

Dimension Reduction Problems for Multiscale Materials in Nonlinear Elasticity.

Part 1: thin plates

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Overview

- **Part 1:** dimension reduction problem for homogeneous nonlinearly elastic plates.
 - ▶ Motivation and setting of the problem.
 - ▶ The membrane regime.
 - ▶ The bending regime.
 - ▶ ...and what about the other scenarios?
- **Part 2/tutorial:** static Γ -convergence, and the notion of 2-scale convergence.
- **Part 3:** simultaneous homogenization and dimension reduction.

Mathematical modeling of thin structures

Thin structures are **three-dimensional bodies** whose thickness in one direction is much smaller than the other dimensions (**membranes**, **plates**, or **shells**), or whose cross-section is much smaller than the length (**strings** or **rods**).

Applications: fuselages of aeroplanes, boat hulls and roof structures in some buildings, aero-spatial engineering, biology...



Dimension reduction for thin elastic plates

Consider a nonlinearly elastic thin plate whose reference configuration is described by the set

$$\Omega_h := \omega \times \left(-\frac{h}{2}, \frac{h}{2} \right),$$

$$\begin{cases} \omega \subset \mathbb{R}^2 \text{ bounded, open, connected,} \\ \partial\omega \text{ Lipschitz,} \\ h > 0. \end{cases}$$

Aim: for h small, to find a 2D approximate model in a rigorous way.

The variational approach

$v : \Omega_h \rightarrow \mathbb{R}^3$ deformation, $v \in W^{1,2}(\Omega_h; \mathbb{R}^3)$,

$f^h : \Omega_h \rightarrow \mathbb{R}^3$ body forces, $f^h \in L^2(\Omega_h; \mathbb{R}^3)$.

Total energy associated to the deformation v :

$$E_h(v) := \underbrace{\int_{\Omega_h} W(\nabla v(x)) dx}_{\text{stored elastic energy}} - \underbrace{\int_{\Omega_h} f^h(x) \cdot v(x) dx}_{\text{work done by applied forces}}$$

The equilibrium configuration is given by a solution to

$$\min \{ E_h(v) : v \in W^{1,2}(\Omega_h; \mathbb{R}^3) \}.$$

Question: what is the behavior of these solutions as $h \rightarrow 0$? Γ -convergence.

A crash intro to Γ -convergence (E. De Giorgi, early '70s)

- a **variational** convergence;
- in dimension reduction theory it allows to replace a **sequence of energies** with a “**limit energy**” associated to a **reduced model**;
- yields **convergence of minimizers** of the sequence of energies to minimizers of the limit model (the same property a priori **does not hold** for non minimizing stationary points).

General strategy:

- 1 Establish **compactness** results for sequences of deformations with equibounded energies.
- 2 Identify possible candidates for being the “ Γ -limit” by looking for a sharp **lower bound** for the relaxation of the sequence of energies along sequentially compact sequences of admissible fields.
- 3 Validate the optimality of the lower bound by constructing a **sequence of fields** such that the energies evaluated along them asymptotically converge to the Γ -limit.

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$$SO(3) := \{R \in \mathbb{M}^{3 \times 3} : R^T R = R R^T = Id, \det R = 1\}$$

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Different from isotropy:

$$W(FR) = W(F) \quad \text{for every } F \in \mathbb{M}^{3 \times 3}, \text{ and } R \in SO(3)$$

(the material has the same properties in every direction).

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- Growth conditions from below:

$$W(F) \geq C \text{dist}^2(F; SO(3)) \quad \text{for every } F \in \mathbb{M}^{3 \times 3},$$

- Non-interpenetrability:

$$W(F) = +\infty \quad \text{if } \det F \leq 0, \quad W(F) \rightarrow +\infty \text{ as } \det F \rightarrow 0^+.$$

Remark

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Proof.

By contradiction: $W \equiv 0$ on $SO(3)$. W convex $\Rightarrow W \equiv 0$ on $\text{co } SO(3)$.

$$\frac{1}{2}Id + \frac{1}{2}\text{diag}(-1, -1, 1) = \text{diag}(0, 0, 1), \quad \text{but } W(\text{diag}(0, 0, 1)) = +\infty.$$



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Change of variables

We want to identify Γ -limit $_{h \rightarrow 0} E_h$.

Problem: each functional E_h is defined on a different space ($W^{1,2}(\Omega_h; \mathbb{R}^3)$), dependent on h .

