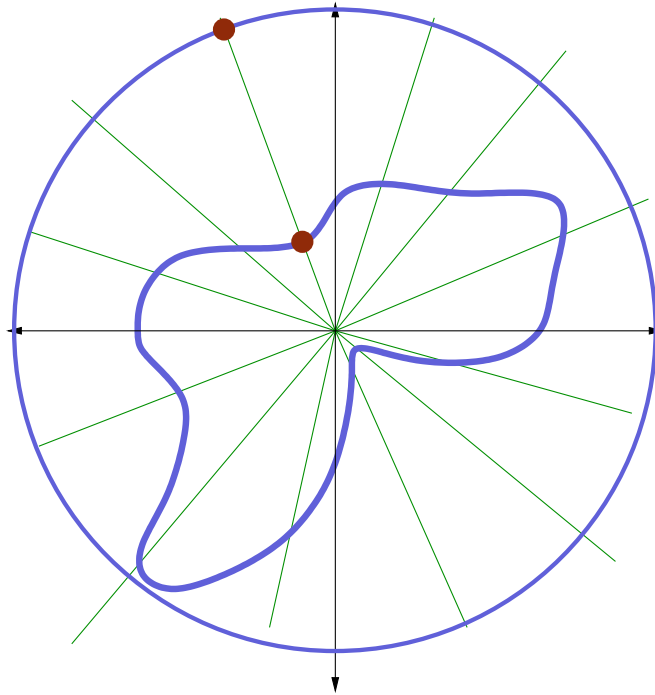
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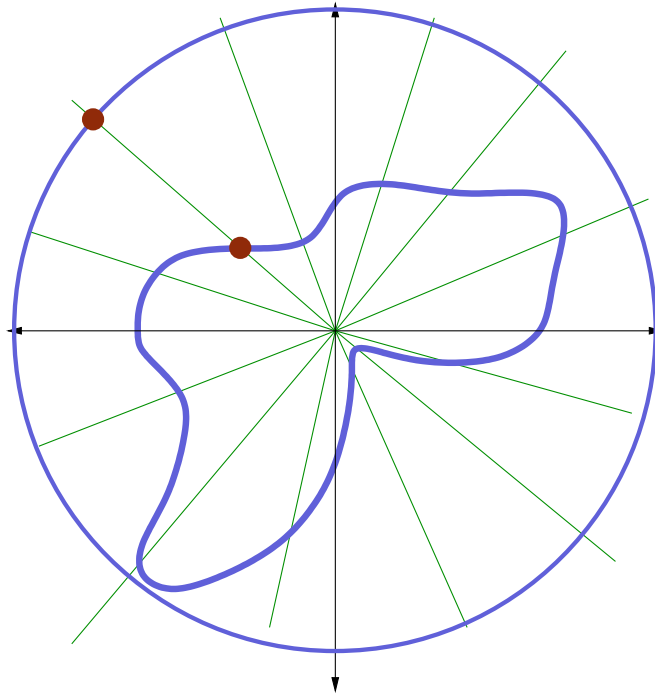
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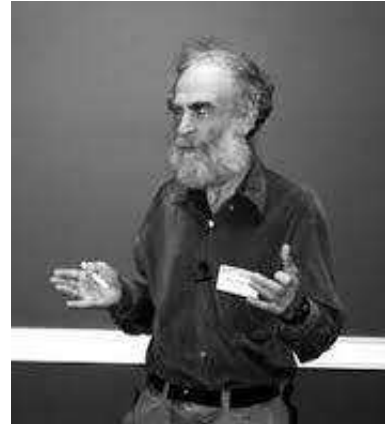
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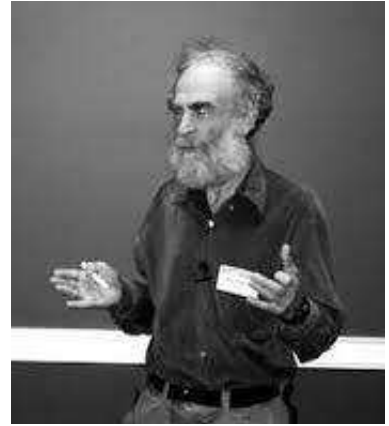
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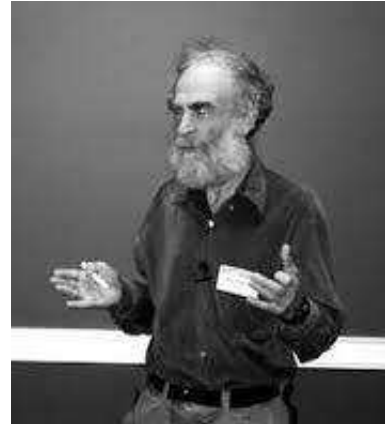
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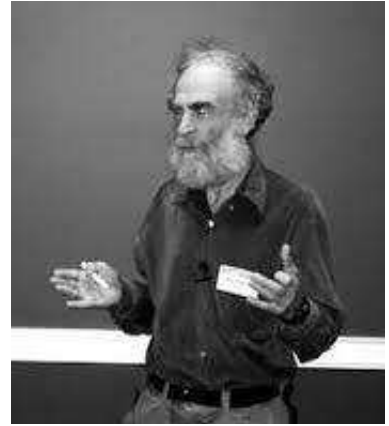
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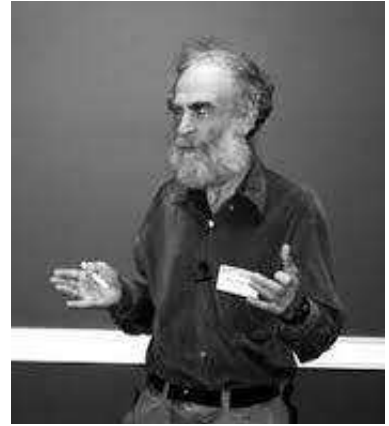
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### **The next best thing. . .**

An **almost complex structure** on  $\mathbb{C}^n$  is a smooth function

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## Fundamental lemma:

Every **symplectic** manifold admits a special class of **compatible almost complex structures**.

## A decomposition of the standard $B^4 \subset \mathbb{R}^4$

Identify  $\mathbb{R}^4 = \mathbb{C}^2$  and define

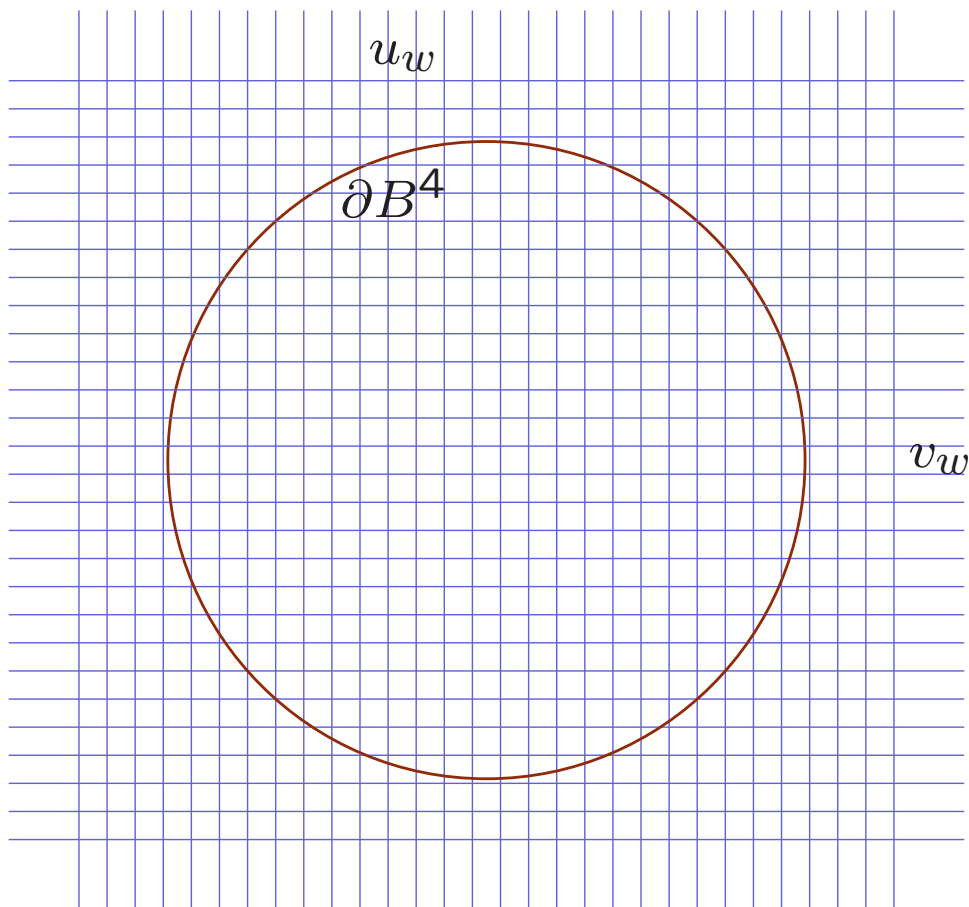
$$J_0(p) := i \quad \text{for all } p \in \mathbb{R}^4.$$

We now see two obvious 2-dimensional families of pseudoholomorphic curves:

$$u_w : \mathbb{C} \rightarrow \mathbb{C}^2 : z \mapsto (z, w) \quad \text{for } w \in \mathbb{C},$$

$$v_w : \mathbb{C} \rightarrow \mathbb{C}^2 : z \mapsto (w, z) \quad \text{for } w \in \mathbb{C}.$$

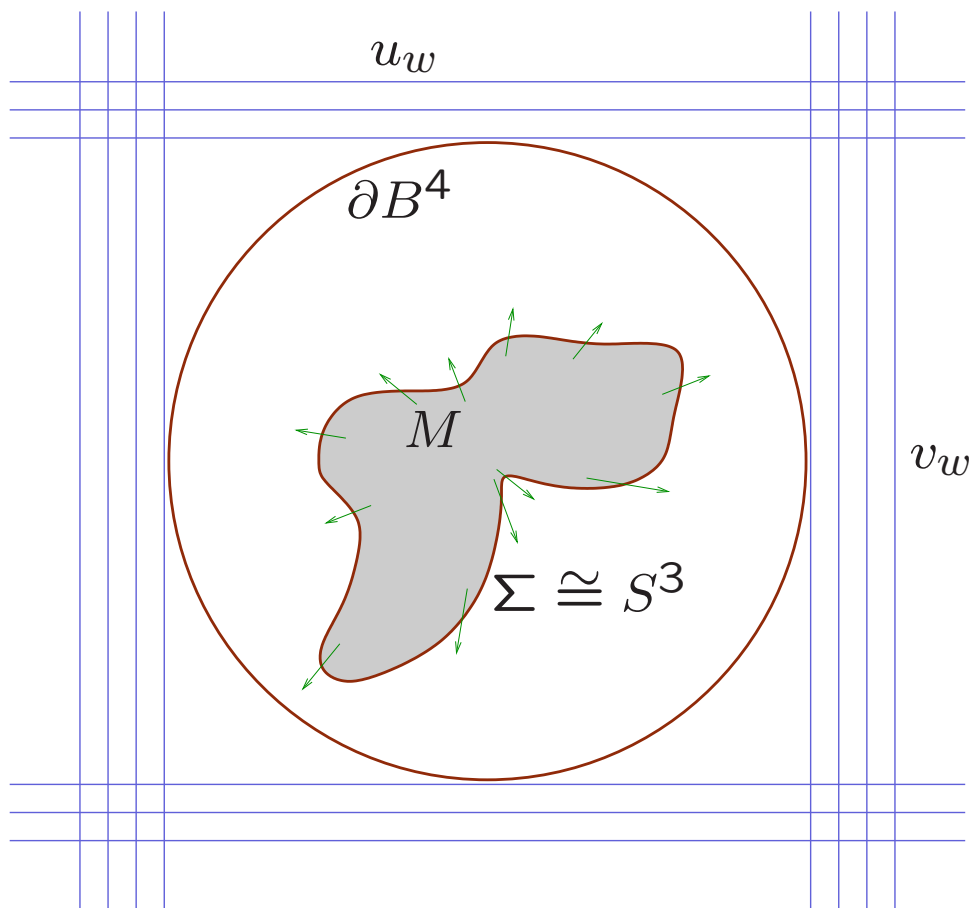
They form two transverse *foliations* of  $\mathbb{C}^2$ :



## Proof of the main theorem

Given  $\partial M = \Sigma \subset \mathbb{R}^4$  star-shaped, construct a symplectic manifold  $W$  by *surgery*:

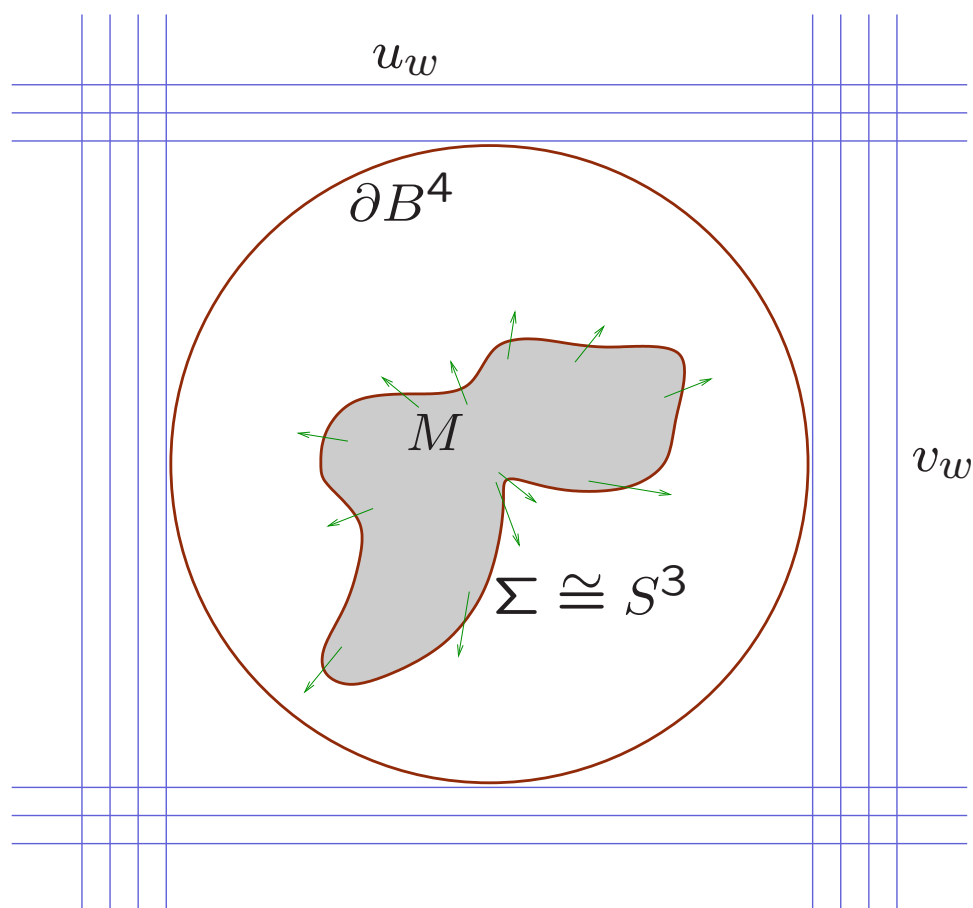
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Choose  $J$  matching  $J_0$  outside a large ball. Then for large  $|w|$ , the pseudoholomorphic curves  $u_w$  and  $v_w$  also exist in  $W$ .



