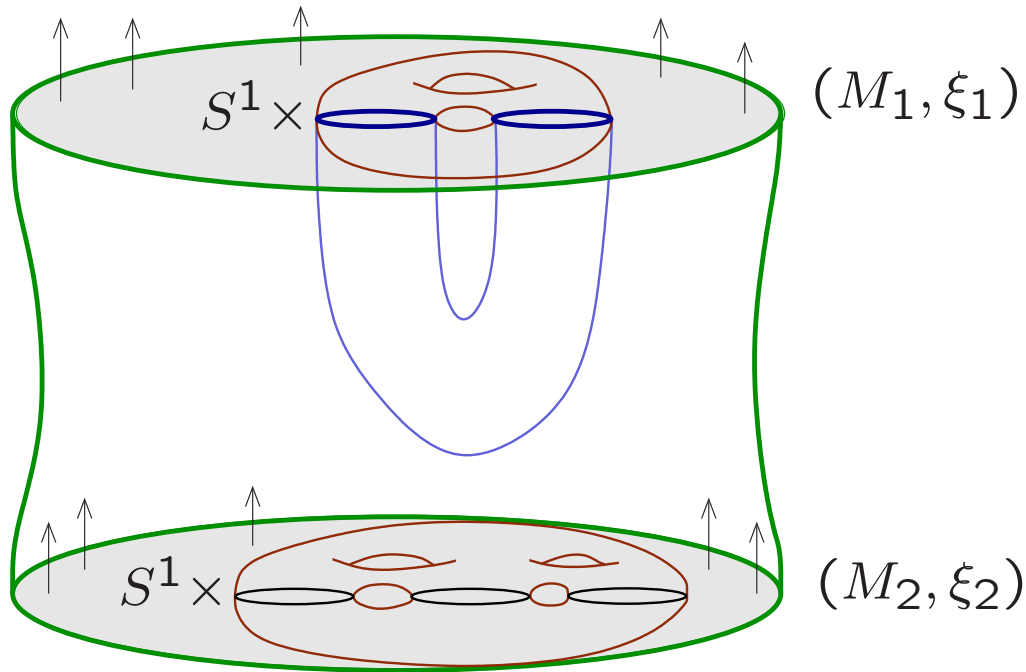


Some Tight Contact Manifolds Are Tighter Than Others



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(includes joint work with J. Latschev, P. Massot and
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Slides available at:

<http://www.homepages.ucl.ac.uk/~ucahcwe/publications.html#talks>

Warmup: Hamiltonian dynamics

(W^{2n}, ω) symplectic: $\omega^n > 0$ and $d\omega = 0$

$H : M \rightarrow \mathbb{R} \rightsquigarrow$ Hamiltonian vector field:

$$\omega(X_H, \cdot) = -dH$$

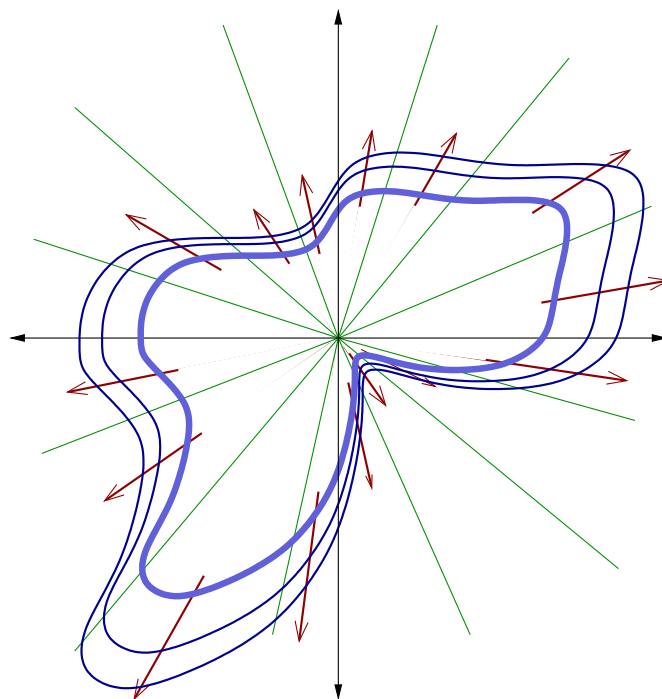
Flow of X_H preserves level sets $\Sigma_c := H^{-1}(c)$.

Question:

Given $c \in \mathbb{R}$, is there a **periodic orbit** in Σ_c ?

Theorem (Rabinowitz-Weinstein '78).

In $(\mathbb{R}^{2n}, \omega_{\text{std}})$, every **star-shaped** hypersurface admits a periodic orbit.

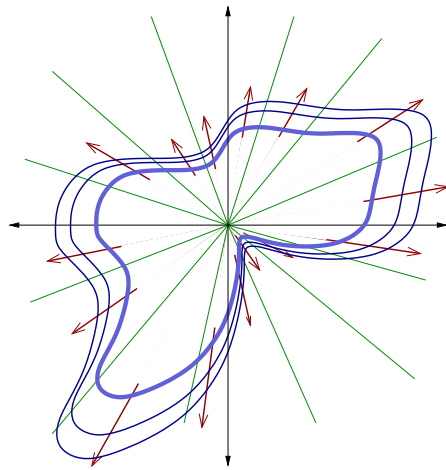


Convexity and contact structures

Assume (W, ω) compact, $\partial W =: M \neq \emptyset$.