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$$v \in W^{1,2}(\Omega_h; \mathbb{R}^3) \mapsto y \in W^{1,2}(\Omega; \mathbb{R}^3), \quad y(x) := v(x', hx_3).$$

Thus,

$$E_h(v) = h \int_{\Omega} W(\nabla_h y(x)) \, dx - h \int_{\Omega} \tilde{f}^h(x) \cdot y(x) \, dx =: hG_h(y),$$

where

$$\begin{aligned} \nabla_h y(x) &:= \left(\nabla' y \mid \frac{\partial_3 y}{h} \right) \left(z', \frac{z_3}{h} \right) = \nabla v(z), \\ \tilde{f}^h(x) &= f^h(x', hx_3). \end{aligned}$$

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The problem becomes: to identify the Γ -limit $_{h \rightarrow 0} G_h$.

Scaling of minimal energy

Assume that

$$\|\tilde{f}^h\|_{L^2(\Omega; \mathbb{R}^3)} \leq Ch^\alpha, \alpha \geq 0, \text{ and } \int_{\Omega} \tilde{f}^h(x) dx = 0 \text{ for every } h$$

(this last condition prevents $\inf G_h = -\infty$, otherwise take \bar{y} with finite energy, and $y_c = \bar{y} + c$).

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$$G_h(y^h) \leq G_h(x', hx_3) = - \int_{\Omega} \tilde{f}^h(x) \cdot (x', hx_3) dx \leq C \|\tilde{f}^h\|_{L^2(\Omega; \mathbb{R}^3)}.$$

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In particular,

$$\begin{aligned} \int_{\Omega} W(\nabla_h y^h(x)) dx &\leq C \|\tilde{f}^h\|_{L^2(\Omega; \mathbb{R}^3)} + \int_{\Omega} \tilde{f}^h(x) \cdot \left(y^h(x) - \int_{\Omega} y^h(x) dx \right) dx \\ &\leq Ch^\alpha (1 + \|\nabla y^h\|_{L^2(\Omega; \mathbb{M}^{3 \times 3})}). \end{aligned}$$

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$$\|\nabla y^h\|_{L^2(\Omega; \mathbb{M}^{3 \times 3})} \leq C \quad \text{and} \quad \int_{\Omega} W(\nabla_h y^h(x)) \, dx \leq C.$$

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$$\|\nabla y^h\|_{L^2(\Omega; \mathbb{M}^{3 \times 3})} \leq C \quad \text{and} \quad \int_{\Omega} W(\nabla_h y^h(x)) \, dx \leq C.$$

Finally, by Poincaré inequality,

$$\left| \int_{\Omega} \tilde{f}^h(x) \cdot y^h(x) \, dx \right| \leq Ch^\alpha,$$

thus

$$|G_h(y^h)| \leq Ch^\alpha.$$

The problem becomes: to identify the Γ -limit $\lim_{h \rightarrow 0} \frac{1}{h^\alpha} G_h$.

The case $\alpha = 0$ (bounded forces)

[H. Le Dret - A. Raoult (1995)]

- Assume $\tilde{f}^h \rightharpoonup f$ weakly in $L^2(\Omega; \mathbb{R}^3)$, with $\int_{\Omega} \tilde{f}^h(x) dx = 0$ for every $h > 0$.

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- $W(F) \leq c_2(|F|^2 + 1)$ for every $F \in \mathbb{M}^{3 \times 3}$ (incompatible with non-interpenetrability condition).

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Define

$$\tilde{G}_h(y) := \begin{cases} G_h(y) & \text{if } y \in W^{1,2}(\Omega; \mathbb{R}^3), \\ +\infty & \text{otherwise in } L^2(\Omega; \mathbb{R}^3). \end{cases}$$

The case $\alpha = 0$ (bounded forces)

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Theorem

$$\tilde{G}_h \xrightarrow[h \rightarrow 0]{\Gamma(s-L^2)} G,$$

where

$$G(y) = \begin{cases} \int_{\omega} QW_0(\nabla' y(x')) dx' - \int_{\omega} \bar{f}(x') \cdot y(x') dx' & \text{if } y \in W^{1,2}(\Omega; \mathbb{R}^3), \\ +\infty & \text{otherwise in } L^2(\Omega; \mathbb{R}^3), \end{cases}$$

$\partial_3 y = 0,$

$$\nabla' y = (\partial_1 y | \partial_2 y), \quad \bar{f}(x') = \int_{-\frac{1}{2}}^{\frac{1}{2}} f(x', x_3) dx_3,$$

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$$\nabla' y = (\partial_1 y | \partial_2 y), \quad \bar{f}(x') = \int_{-\frac{1}{2}}^{\frac{1}{2}} f(x', x_3) dx_3,$$

$$W_0(F) = \min_{a \in \mathbb{R}^3} W(F|a) \quad \text{for every } F \in \mathbb{M}^{3 \times 2},$$

QW_0 is the quasiconvex envelope of W_0 .