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One can choose  $J$  such that  $\mathcal{M}_u$  and  $\mathcal{M}_v$  are each parametrized by **smooth, oriented 2-dimensional manifolds**, and within each family, any two **distinct curves are disjoint**. Moreover, every curve in  $\mathcal{M}_u$  intersects every curve in  $\mathcal{M}_v$  **exactly once, transversely**.

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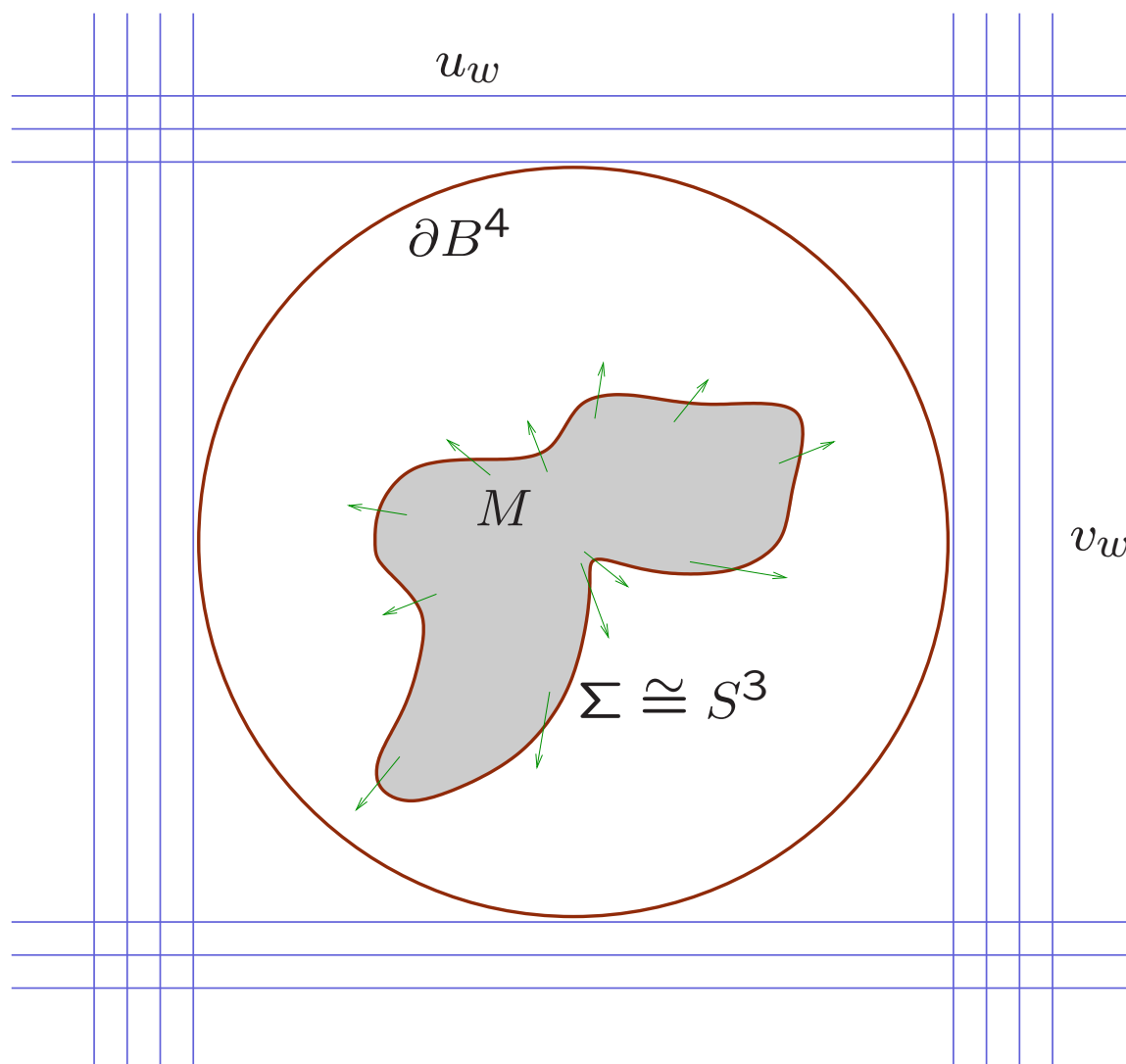
**Lemma 2** (compactness):

Any **bounded sequence** of curves in  $\mathcal{M}_u$  or  $\mathcal{M}_v$  has a **convergent subsequence**.

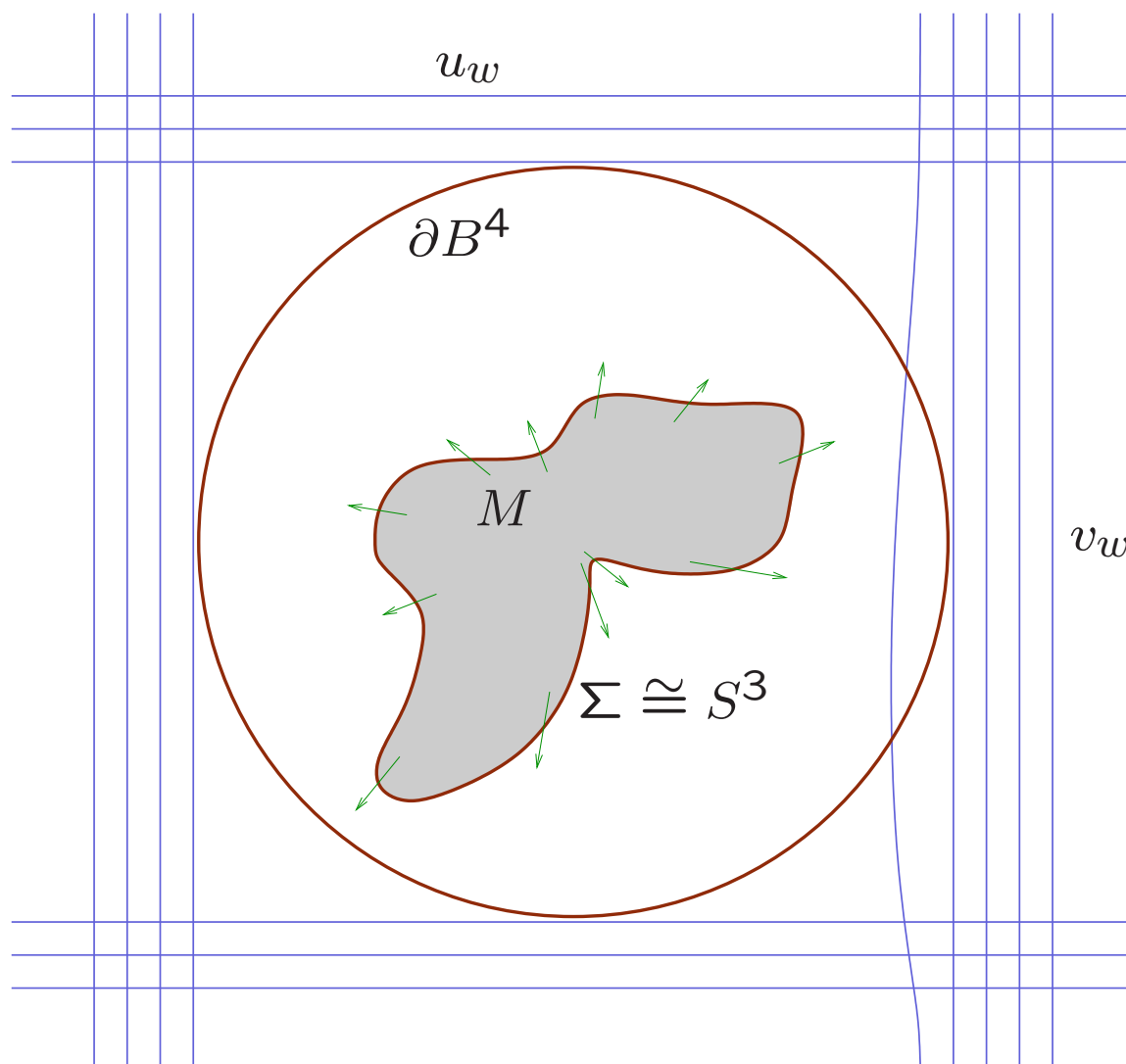
These lemmas concern general properties of solution spaces.

One can prove them without knowing how to *solve* the PDE, and without knowing what  $M$  actually is!

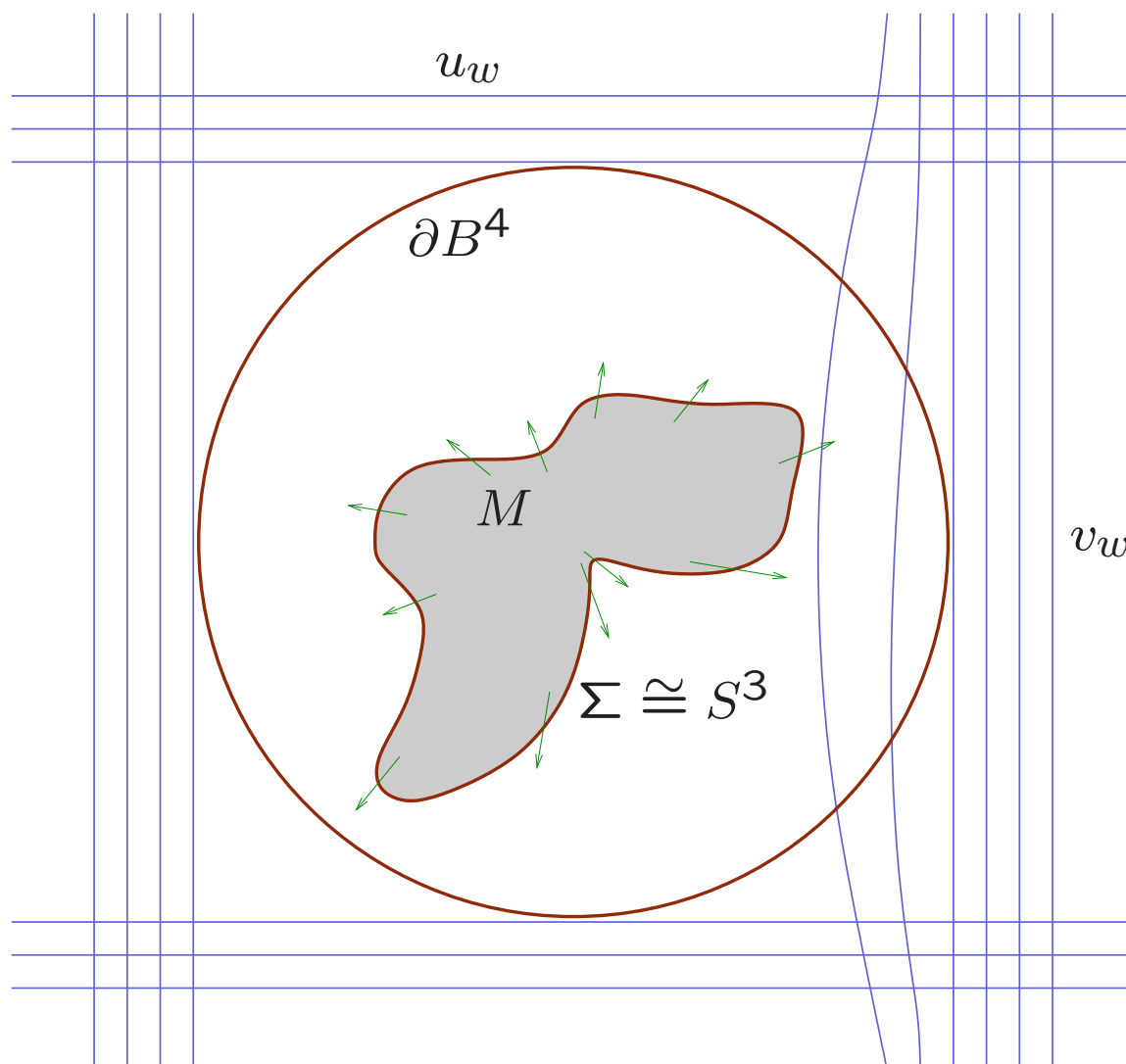
**Final step:** “turn on the machine. . .”