The boundary is **convex** if it is transverse to an outward pointing *Liouville vector field* Z :

$$\mathcal{L}_Z \omega = \omega$$



What structure does ω induce on ∂W ?

$Z \pitchfork M \Rightarrow \alpha := \omega(Z, \cdot)|_{TM}$ is a *contact form*:

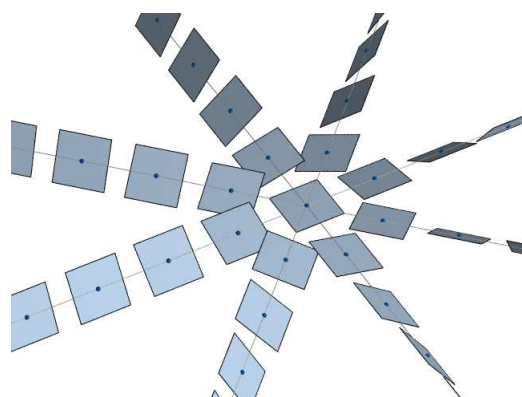
$$\alpha \wedge (d\alpha)^{n-1} > 0.$$

Up to isotopy, the **contact structure** defined by $\xi := \ker \alpha$ is *independent of choices*.

We say (W, ω) is a **symplectic filling** of (M, ξ) :

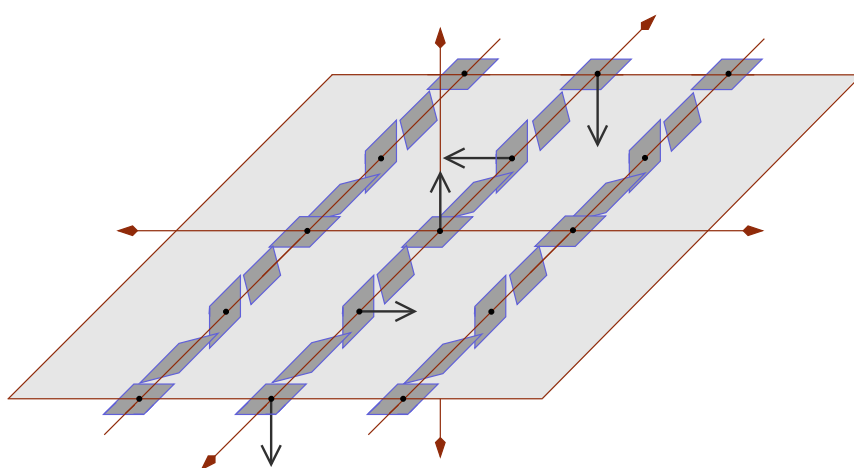
$$“\partial(W, \omega) = (M, \xi)”$$

(M^{2n-1}, ξ) contact manifold \Rightarrow
the hyperplane field $\xi \subset TM$ is *“maximally nonintegrable”*



and transverse to a **Reeb** (i.e. Hamiltonian) vector field.

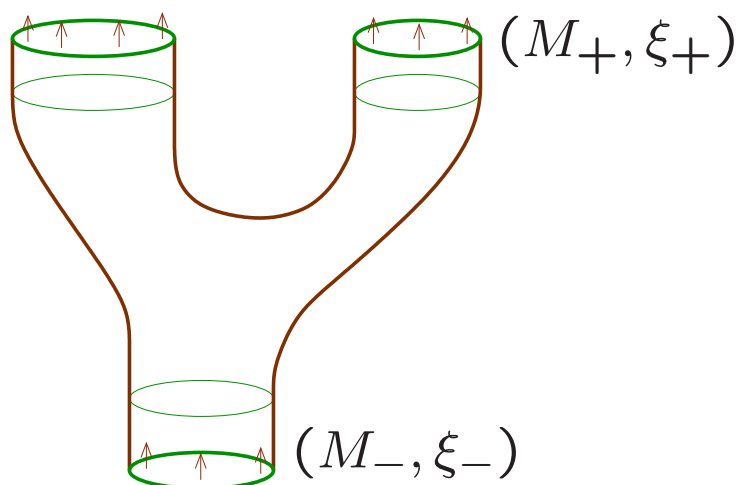
Examples: $\mathbb{T}^3 = S^1 \times S^1 \times S^1 \ni (s, \phi, \theta)$. For $k \in \mathbb{N}$, let $\xi_k := \ker [\cos(2\pi ks) d\theta + \sin(2\pi ks) d\phi]$



Then $(\mathbb{T}^3, \xi_1) = \partial (\mathbb{D}(T^*T^2), \omega_{\text{std}})$.