Remark

- 1 The limit problem is 2D. The map $y : \omega \rightarrow \mathbb{R}^3$ represents the **deformation of the mid-plane ω into a surface in \mathbb{R}^3** . The energy that we get is called a **membrane model**: it depends only on the first derivatives of the deformation, there are **no bending effects**.

The case $\alpha = 2$

[G. Friesecke - R.D. James - S. Müller (2002)]

- $W : \mathbb{M}^{3 \times 3} \rightarrow [0, +\infty]$ is continuous,
- **Frame-indifference:** $W(RF) = W(F)$ for every $F \in \mathbb{M}^{3 \times 3}$, and $R \in SO(3)$,
- W is of class C^2 in a neighborhood of $SO(3)$,
- $W(Id) = \min W = 0$,
- **Growth conditions from below:** $W(F) \geq C \text{dist}^2(F; SO(3))$ for every $F \in \mathbb{M}^{3 \times 3}$.

No growth conditions from above!

The case $\alpha = 2$: heuristic argument in 2D

[G. Friesecke - R.D. James - S. Müller (2002)]

$$\Omega_h = (0, L) \times \left(-\frac{h}{2}, -\frac{h}{2}\right),$$

$$v(z_1, z_2) := \gamma(z_1) + z_2 b(z_1), \quad \gamma, b : (0, L) \rightarrow \mathbb{R}^2,$$

$$\nabla v(z_1, z_2) = (\gamma'(z_1) | b(z_1)) + z_2 (b'(z_1) | 0).$$

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We observe that

$$\frac{1}{h} \int_0^L \int_{-\frac{h}{2}}^{\frac{h}{2}} W(\nabla v(z_1, z_2)) dz_2 dz_1 \rightarrow 0$$

$$\iff (\gamma'(z_1) | b(z_1)) \in SO(2) \quad \text{for every } z_1 \in (0, L)$$

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We observe that

$$\frac{1}{h} \int_0^L \int_{-\frac{h}{2}}^{\frac{h}{2}} W(\nabla v(z_1, z_2)) dz_2 dz_1 = o(1)$$

$$\iff (\gamma'(z_1) | b(z_1)) \in SO(2) \quad \text{for every } z_1 \in (0, L)$$

$$\iff |\gamma'(z_1)| = 1 \quad \text{for every } z_1 \in (0, L)$$

$$\iff \gamma \text{ is an isometry, and } b = \nu \text{ (normal vector to } \gamma).$$

The case $\alpha = 2$: heuristic argument in 2D

[G. Friesecke - R.D. James - S. Müller (2002)]

$$\begin{aligned} W(\nabla v(z_1, z_2)) &\stackrel{\substack{= \\ \uparrow \\ \text{Frame indifference}}}{=} W((\gamma'(z_1)|b(z_1))^T \nabla v(z_1, z_2)) \\ &= W(Id + z_2(\gamma'(z_1)|b(z_1))^T (b'(z_1)|0)) \end{aligned}$$

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The case $\alpha = 2$: heuristic argument in 2D

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The case $\alpha = 2$: heuristic argument in 2D

[G. Friesecke - R.D. James - S. Müller (2002)]

$$\begin{aligned} \frac{1}{h} \int_0^L \int_{-\frac{h}{2}}^{\frac{h}{2}} W(\nabla v(z_1, z_2)) dz_2 dz_1 &\cong \frac{1}{h} \int_0^L \int_{-\frac{h}{2}}^{\frac{h}{2}} \frac{z_2^2}{2} a k^2(z_1) dz_2 dz_1 \\ &= \frac{ah^2}{24} \int_0^L k^2(z_1) dz_1. \end{aligned}$$

⇒ We expect the limit energy to take into account **bending**.