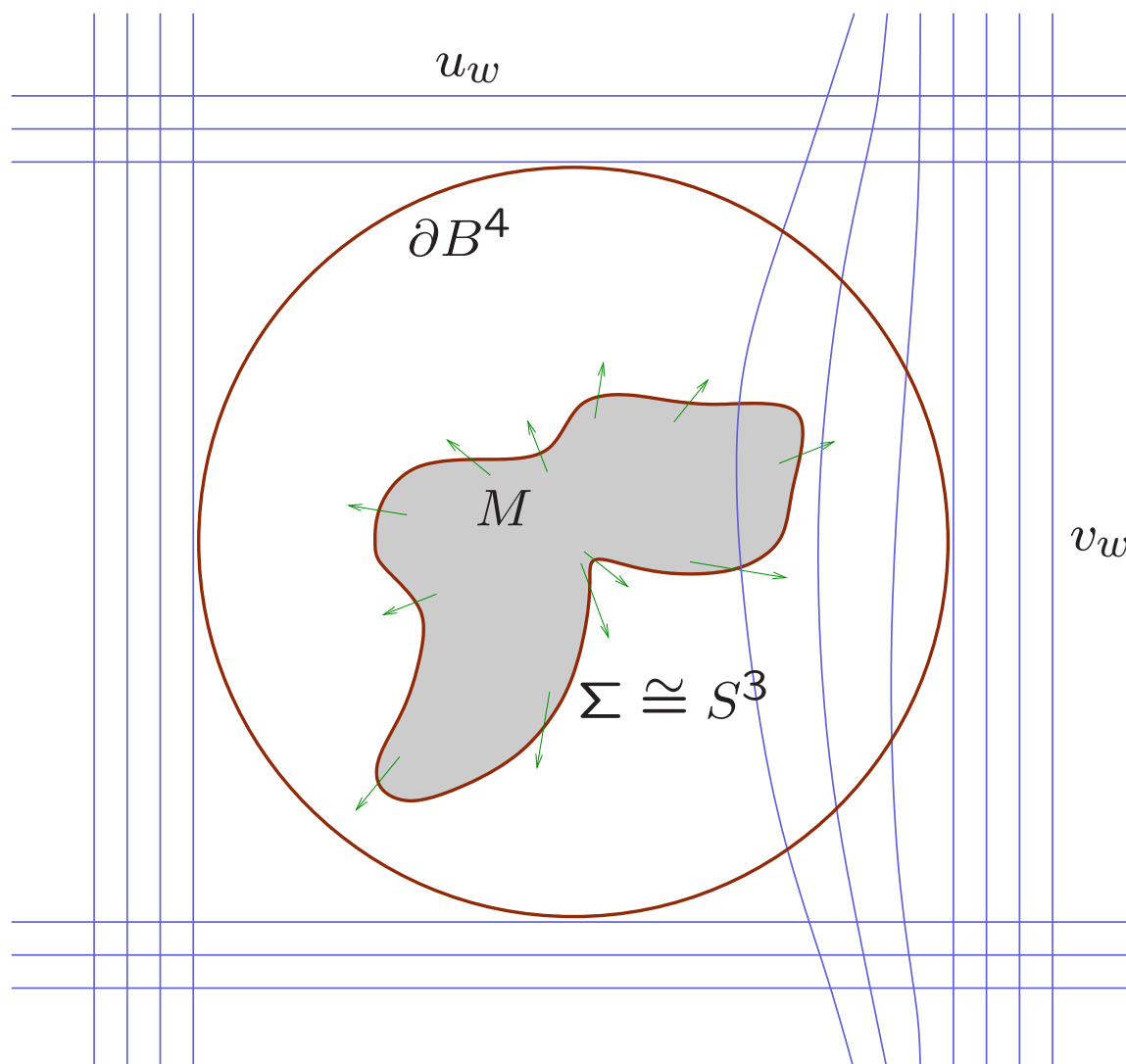
**Final step:** “turn on the machine. . .”



**Final step:** “turn on the machine. . .”

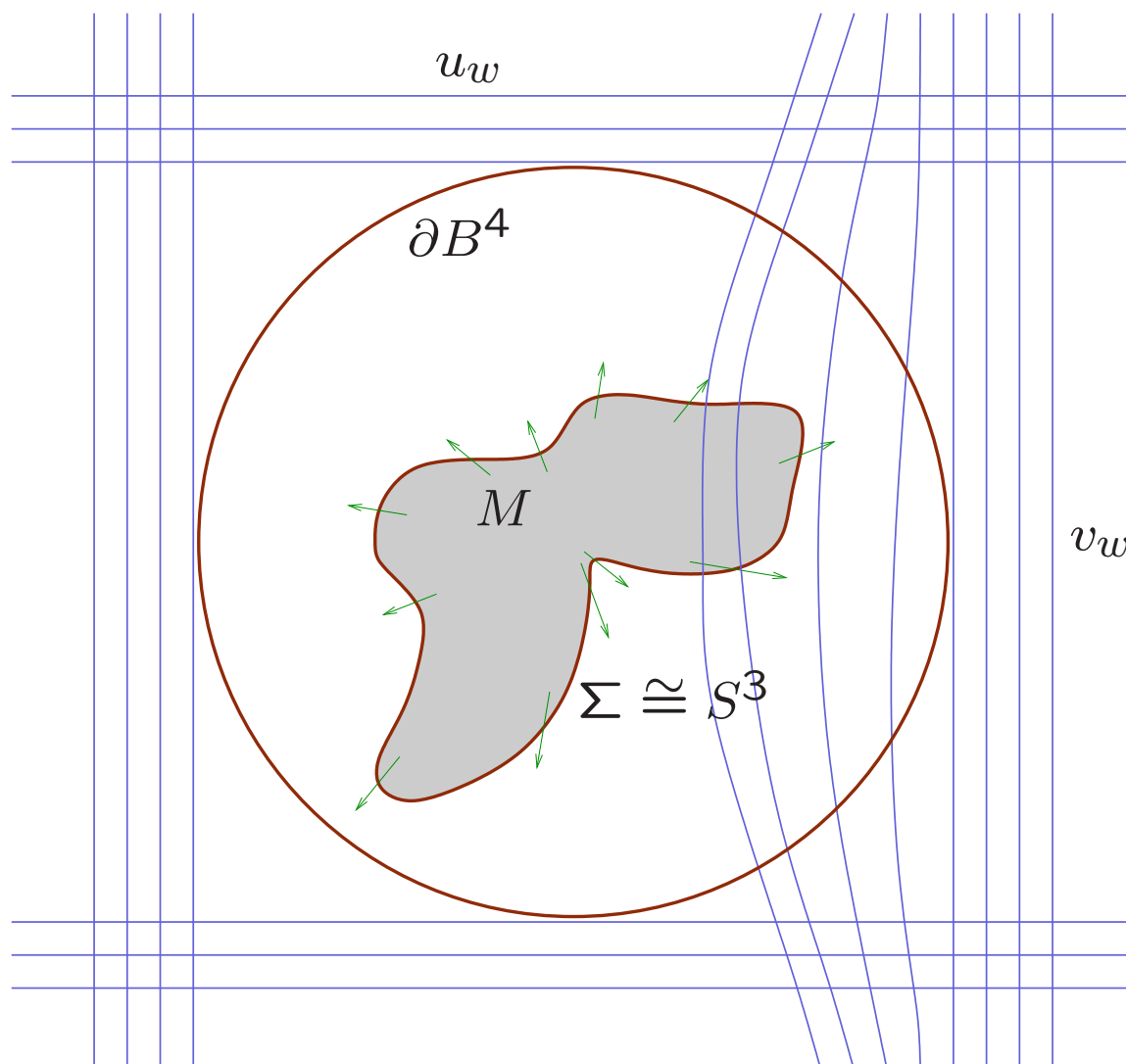


**Final step:** “turn on the machine. . .”

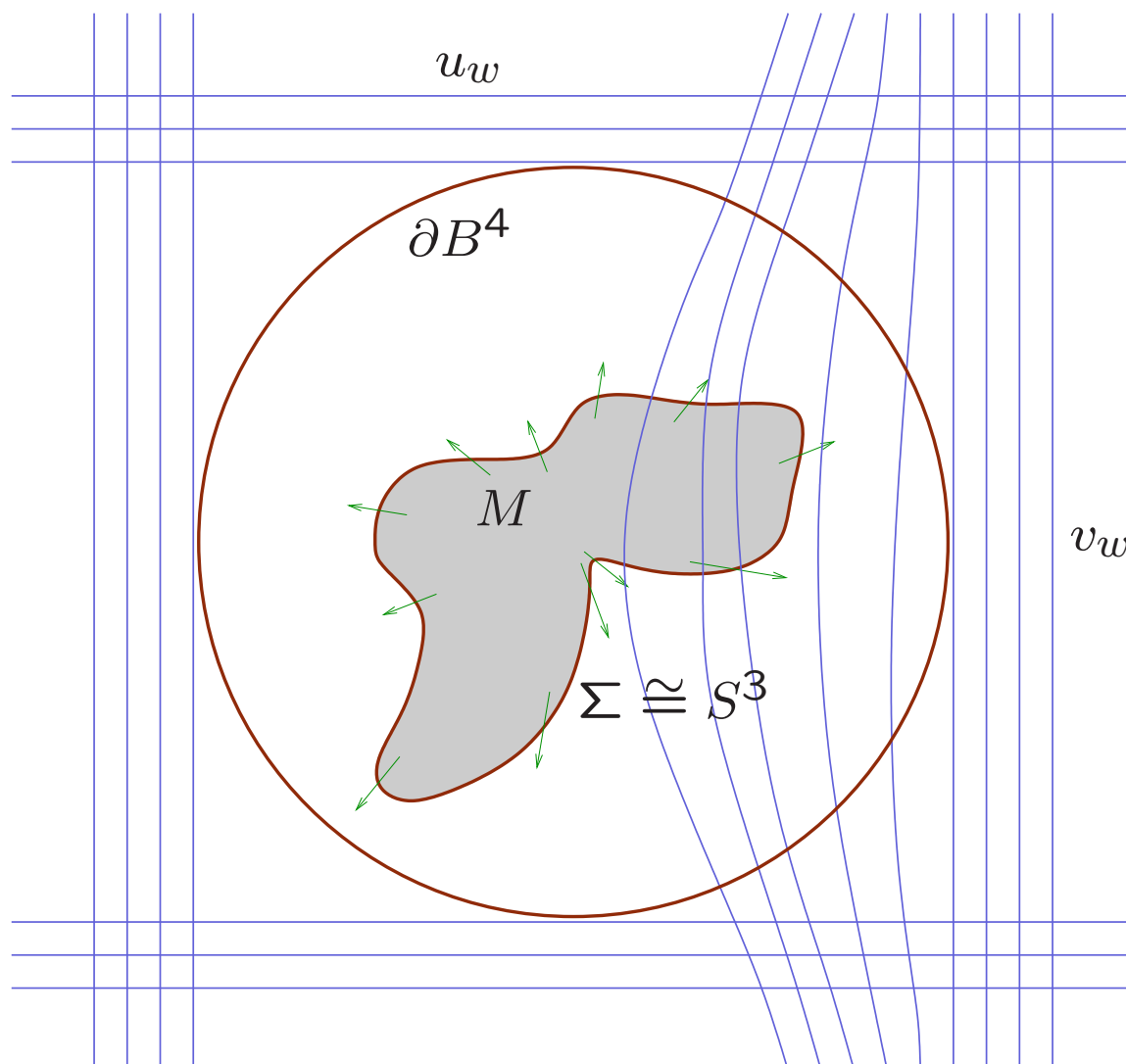




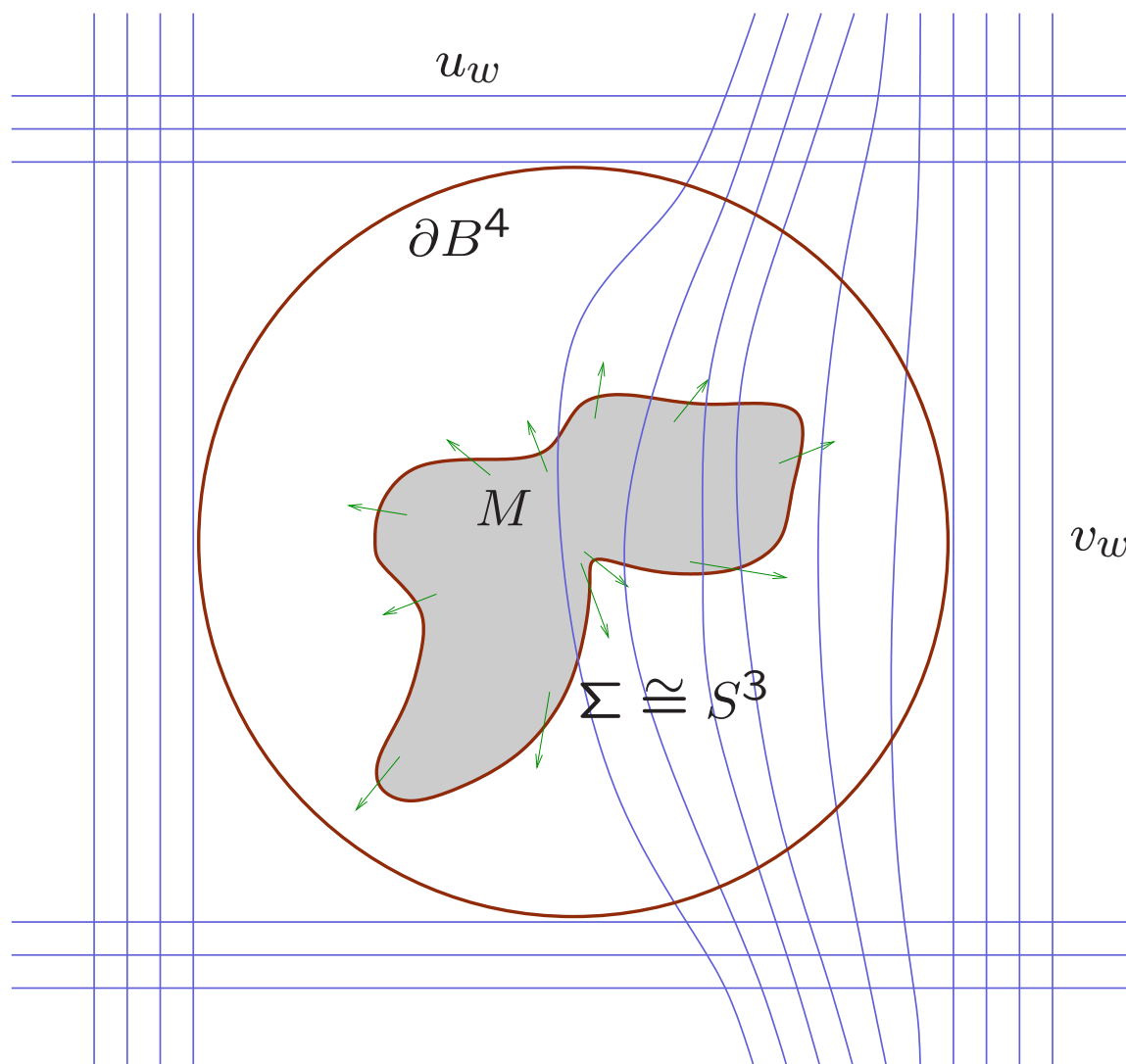
**Final step:** “turn on the machine. . .”