Some hard problems in contact topology

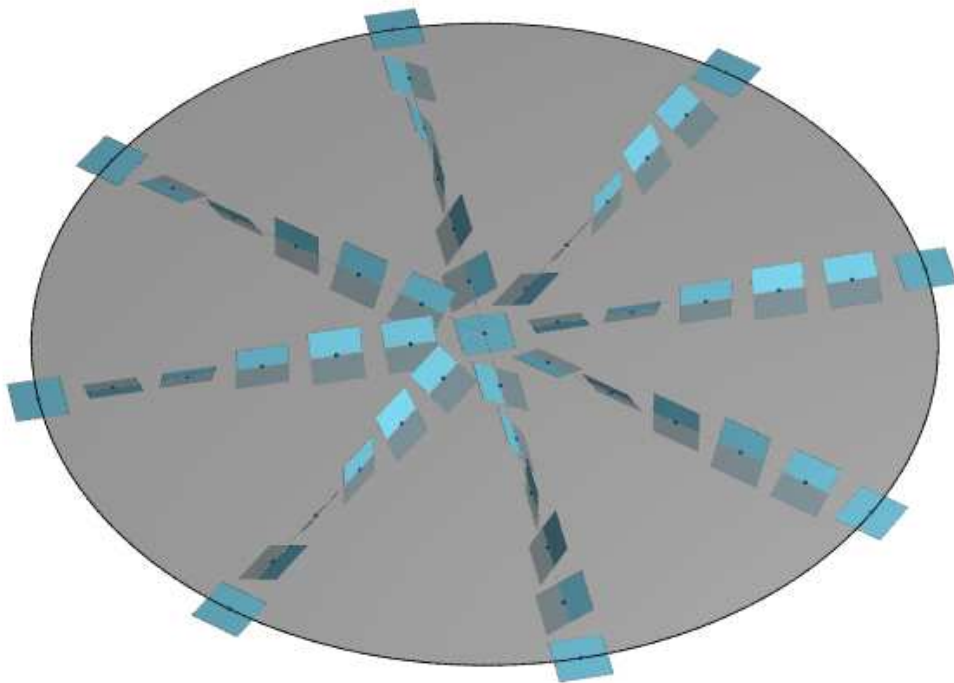
1. *Classification of contact structures:*
given ξ_1, ξ_2 on M , is there a diffeomorphism $\varphi : M \rightarrow M$ with $\varphi_*\xi_1 = \xi_2$?
2. *Weinstein conjecture:*
Every Reeb vector field on every closed contact manifold has a **periodic orbit**?
3. *Partial orders:* say $(M_-, \xi_-) \prec (M_+, \xi_+)$ if there is a (symplectic/Liouville/Stein) **cobordism** between them.



When is $(M_-, \xi_-) \prec (M_+, \xi_+)$?
When is $\emptyset \prec (M, \xi)$? (Is it **fillable**?)

Dimension 3: Overtwisted vs. Tight

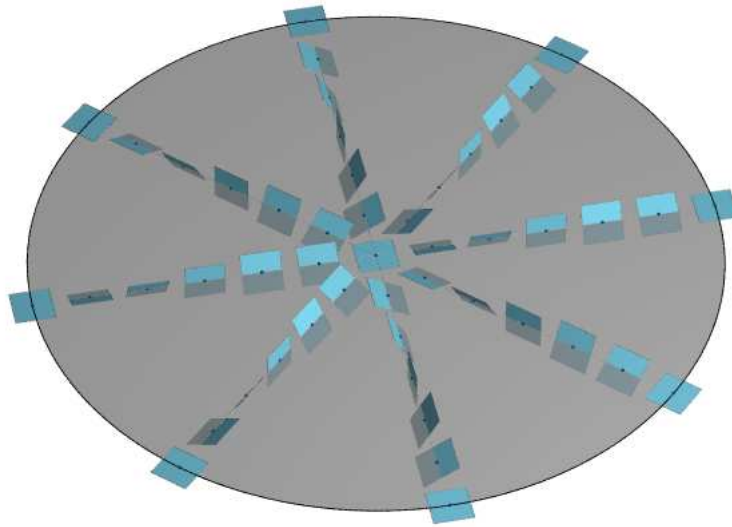
(M^3, ξ) is **overtwisted** if there exists a disk $D \hookrightarrow M$ with $T(\partial D) \subset \xi$ and $TD \pitchfork \xi$ at ∂D .



Non-overtwisted contact structures are called **“tight”**.

They are harder to understand.

The remarkable properties of ξ_{ot} :

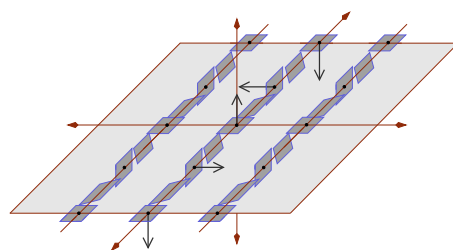


1. **Flexibility:** $(M, \xi_{ot}) \stackrel{\text{isotopic}}{\cong} (M, \xi'_{ot}) \Leftrightarrow \xi_{ot}$ and ξ'_{ot} are **homotopic**. (*Eliashberg '89*)
2. **Vanishing:** All “interesting” contact invariants vanish for ξ_{ot} .
3. **Weinstein conjecture:** ξ_{ot} always admits a **contractible** Reeb orbit. (*Hofer '93*)
4. **Not fillable:** $\emptyset \neq (M, \xi_{ot})$.
(*Gromov '85 + Eliashberg '89*)

Contrast: the tight 3-tori (\mathbb{T}^3, ξ_k) :

1. **Not flexible**: All ξ_k are homotopic for all $k \in \mathbb{N}$, but $(\mathbb{T}^3, \xi_k) \not\cong (\mathbb{T}^3, \xi_\ell)$ for $k \neq \ell$.
2. **Nonvanishing**: Contact homology distinguishes ξ_k for different $k \in \mathbb{N}$.
3. **Hypertight**: ξ_k always has a closed Reeb orbit, but sometimes none are **contractible**.
4. **Usually not fillable**: $\emptyset \prec (\mathbb{T}^3, \xi_k)$ iff $k = 1$.

We say (M^3, ξ) has **Giroux torsion** if $([0, 1] \times \mathbb{T}^2, \xi_1) \hookrightarrow (M, \xi)$.