The case $\alpha = 2$: the rigidity estimate

[G. Friesecke - R.D. James - S. Müller (2002)]

Theorem

Let $U \subset \mathbb{R}^N$ be an open bounded set with Lipschitz boundary, $N \geq 2$. Then there exists a constant $C(U) > 0$ such that for every $v \in W^{1,2}(U; \mathbb{R}^N)$ there exists $R \in SO(N)$ such that

$$\int_U |\nabla v(x) - R|^2 dx \leq C(U) \int_U \text{dist}^2(\nabla v(x); SO(N)) dx.$$

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Remark

The constant is invariant by translations and dilations of U , and is uniform for families of sets which are uniform bi-Lipschitz images of a cube.

The case $\alpha = 2$: the rigidity estimate

[G. Friesecke - R.D. James - S. Müller (2002)]

Remark

- 1 Quantitative version of the following.

Theorem (Liouville's theorem)

Let $v \in C^\infty(U; \mathbb{R}^N)$, be such that $\nabla v(x) \in SO(N)$ for every $x \in U$. Then v is affine.

The case $\alpha = 2$: the rigidity estimate

[G. Friesecke - R.D. James - S. Müller (2002)]

Remark

① *Quantitative version of the following.*

Theorem (Liouville's theorem)

Let $v \in C^\infty(U; \mathbb{R}^N)$, be such that $\nabla v(x) \in SO(N)$ for every $x \in U$. Then v is affine.

② *Nonlinear version of Korn's inequality.*

Theorem (Korn's inequality)

Let $U \subset \mathbb{R}^N$ be an open bounded set with Lipschitz boundary, $N \geq 2$. Then there exists a constant $C(U) > 0$ such that for every $w \in W^{1,2}(U; \mathbb{R}^N)$ there exists $A \in \mathbb{M}_{\text{skew}}^{3 \times 3}$ such that

$$\int_U |\nabla w(x) - A|^2 dx \leq C(U) \int_U |\text{sym } \nabla w(x)|^2 dx.$$

Consequences of the rigidity estimate

Rigidity estimate on cubes of size h



A sequence of piecewise constant maps $R^h : \omega \rightarrow SO(3)$, such that

$$\int_{\omega \times (-\frac{1}{2}, \frac{1}{2})} |\nabla_h y^h(x) - R^h(x')|^2 dx \leq Ch^2, \quad (1)$$

$$\int_S |R^h(x' + \xi) - R^h(x')|^2 dx' \leq C(|\xi| + h)^2 \quad \text{for every } S \subset\subset \omega. \quad (2)$$

Consequences of the rigidity estimate

$$\nabla_h y^h \rightarrow (\nabla' y|b) \quad \text{weakly in } L^2(\Omega; \mathbb{M}^{3 \times 3}),$$

with $(\nabla' y(x)|b(x)) \in SO(3)$ for a.e. $x \in \Omega$.

↓

$$y^h - \int_{\Omega} y^h(x) dx \rightarrow y \quad \text{strongly in } W^{1,2}(\Omega; \mathbb{R}^3),$$

with $y \in \mathcal{A}_{\text{iso}}$, where

$$\mathcal{A}_{\text{iso}} := \left\{ y \in W^{2,2}(\omega; \mathbb{R}^3) : |\partial_1 y| = |\partial_2 y| = 1 \text{ and } \partial_1 y \cdot \partial_2 y = 0 \right\}.$$

In particular,

$$b = \partial_1 y \wedge \partial_2 y.$$

The case $\alpha = 2$

[G. Friesecke - R.D. James - S. Müller (2002)]

Theorem

$$\frac{1}{h^2} G_h \xrightarrow[h \rightarrow 0]{\Gamma(s-W^{1,2})} G_{Kir},$$

where

$$G_{Kir}(y) := \begin{cases} \frac{1}{24} \int_{\omega} Q_2(\Pi(x')) dx' - \int_{\omega} \bar{f}(x') \cdot y(x') dx' & y \in \mathcal{A}_{iso} \\ +\infty & \text{otherwise in } W^{1,2}(\Omega; \mathbb{R}^3), \end{cases}$$

with

$$\mathcal{A}_{iso} := \left\{ y \in W^{2,2}(\omega; \mathbb{R}^3) : |\partial_1 y| = |\partial_2 y| = 1 \text{ and } \partial_1 y \cdot \partial_2 y = 0 \right\},$$

$$\bar{f}(x') = \int_{-\frac{1}{2}}^{\frac{1}{2}} f(x', x_3) dx_3, \quad \Pi(x') := (\nabla' y(x'))^T \nabla' \left(\underbrace{\partial_1 y(x') \wedge \partial_2 y(x')}_{\text{Normal vector to the deformed surface}} \right)$$