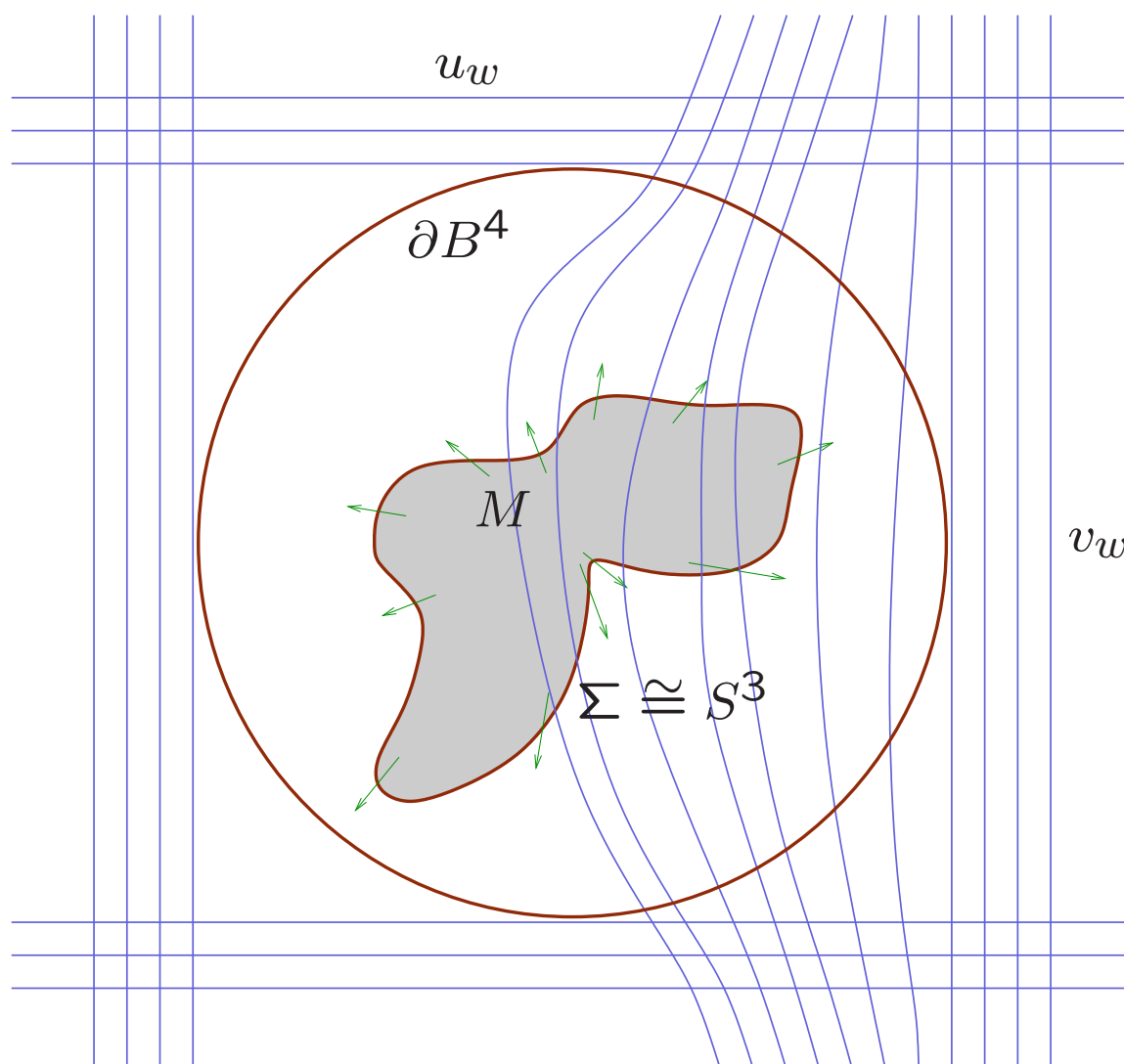
**Final step:** “turn on the machine. . .”



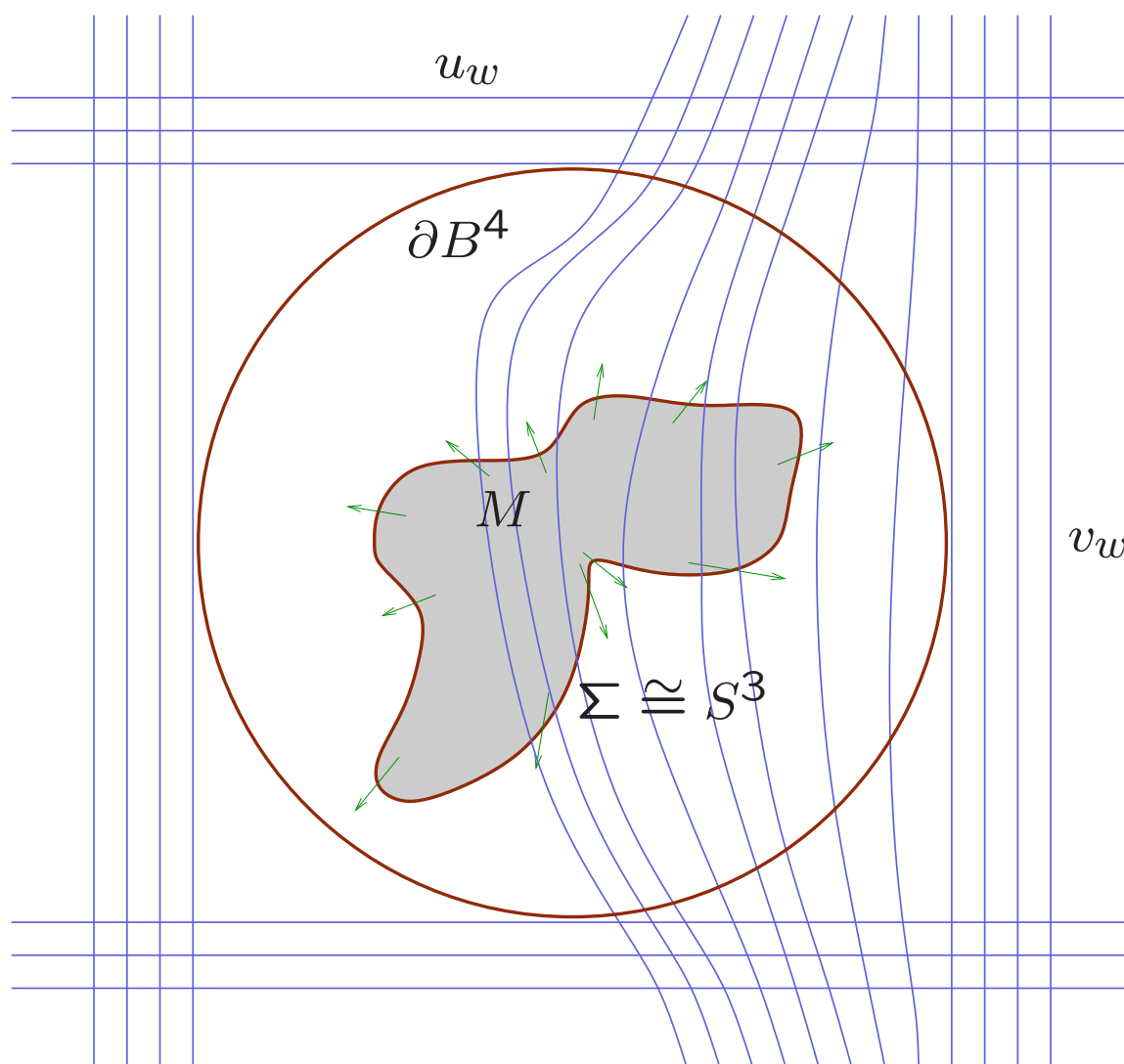
**Final step:** “turn on the machine. . .”



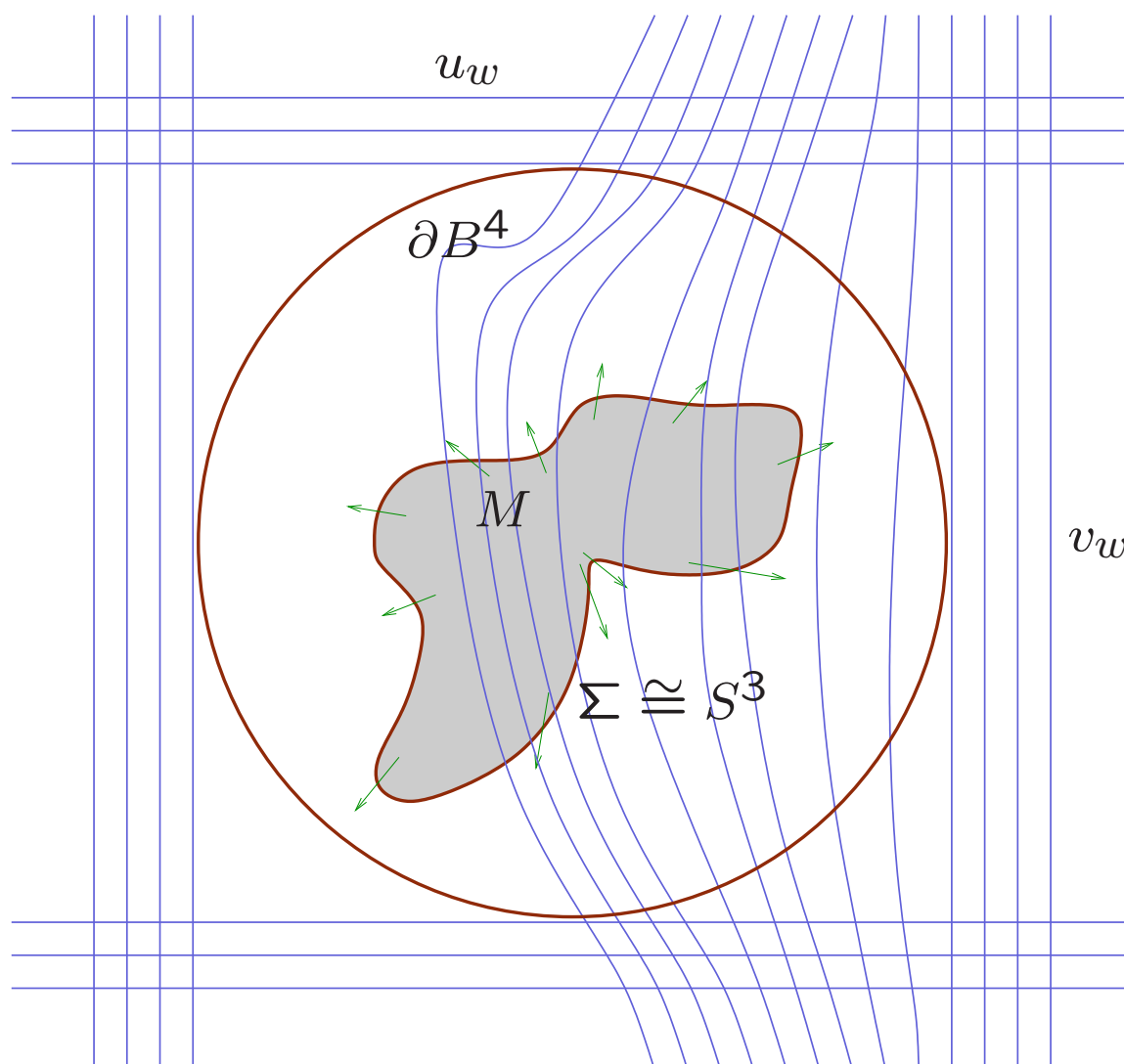
**Final step:** “turn on the machine. . .”



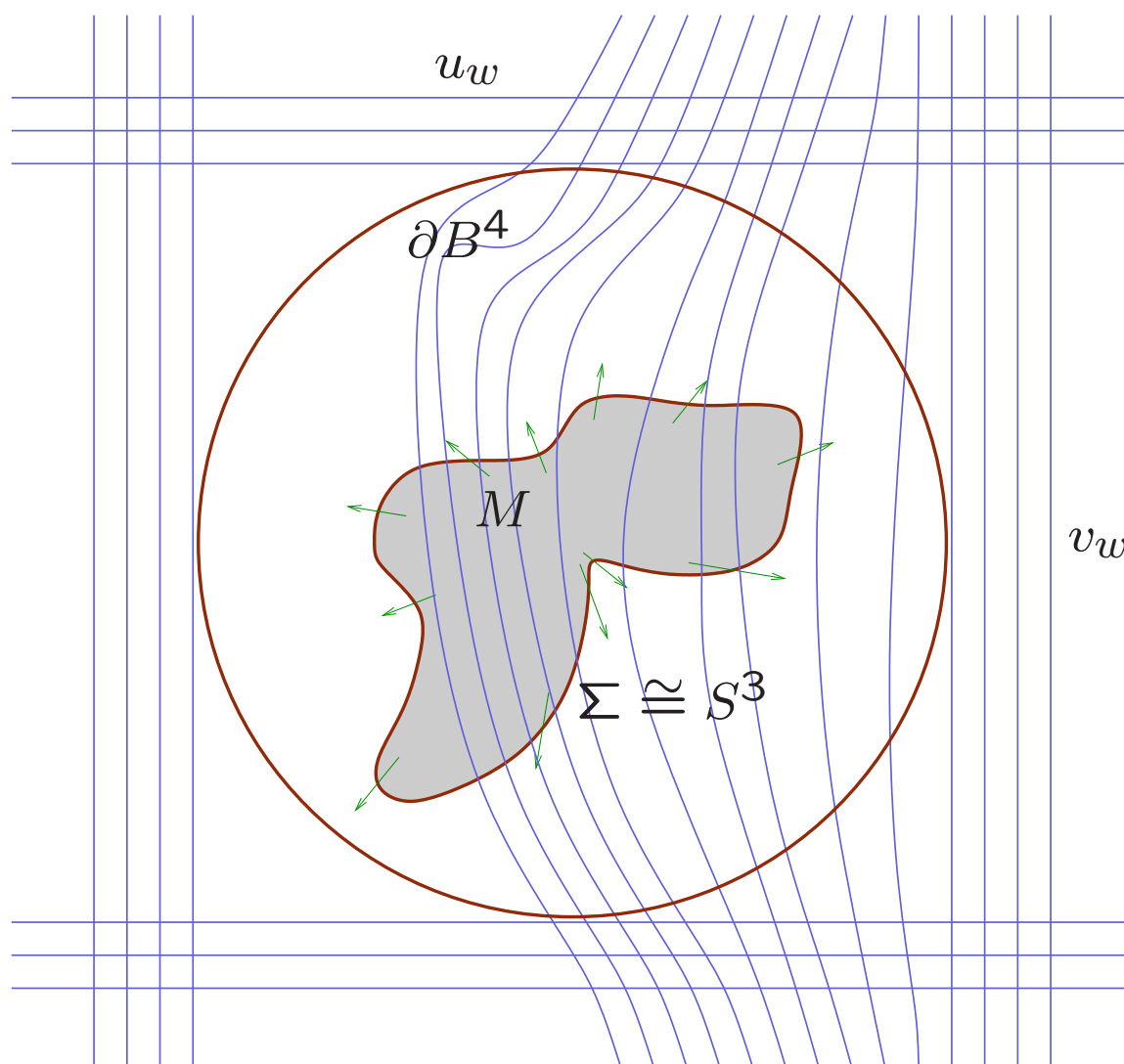
**Final step:** “turn on the machine. . .”