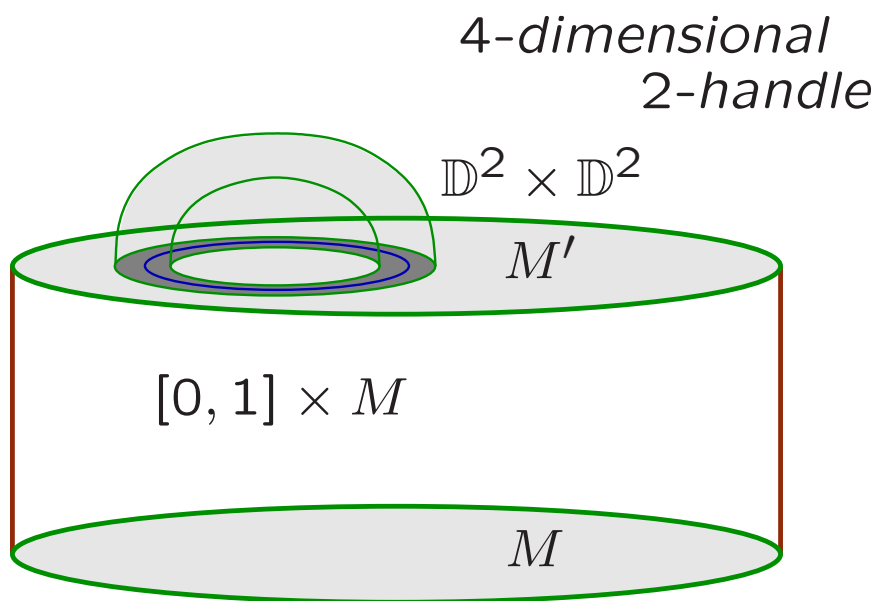
- Overtwisted \Rightarrow Giroux torsion
- (\mathbb{T}^3, ξ_k) has Giroux torsion for $k \geq 2$
- Giroux torsion \Rightarrow **not fillable** (Gay '06)

Conjecture.

Suppose $(M, \xi) \xrightarrow{\text{contact surgery}} (M', \xi')$.

Then (M, ξ) *tight* \Rightarrow (M', ξ') *tight*.

Surgery \rightsquigarrow handle attaching cobordism:



$$\partial(([0, 1] \times M) \cup (\mathbb{D}^2 \times \mathbb{D}^2)) = -M \sqcup M'$$

Cobordism is *exact symplectic*: $(M, \xi) \prec (M', \xi')$.

Conjecture.

Overtwistedness is *minimal* with respect to the relation " \prec " (exact symplectic cobordisms).

“There are **degrees of tightness**”

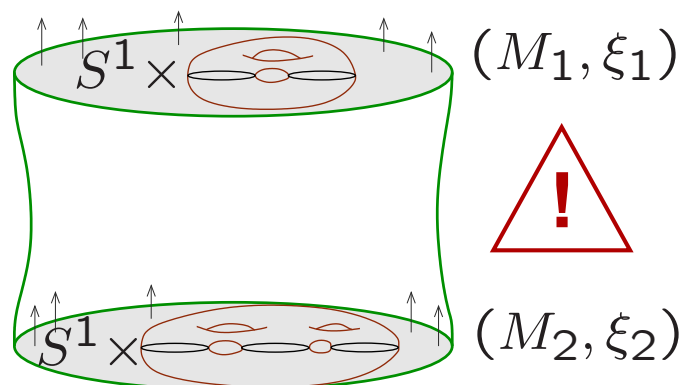
Theorem (Latschev-W. '10).

There exists a numerical *contact invariant* $AT(M, \xi) \in \mathbb{N} \cup \{0, \infty\}$ such that:

- $(M_-, \xi_-) \prec (M_+, \xi_+) \Rightarrow AT(M_-, \xi_-) \leq AT(M_+, \xi_+)$
- $AT(\emptyset) = \infty$
Hence: $AT(M, \xi) < \infty \Rightarrow$ *non-fillable*
- *Overtwisted* $\Rightarrow AT(M, \xi) = 0$
- *Giroux torsion* $\Rightarrow AT(M, \xi) \leq 1$
- $\forall k, \exists (M_k^3, \xi_k)$ with $AT(M_k, \xi_k) = k$.

Corollary:

$(M_k, \xi_k) \xrightarrow{\text{contact surgery}} (M_\ell, \xi_\ell) \Rightarrow \ell \geq k$.



Symplectic Field Theory

(Eliashberg-Givental-Hofer '00)

SFT is a (still partly conjectural) **Floer-type theory** for contact manifolds and symplectic cobordisms.

Data: (M^{2n-1}, ξ) with choice of

- **Contact form** α (\rightsquigarrow Reeb vector field)
- Admissible \mathbb{R} -invariant **almost complex structure** J on the **symplectisation** $(\mathbb{R} \times M, d(e^t \alpha))$

To each Reeb orbit γ , associate a formal variable q_γ with degree

$$|q_\gamma| := n - 3 + \mu_{\text{CZ}}(\gamma) \in \mathbb{Z}_2.$$

and a formal differential operator $p_\gamma := \hbar \frac{\partial}{\partial q_\gamma}$.

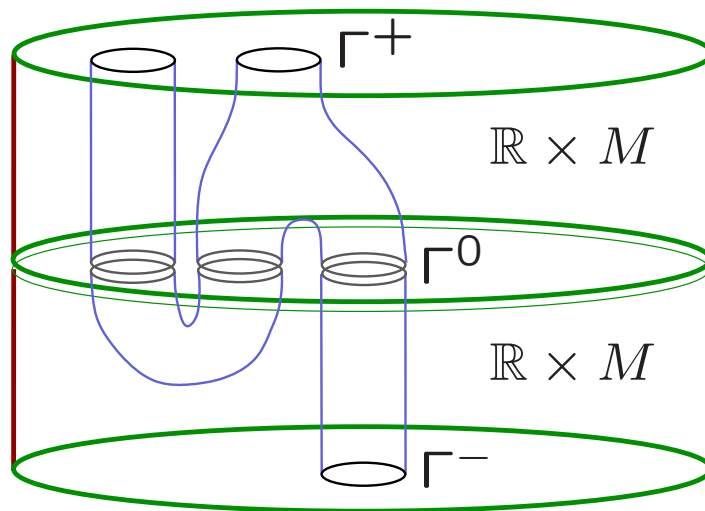
$\mathcal{A} :=$ **graded commutative unital \mathbb{R} -algebra** with generators q_γ .

We define an operator

$$\mathcal{H} : \mathcal{A}[[\hbar]] \rightarrow \mathcal{A}[[\hbar]]$$

by counting **rigid J -holomorphic curves** in $\mathbb{R} \times M$ of arbitrary **genus $g \geq 0$** with positive/negative **cylindrical ends** asymptotic to sets of Reeb orbits $\Gamma^\pm = (\gamma_1^\pm, \dots, \gamma_{k_\pm}^\pm)$:

$$\mathcal{H} := \sum_{g, \Gamma^+, \Gamma^-} \# \left(\mathcal{M}_g(\Gamma^+, \Gamma^-) / \mathbb{R} \right) \hbar^{g-1} q^{\Gamma^-} p^{\Gamma^+}$$



Compactness/gluing theory $\Rightarrow \mathcal{H}^2 = 0$, and

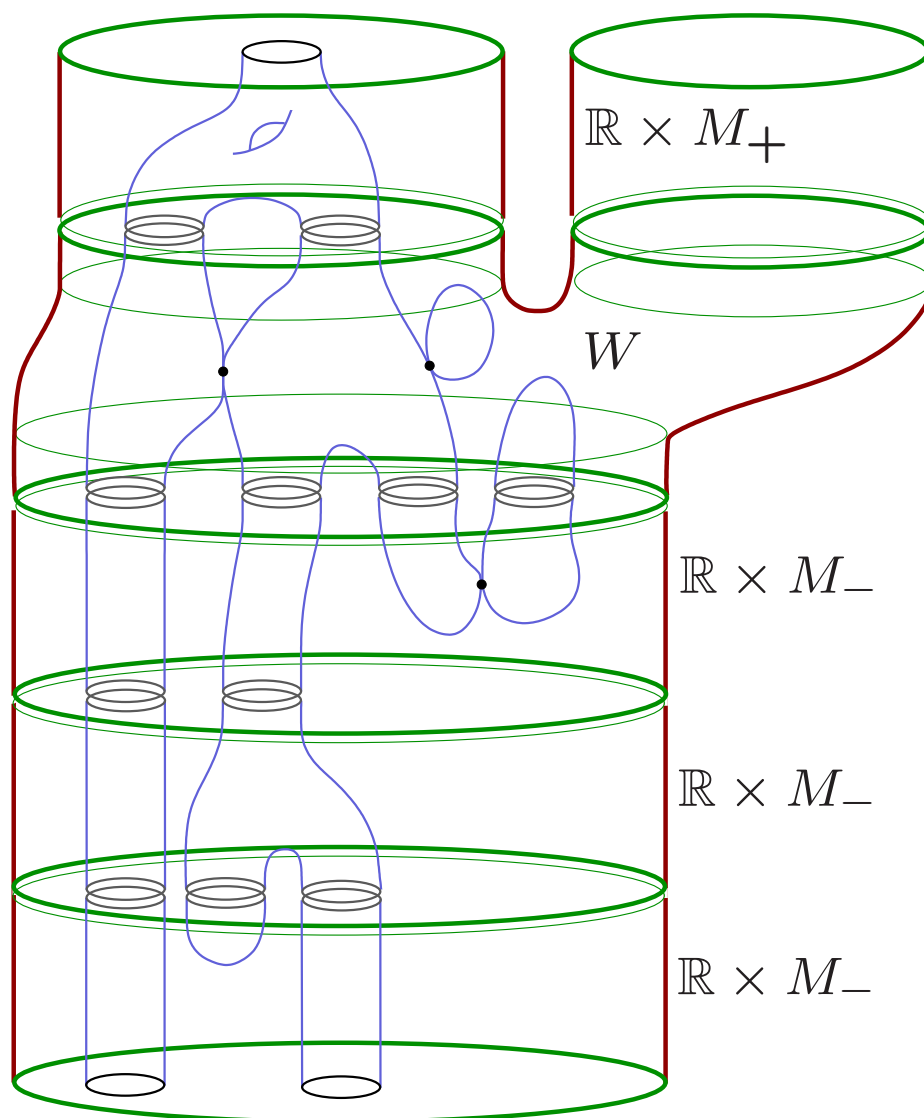
$$H_*^{\text{SFT}}(M, \xi) := H_*(\mathcal{A}[[\hbar]], \mathcal{H})$$

is a **contact invariant**.

Symplectic cobordism $(M_-, \xi_-) \prec (M_+, \xi_+)$
 \Rightarrow **natural map**

$$H_*^{\text{SFT}}(M_+, \xi_+) \rightarrow H_*^{\text{SFT}}(M_-, \xi_-)$$

preserving elements of $\mathbb{R}[[\hbar]]$.