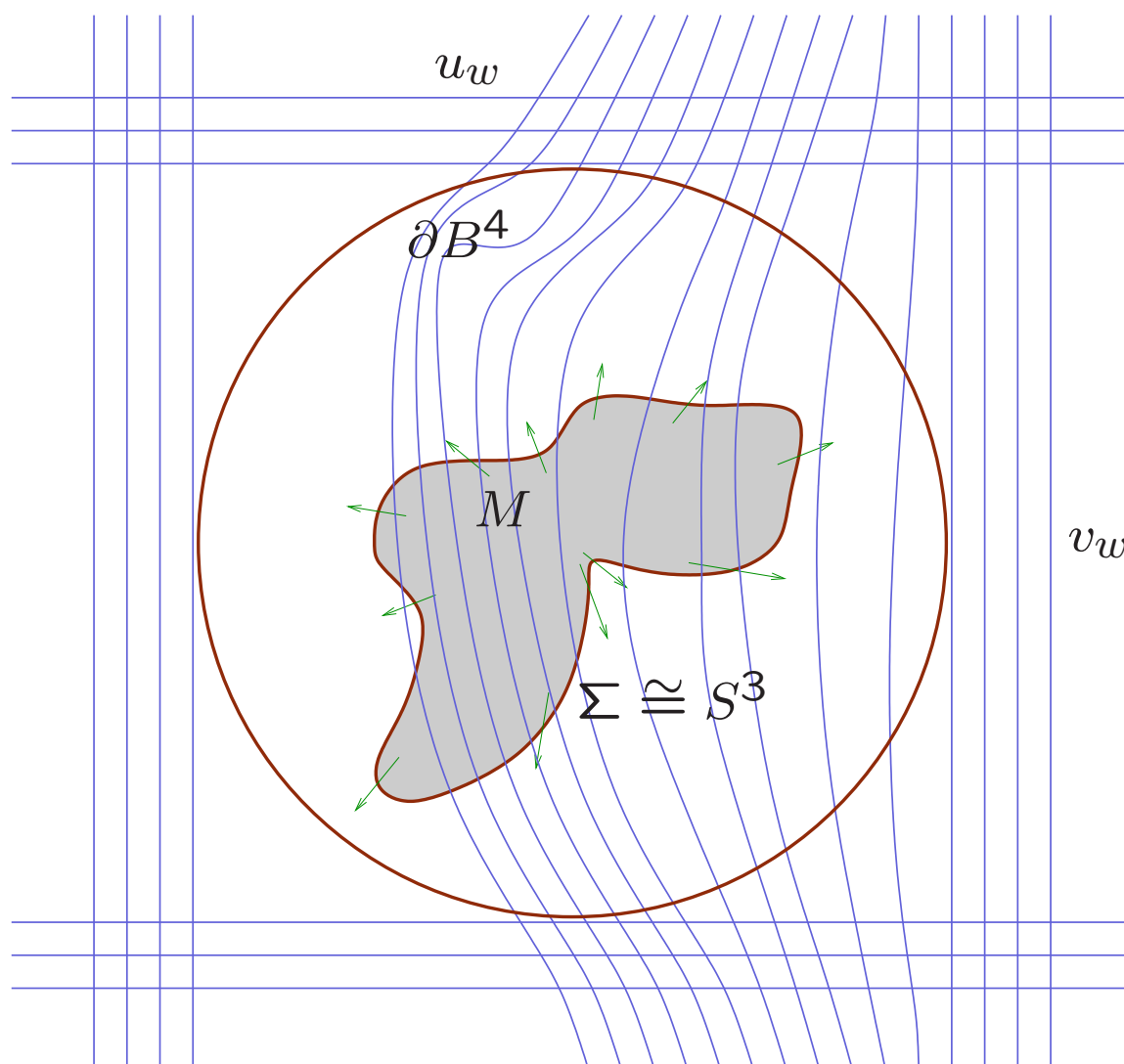
**Final step:** “turn on the machine. . .”



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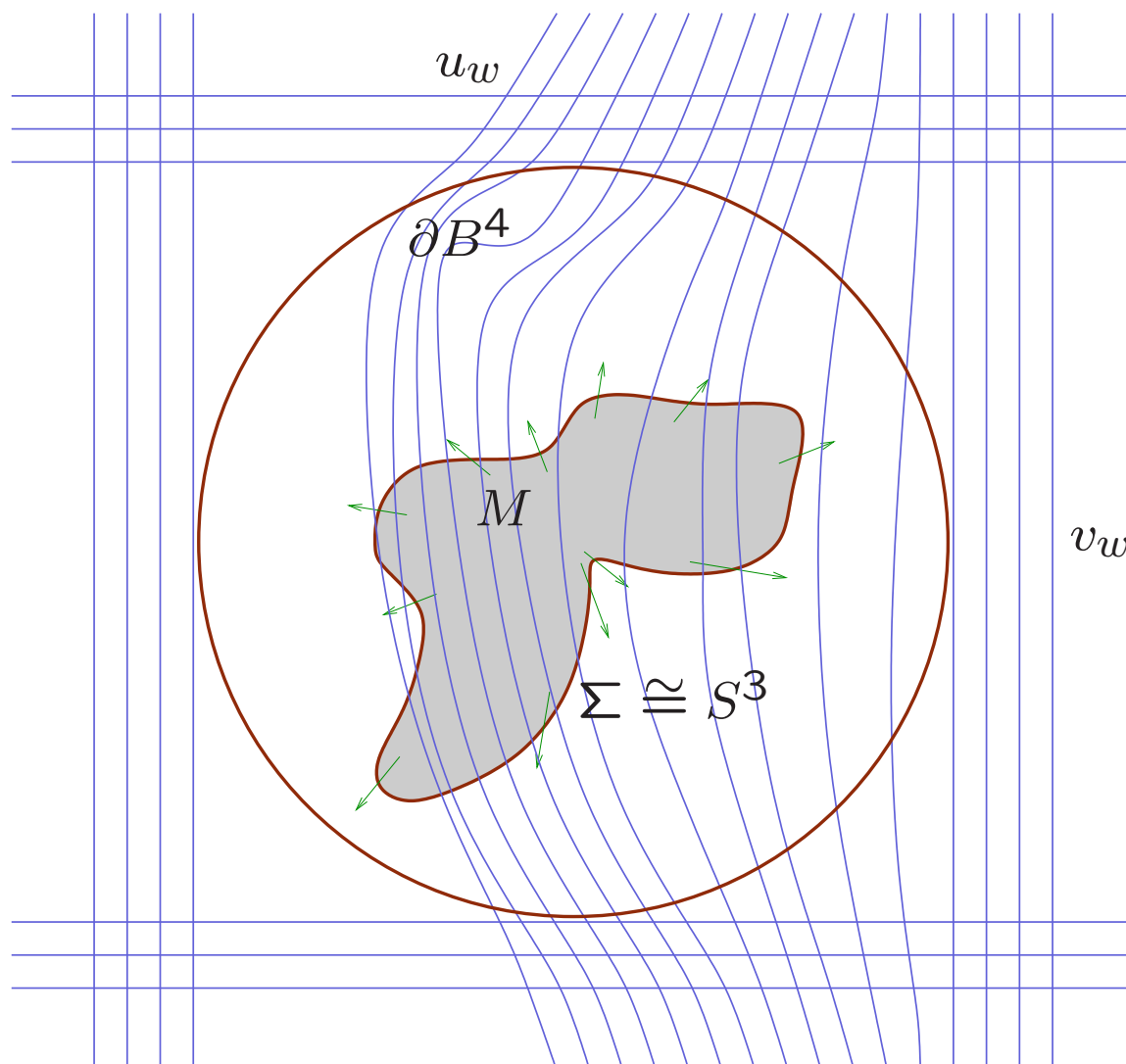


**Final step:** “turn on the machine. . .”

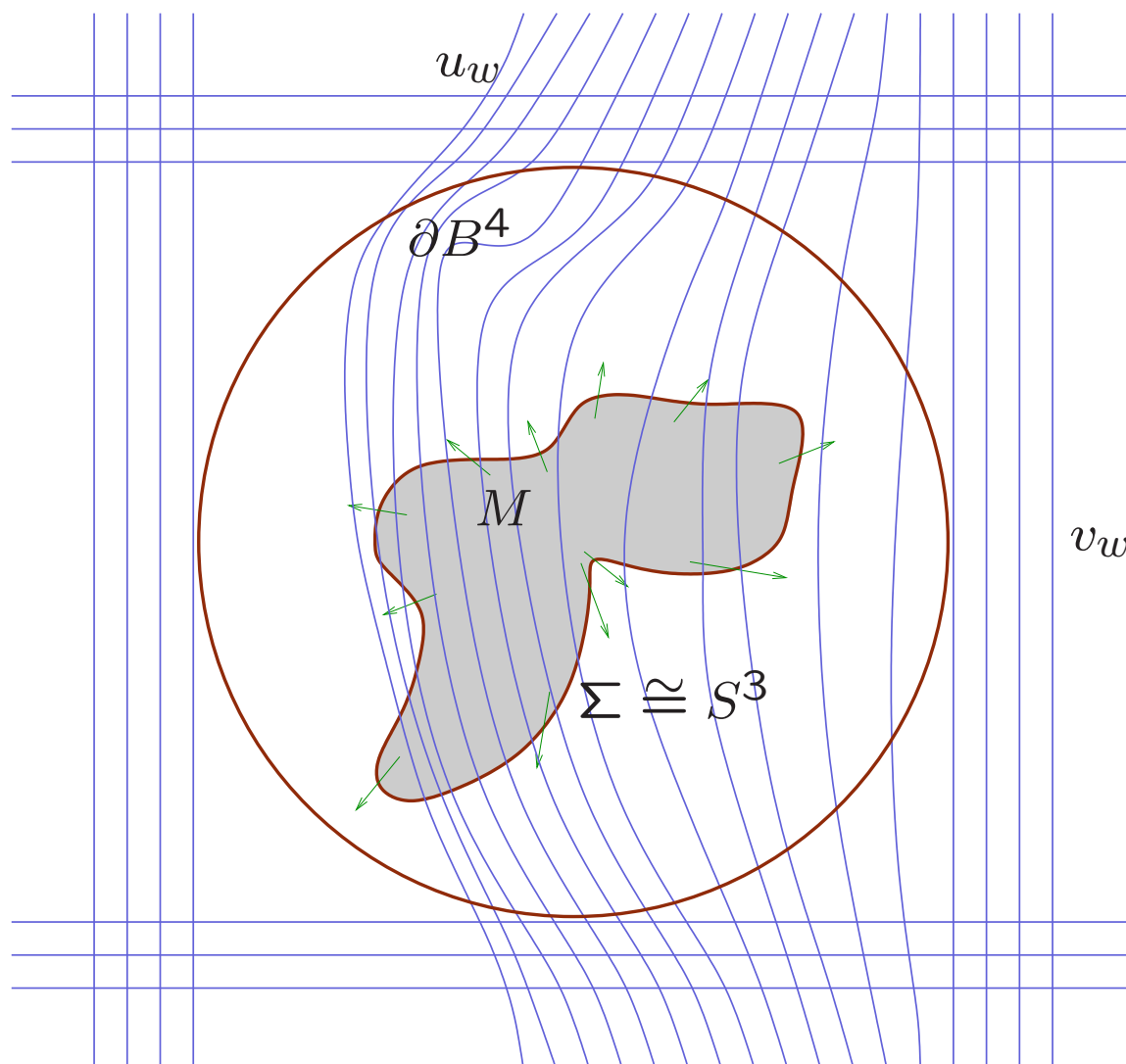




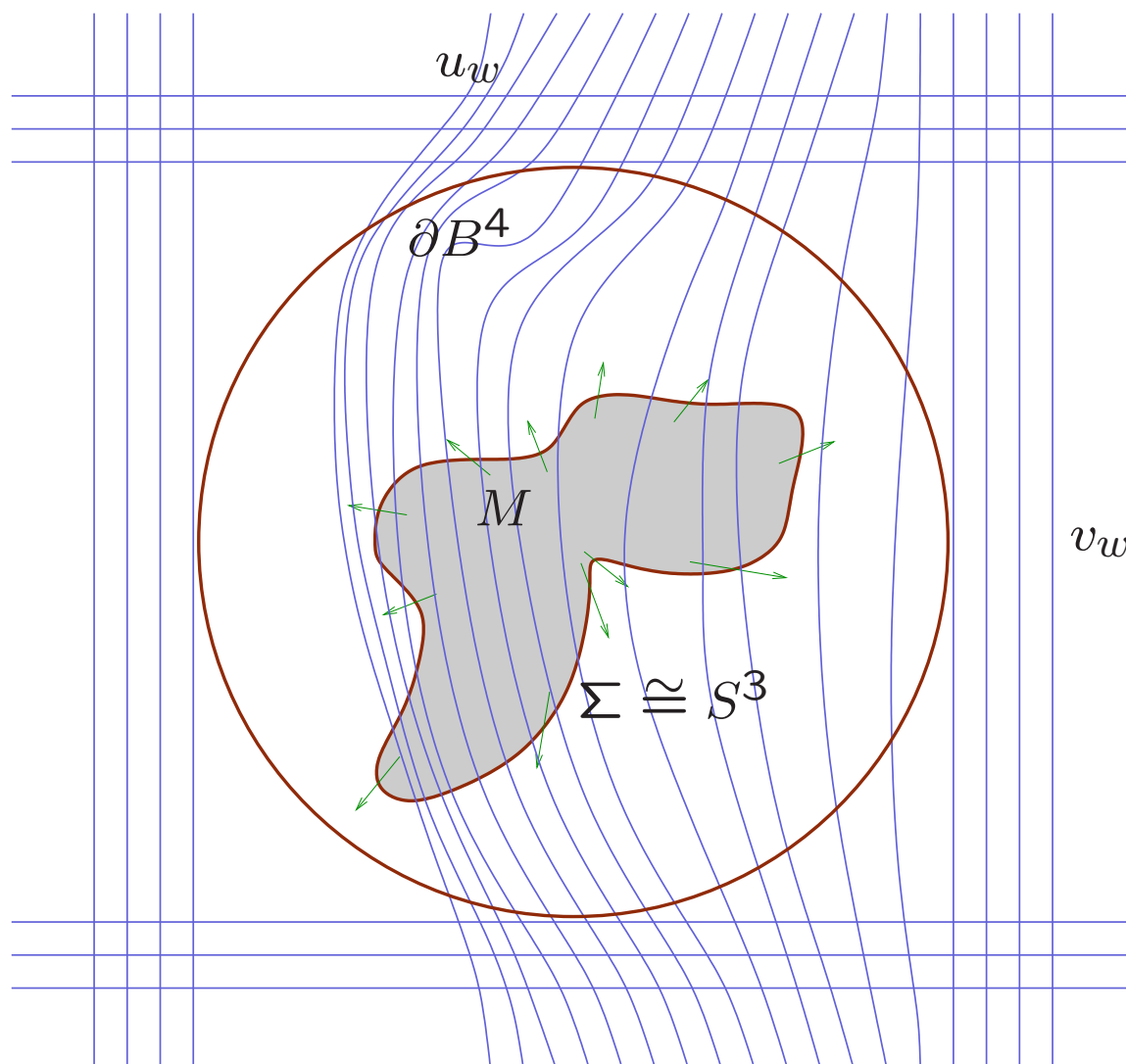
**Final step:** “turn on the machine. . .”



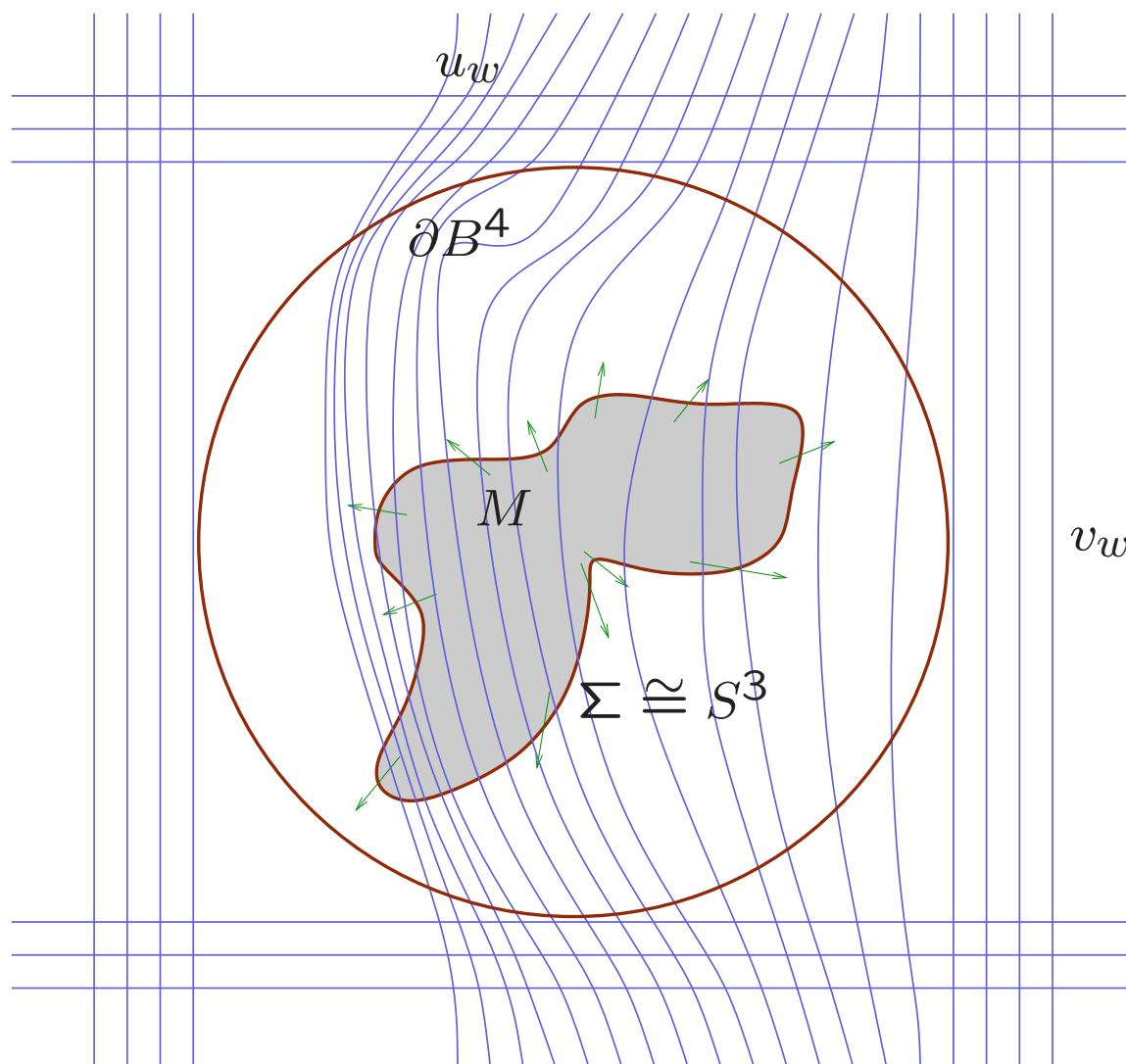
**Final step: “turn on the machine. . .”**



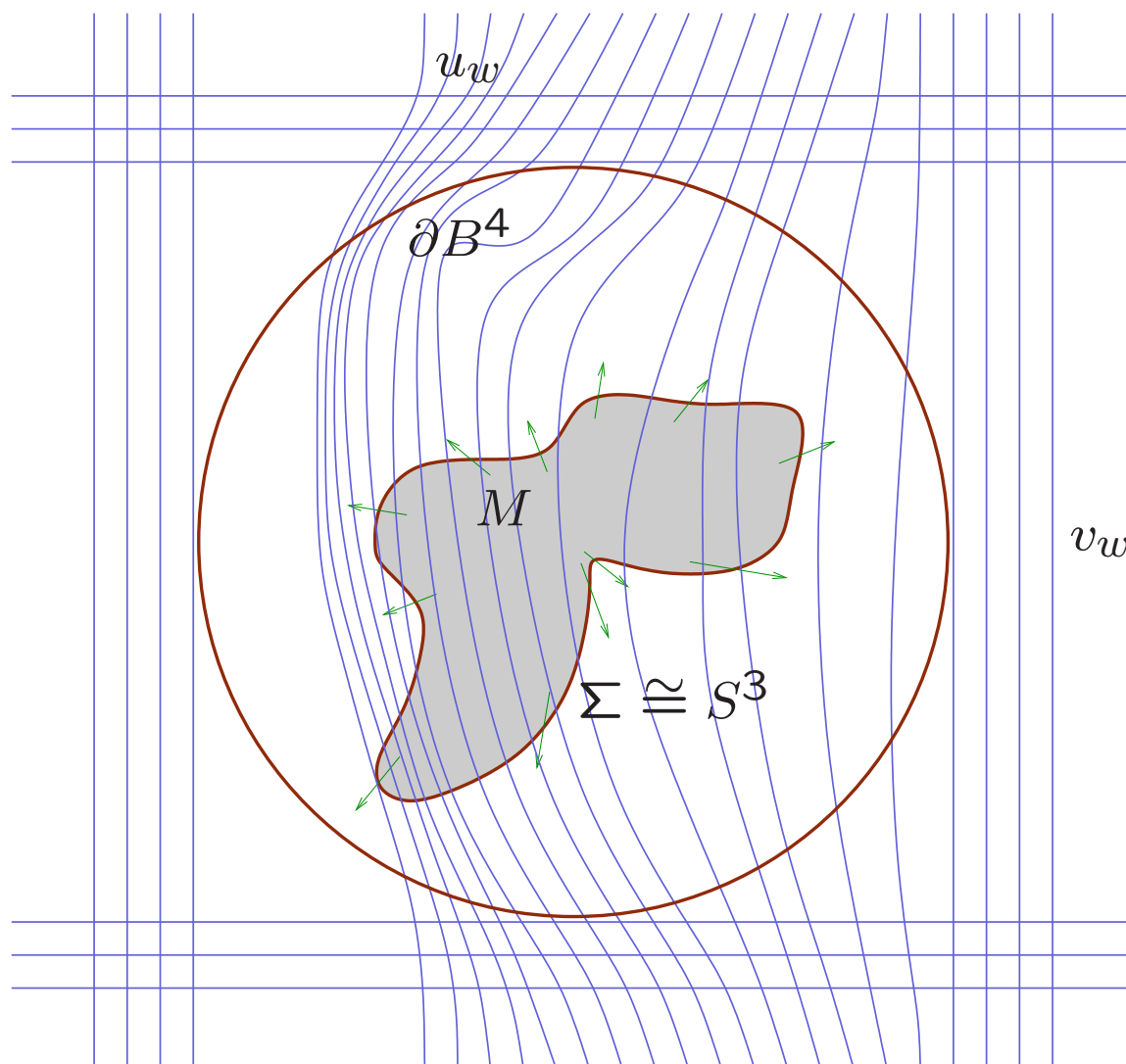
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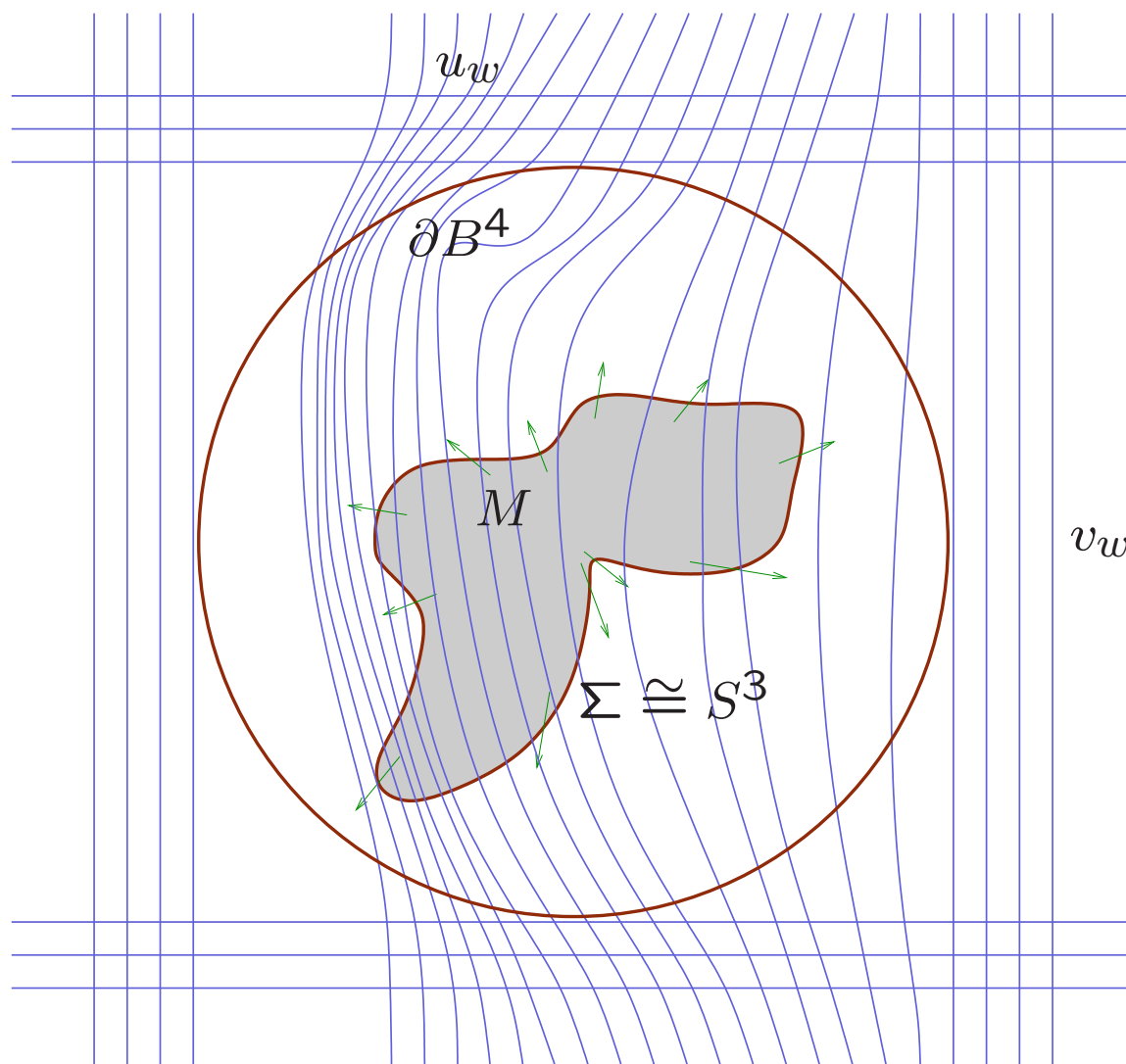
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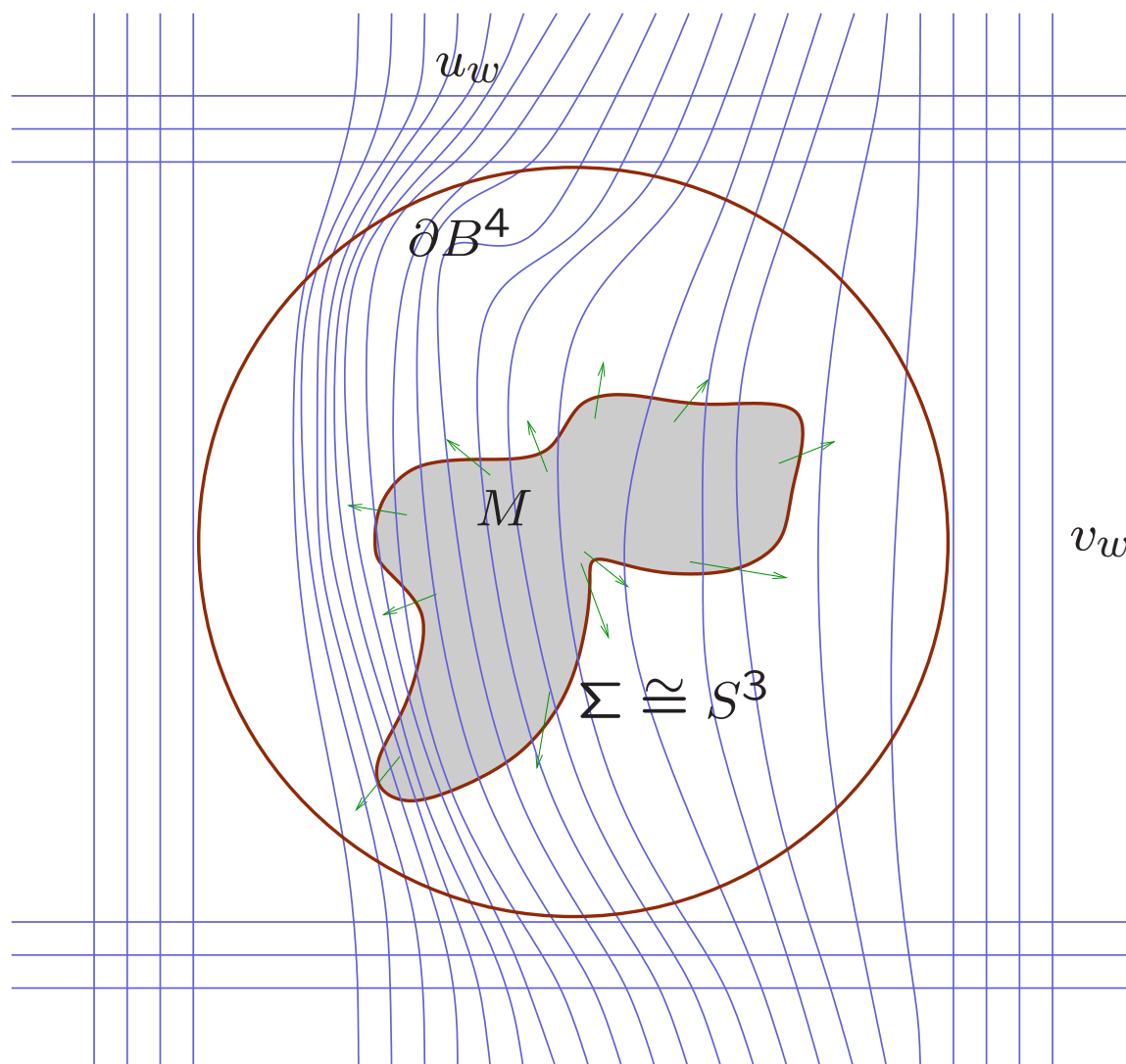
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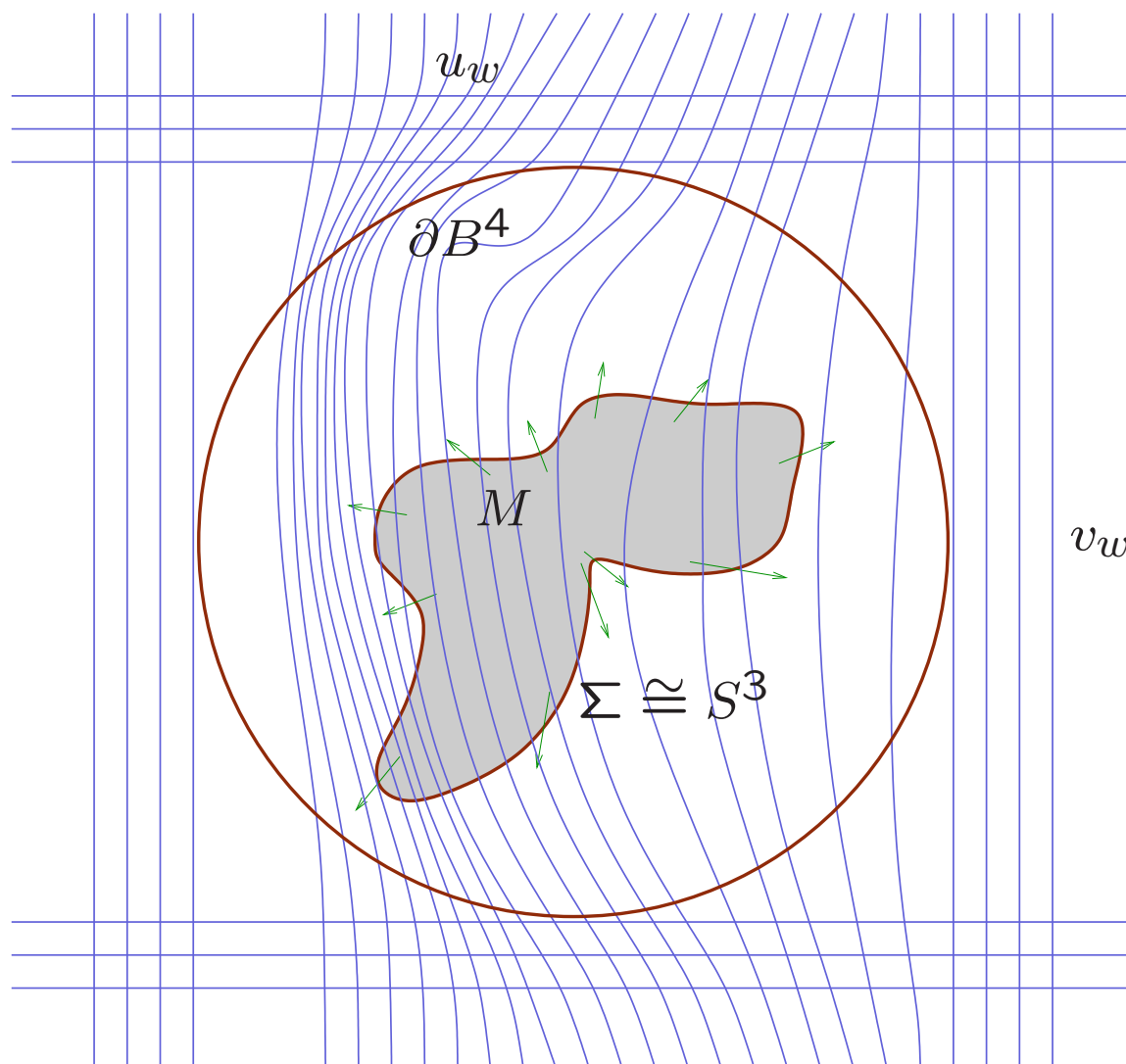
**Final step:** “turn on the machine. . .”