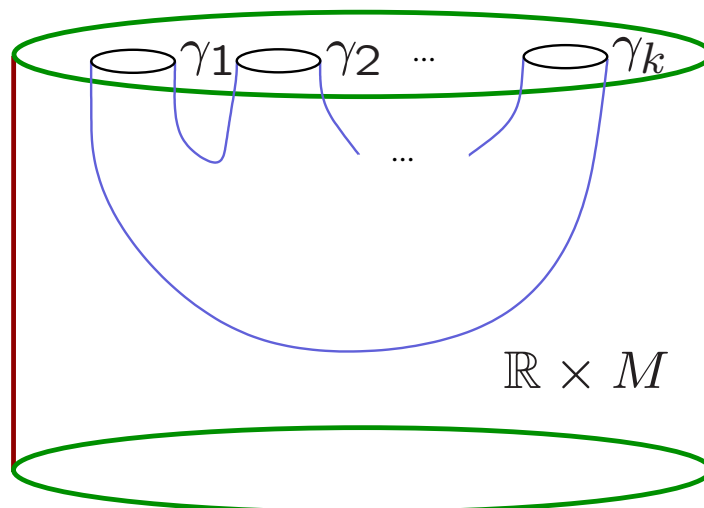


Example 1

If **no periodic orbits**, then $H_*^{\text{SFT}}(M, \xi) = \mathbb{R}[[\hbar]]$.

Example 2

Suppose $\mathbb{R} \times M$ has **exactly one** rigid J -holomorphic curve, with **genus 0**, **no negative ends**, and positive ends at orbits $\gamma_1, \dots, \gamma_k$.



Then

$$\mathcal{H} = \hbar^{-1} p_{\gamma_1} \dots p_{\gamma_k}.$$

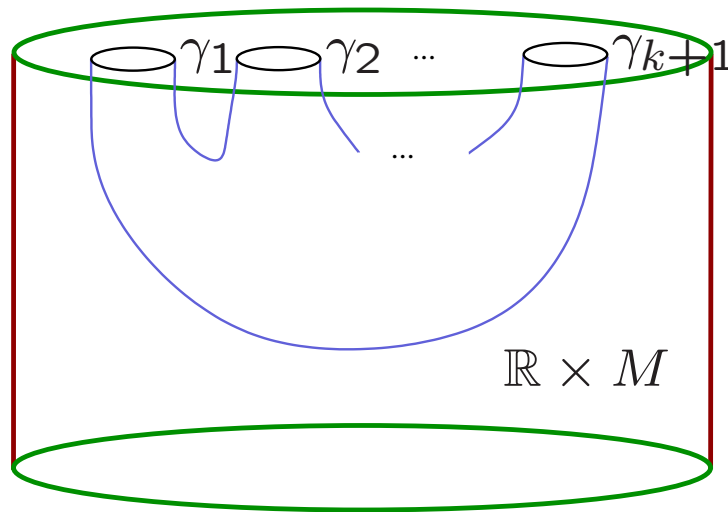
Substituting $p_{\gamma_i} = \hbar \frac{\partial}{\partial q_{\gamma_i}}$ gives

$$\mathcal{H}(q_{\gamma_1} \dots q_{\gamma_k}) = \hbar^{k-1}$$

$$\Rightarrow [\hbar^{k-1}] = 0 \in H_*^{\text{SFT}}(M, \xi)$$

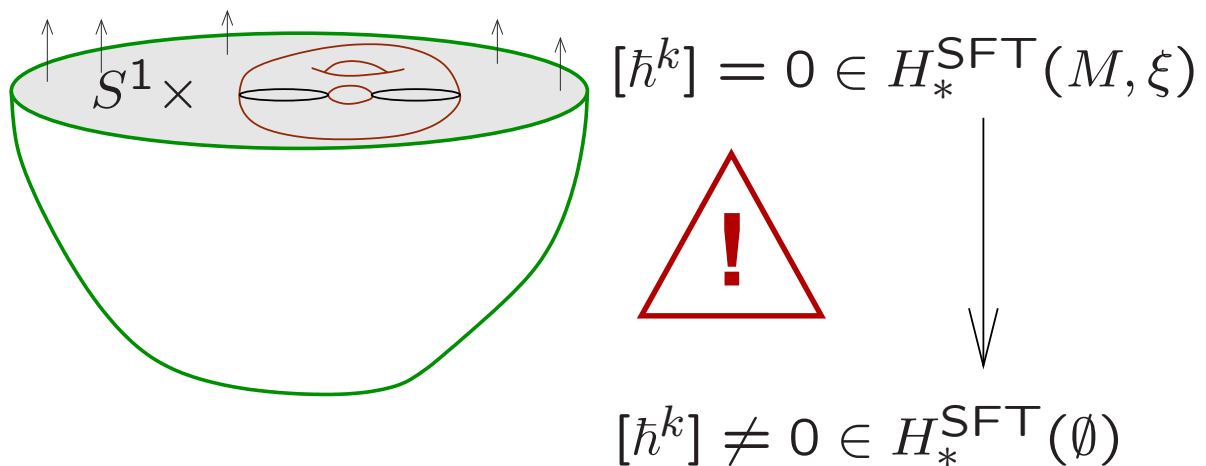
Definition.

We say (M, ξ) has **algebraic k -torsion** if $[\hbar^k] = 0 \in H_*^{\text{SFT}}(M, \xi)$.



$$\text{AT}(M, \xi) := \sup \left\{ k \mid [\hbar^{k-1}] \neq 0 \in H_*^{\text{SFT}}(M, \xi) \right\}$$

Theorem. Algebraic k -torsion \Rightarrow not fillable.

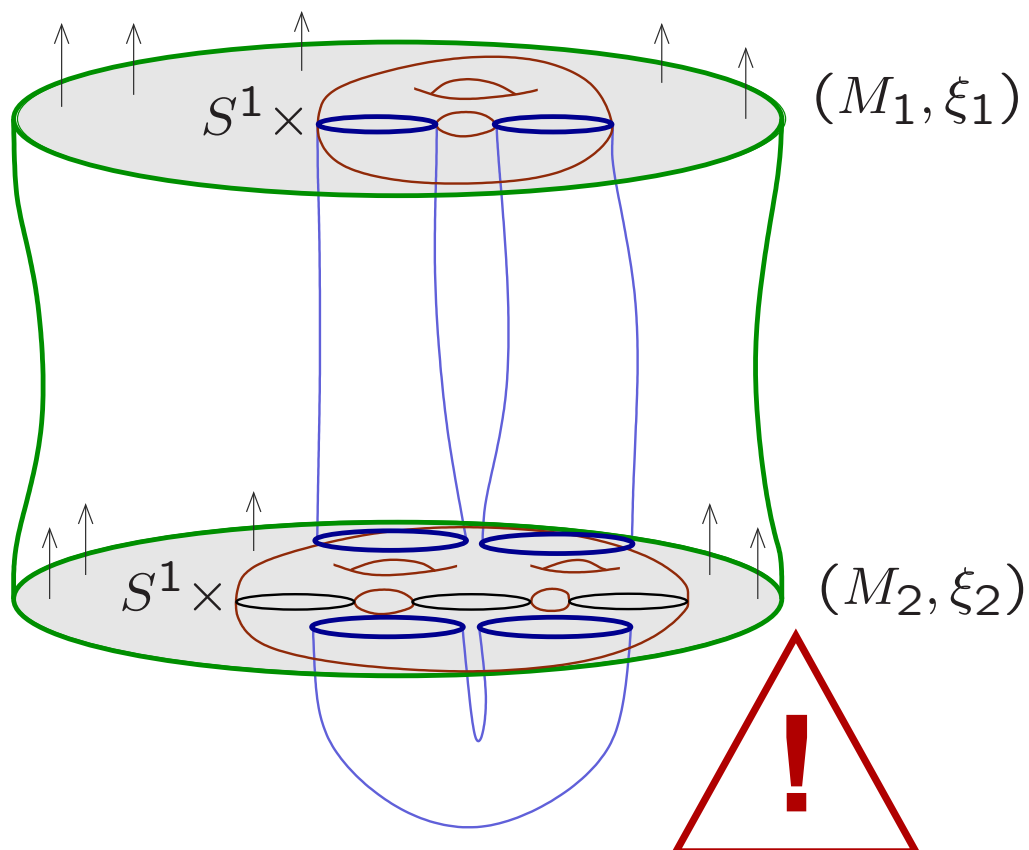


Similarly:

Theorem. $M \prec M' \Rightarrow \text{AT}(M) \leq \text{AT}(M')$.

What does this mean *geometrically*?

Example: $(M_2, \xi_2) \not\prec (M_1, \xi_1)$



What about $\dim > 3$?

- (M, ξ) “PS-overtwisted” $\Rightarrow \text{AT}(M, \xi) = 0$
(Bourgeois-Niederkrüger '07)
- Examples with $1 \leq \text{AT}(M, \xi) < \infty$???

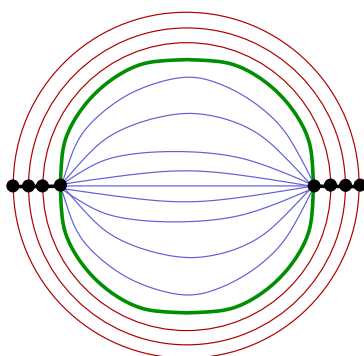
Recall: $(\mathbb{T}^3, \xi_k) \cong ((\mathbb{R}/2\pi k\mathbb{Z}) \times \mathbb{T}^2, \ker \alpha_{\text{gt}})$,

$$\alpha_{\text{gt}} := \frac{\cos s + 1}{2} d\theta + \frac{\cos s - 1}{2} (-d\theta) + (\sin s) d\phi.$$

$(\mathbb{T}^3, \xi_1) = \partial(\text{a trivial symplectic fibration})$:

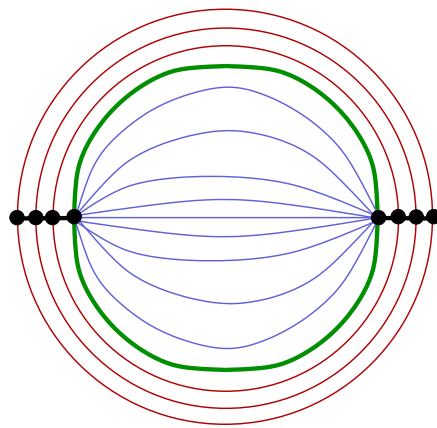
$$T^*\mathbb{T}^2 = \mathbb{R}^2 \times \mathbb{T}^2 \cong (\mathbb{R} \times S^1) \times (\mathbb{R} \times S^1)$$

where $\mathbb{R} \times S^1$ carries the exact symplectic structure $d(e^s d\theta + e^{-s}(-d\theta))$.