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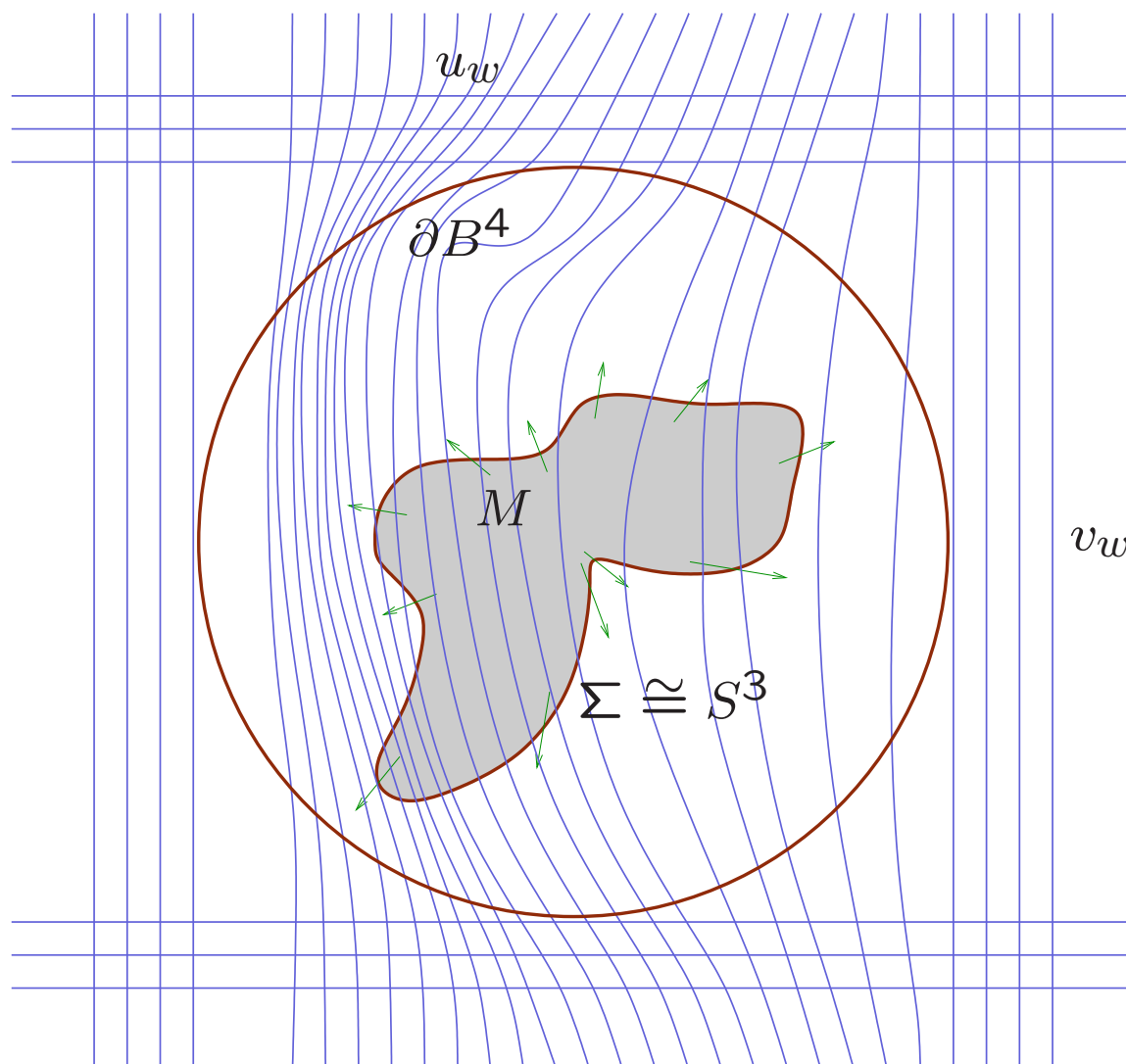