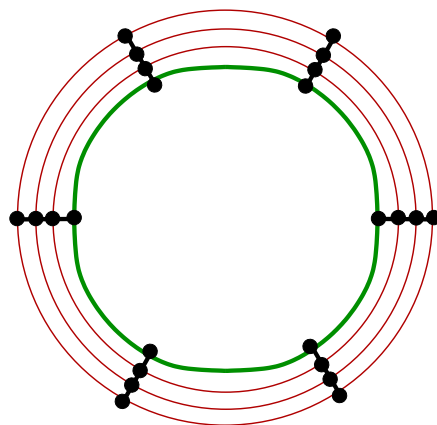


\Rightarrow can foliate $T^*\mathbb{T}^2$ by holomorphic cylinders.

\Rightarrow Symplectisation of (\mathbb{T}^3, ξ_1) is foliated by *two* families of holomorphic cylinders, each with a “twin” that **cancels** it in SFT.



However, $(\mathbb{T}^3, \xi_k) =$ a k -fold cover of (\mathbb{T}^3, ξ_1) :



$k > 1 \Rightarrow$ non-cancelling cylinders!

$\Rightarrow [\hbar] = 0 \in H_*^{\text{SFT}}(\mathbb{T}^3, \xi_k)$.

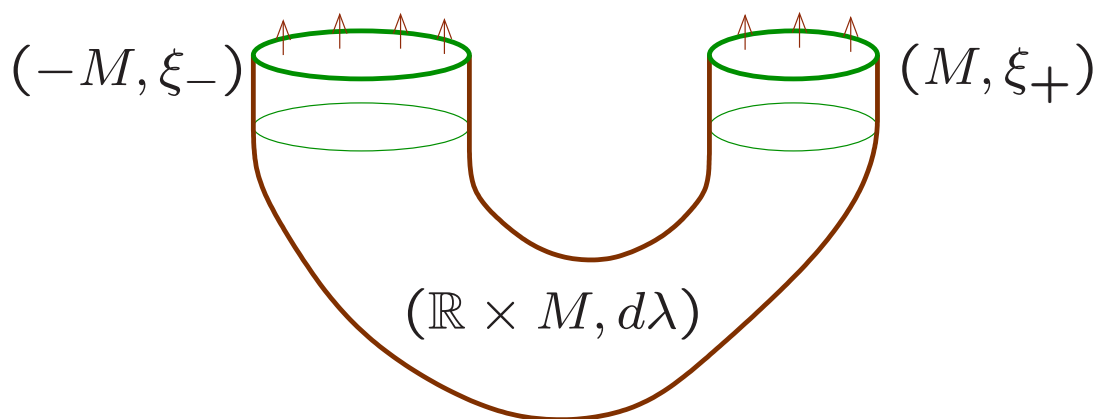
Idea: *Symplectic in dimension $2n$
 \leadsto contact in dimension $2n + 1$*

Consider a trivial **symplectic cylinder bundle**

$$(\mathbb{R} \times M) \times (\mathbb{R} \times S^1) \rightarrow \mathbb{R} \times M,$$

where $\mathbb{R} \times M$ is **exact convex symplectic** with boundary $(M, \xi_+) \sqcup (-M, \xi_-)$.

(\exists examples in $\dim = 4, 6$ by McDuff '91, Geiges, Mitsumatsu '95)



The bundle has boundary $\cong \mathbb{T}^2 \times M$.

Theorem (Massot-Niederkrüger-W. '11).

For all $n \in \mathbb{N}$, there exist closed manifolds M^{2n-1} with **positive/negative pairs** of contact forms (α_+, α_-) such that

$$(\mathbb{R} \times M, d(e^s \alpha_+ + e^{-s} \alpha_-))$$

is **symplectic**.

Theorem (Massot-Niederkrüger-W. '11).

In *all odd dimensions*, one can choose (M, α_{\pm}) as above such that

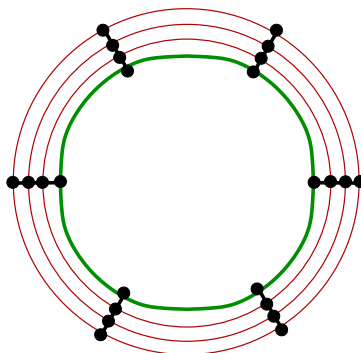
$$\alpha_{\text{gt}} := \frac{\cos s + 1}{2} \alpha_+ + \frac{\cos s - 1}{2} \alpha_- + (\sin s) d\phi$$

defines a *contact form* on $\mathbb{R} \times S^1 \times M$ with *no contractible Reeb orbits*. Moreover,

$$(\mathbb{T}^2 \times M, \xi_k) := \left((\mathbb{R}/2\pi k\mathbb{Z}) \times S^1 \times M, \ker \alpha_{\text{gt}} \right)$$

then have the following properties:

1. All ξ_k are *homotopic* as almost contact structures but *not diffeomorphic* for different $k \in \mathbb{N}$.
2. $(\mathbb{T}^2 \times M, \xi_k)$ is *fillable* iff $k = 1$.



Theorem (in progress).

For $k \geq 2$, $\text{AT}(\mathbb{T}^2 \times M, \xi_k) = 1$.

Acknowledgment

Contact structure illustrations by
Patrick Massot:

<http://www.math.u-psud.fr/~pmassot/>