**Final step:** “turn on the machine. . .”

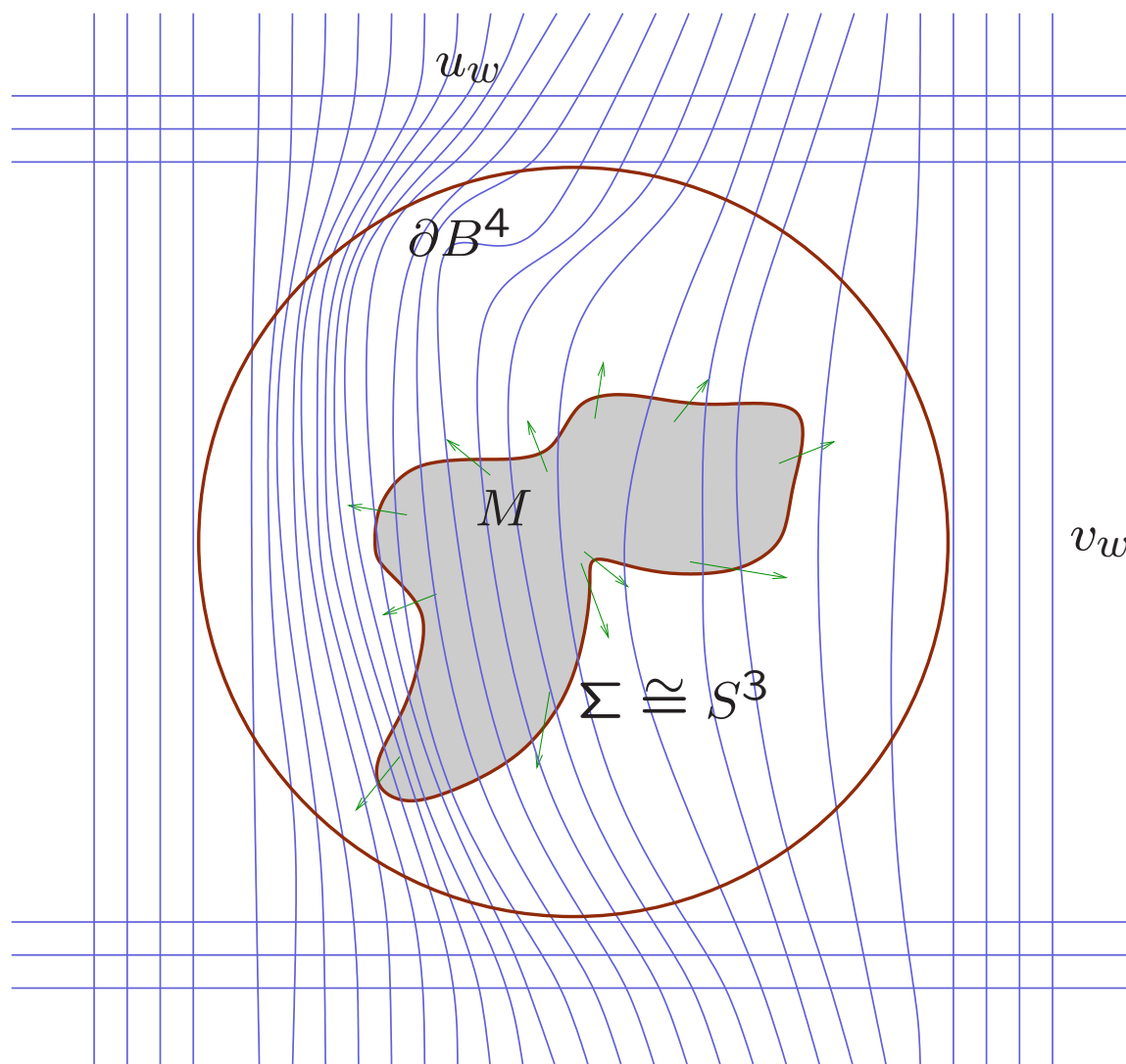




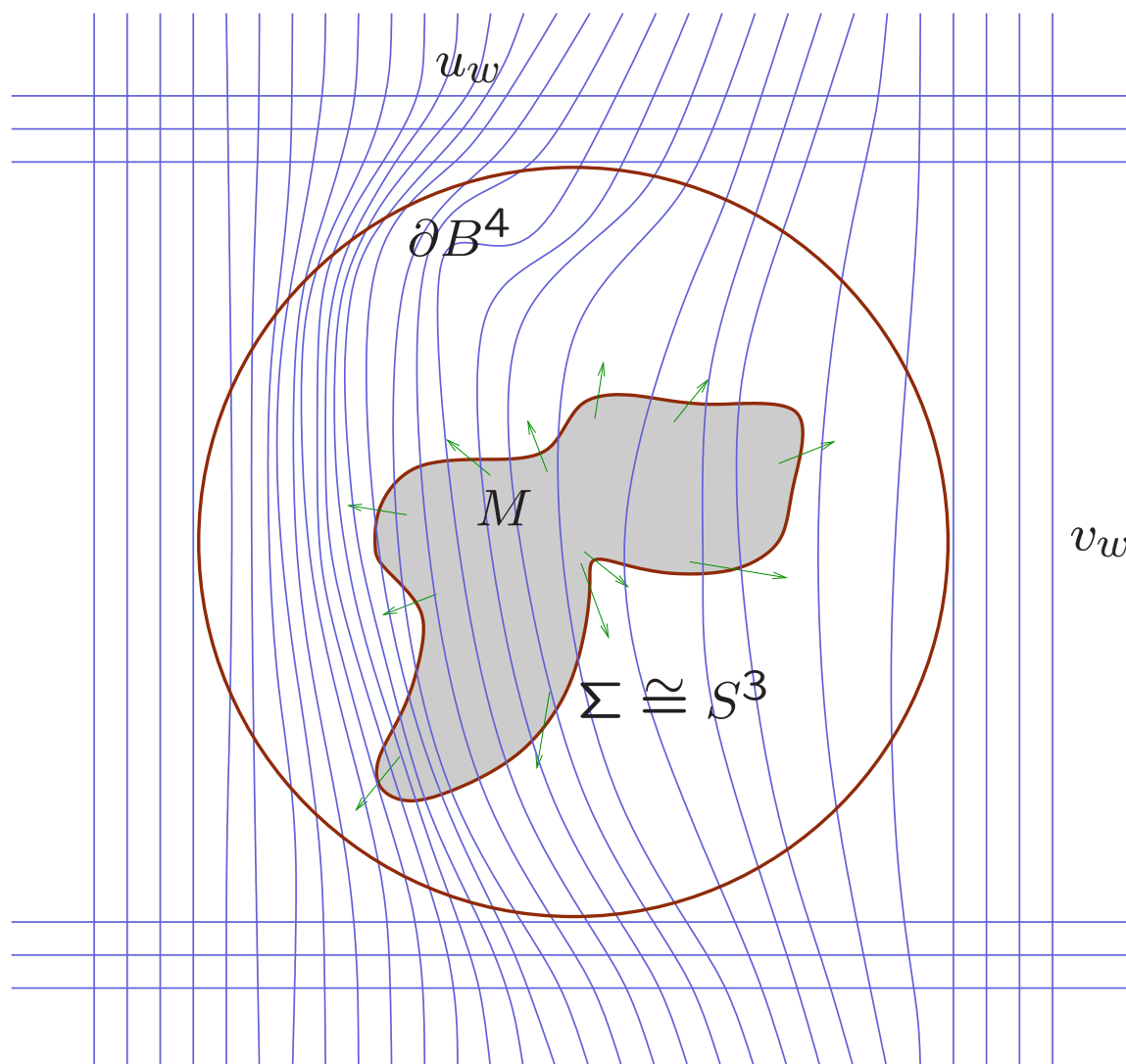
**Final step:** “turn on the machine. . .”



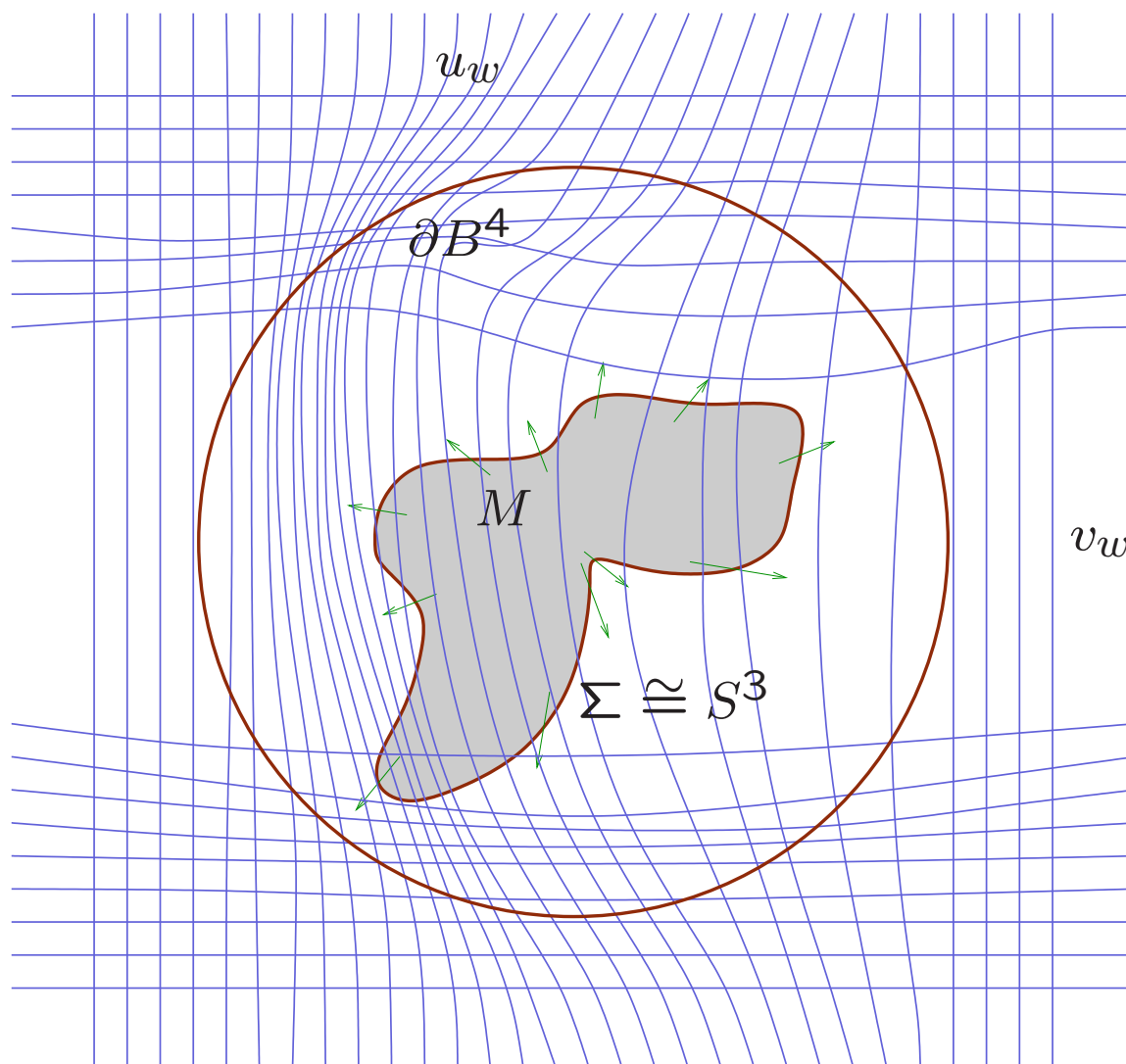
**Final step:** “turn on the machine. . .”



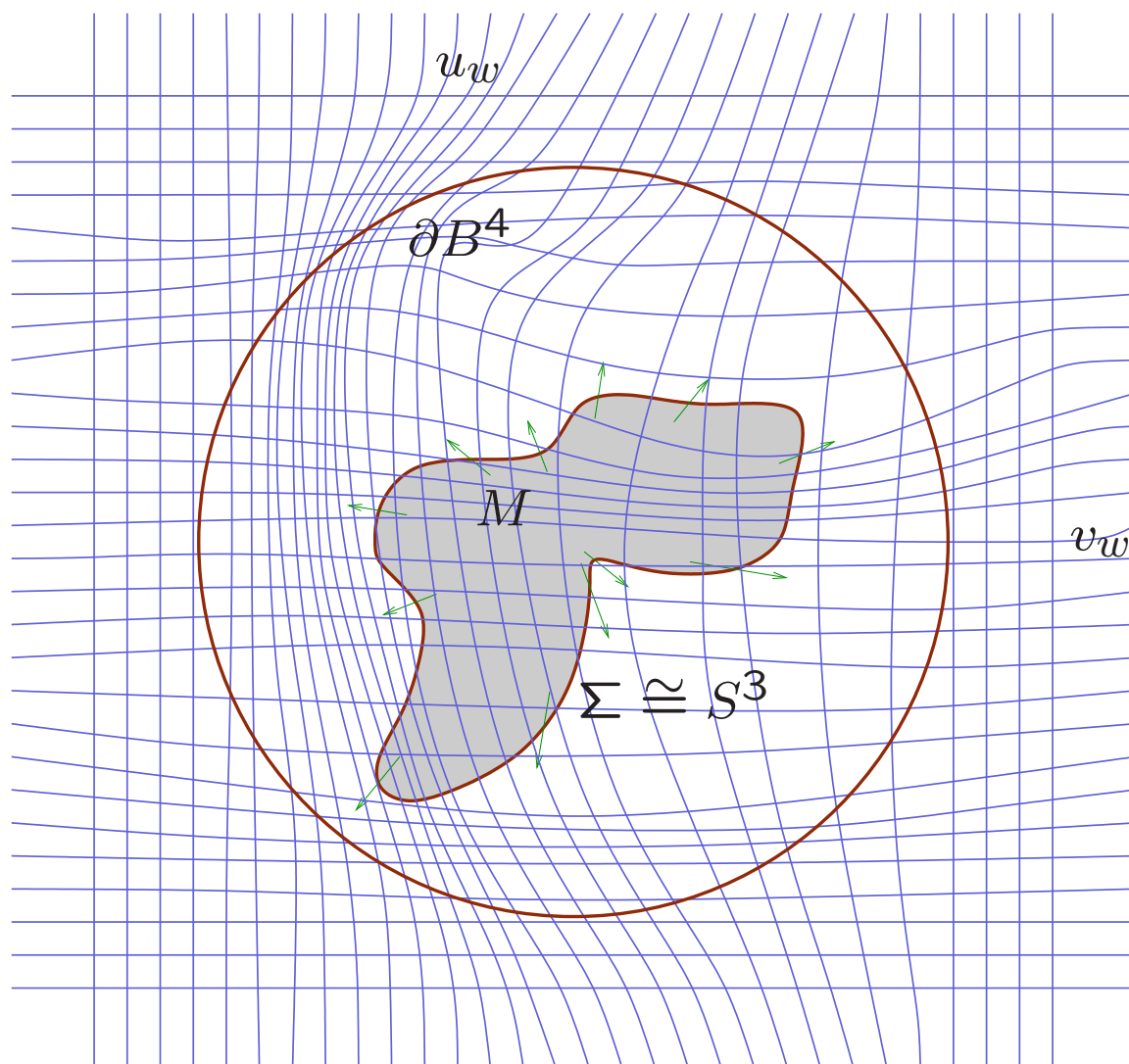
**Final step:** “turn on the machine. . .”



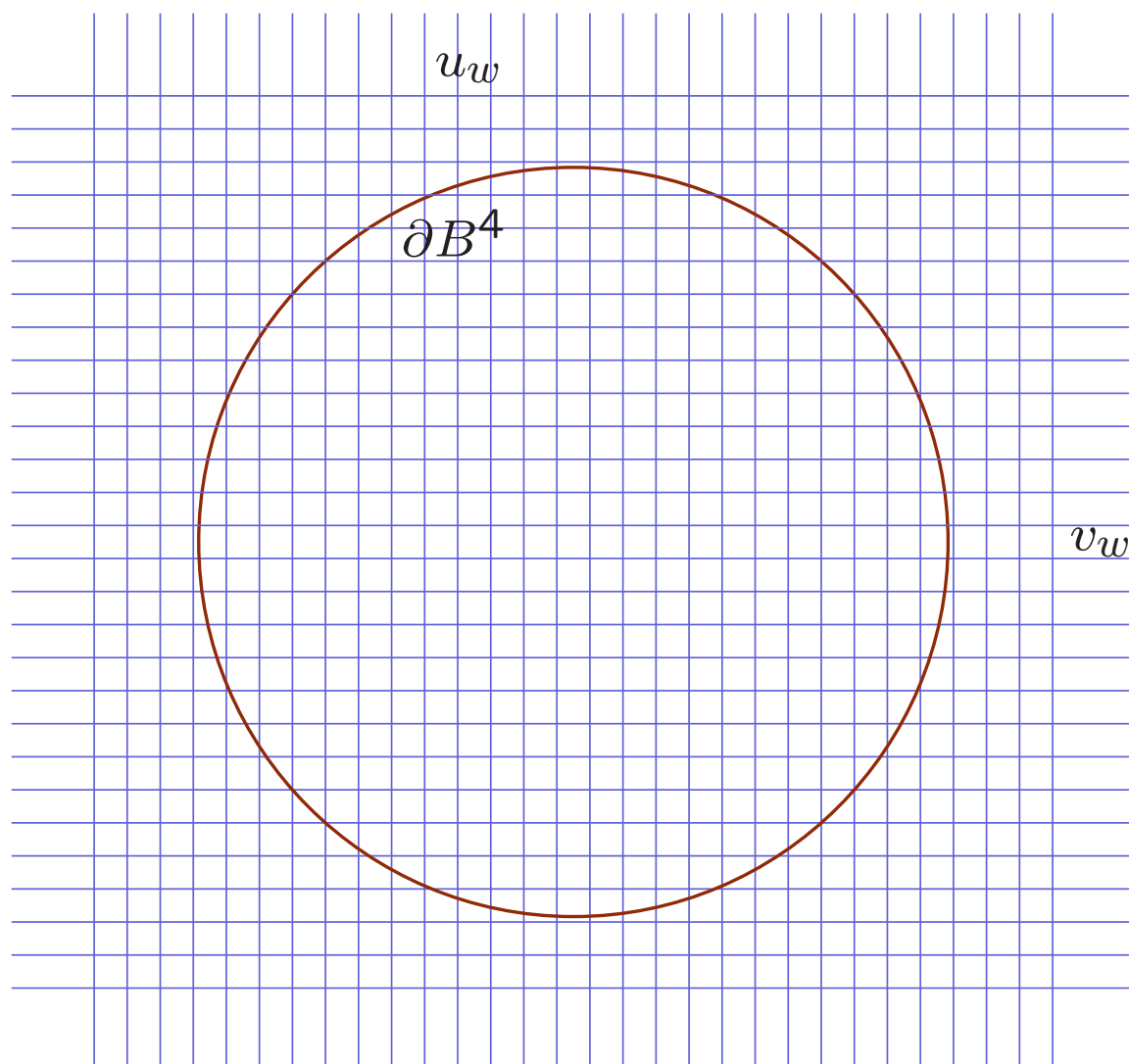
**Final step:** “turn on the machine. . .”



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$\Rightarrow W \cong \mathbb{C}^2.$



**That was nearly 30 years ago.**

Here is a more recent but similar result. . .

**Theorem** (W. 2010)

The only **exact symplectic fillings** of a 3-dimensional torus

$$\mathbb{T}^3 := S^1 \times S^1 \times S^1$$

are **star-shaped domains** in the **cotangent bundle** of  $\mathbb{T}^2$ .

**Question:**

For a surface  $\Sigma$  of genus  $g \geq 2$ , does the **unit cotangent bundle** have more than one exact symplectic filling?

*No one has any idea.*