Curvature tensor (2nd fundamental form of $y(\omega)$)

The case $\alpha = 2$: main result

[G. Friesecke - R.D. James - S. Müller (2002)]

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with

$$Q_3(F) := D^2 W(\text{Id})F : F \quad \text{for every } F \in \mathbb{M}^{3 \times 3},$$

$$Q_2(G) := \min_{a \in \mathbb{R}^2, b \in \mathbb{R}} Q_3 \left(\begin{array}{c|c} G & a \\ \hline a^T & b \end{array} \right) \quad \text{for every } G \in \mathbb{M}_{2 \times 2}.$$

Some remarks on the general case

$$I_h(y) = \int_{\Omega} W(\nabla_h y(x)) dx, \quad \Omega = \omega \times \left(-\frac{1}{2}, \frac{1}{2}\right)$$

Question: how do we characterize the Γ -limit of $\frac{1}{h^\alpha} I^h$?

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- $\alpha = 0$: membrane model,
- $\alpha = 2$: Kirchhoff's plate theory.

Both cases are borderline.

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Under the assumptions of [G. Friesecke - R.D. James - S. Müller (2002)],

$$I_h(y^h) \leq Ch^\alpha.$$

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Rigidity estimate on cubes of size h

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$$\int_S |R^h(x' + \xi) - R^h(x')|^2 dx' \leq Ch^{\alpha-2}(|\xi| + h)^2 \quad \text{for every } S \subset\subset \omega. \quad (4)$$

Some remarks on the general case

- For $\alpha = 2$, by (3) and (4) there holds $R^h \rightarrow R$ strongly in $L^2_{\text{loc}}(\omega; \mathbb{M}^{3 \times 3})$, with $R \in W^{1,2}(\omega; \mathbb{M}^{3 \times 3})$, and $y^h \rightarrow y$ strongly in $W^{1,2}(\Omega; \mathbb{R}^3)$.

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- For $\alpha < 2$, (4) does not give us any information. We can only conclude that $y^h \rightharpoonup y$ weakly in $W^{1,2}(\Omega; \mathbb{R}^3)$. Thus we expect **relaxation** phenomena in the energy.

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$$\nabla_h y^h \rightharpoonup (\nabla' y | b) \in \text{co}(SO(3)) \quad \text{weakly in } L^2(\Omega; \mathbb{M}^{3 \times 3}),$$

with $(\nabla' y)^T \nabla' y - Id \leq 0$.

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The Γ -limit will be finite only on those maps.

Some remarks on the general case

[G. Friesecke - R.D. James - S. Müller (2006)]

- For $\alpha > 2$, by (4),

$$y^h \rightarrow y \text{ strongly in } W^{1,2},$$
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$$y(x') = R \begin{pmatrix} x_1 \\ x_2 \\ 0 \end{pmatrix} + c \quad (\text{Rigid motion}).$$

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↓

We expect linearization effects in the Γ -limit around this rigid motion.
WLOG: $R = Id$.

The case $\alpha > 2$

[G. Friesecke - R.D. James - S. Müller (2006)]

$$\text{Tangential displacement: } u^h(x') := \frac{1}{h^\gamma} \int_{-\frac{1}{2}}^{\frac{1}{2}} \left(\begin{pmatrix} y_1^h \\ y_2^h \end{pmatrix} (x', x_3) - x' \right) dx_3,$$

$$\text{Normal displacement: } v^h(x') := \frac{1}{h^\sigma} \int_{-\frac{1}{2}}^{\frac{1}{2}} y_3^h(x', x_3) dx_3,$$

where

$$\sigma = \frac{\alpha}{2} - 1, \quad \gamma = \begin{cases} \alpha - 2 & \text{if } 2 < \alpha < 4, \\ \frac{\alpha}{2} & \text{if } \alpha \geq 4. \end{cases}$$

The case $\alpha > 2$

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Compactness:

$$\begin{aligned} u^h &\rightharpoonup u \quad \text{weakly in } W^{1,2}(\omega; \mathbb{R}^2), \\ v^h &\rightharpoonup v \quad \text{weakly in } W^{1,2}(\omega), \quad v \in W^{2,2}(\omega). \end{aligned}$$

$$I_h(y^h) \leq Ch^\alpha \Rightarrow y^h(x) \cong \begin{pmatrix} x_1 \\ x_2 \\ hx_3 \end{pmatrix} + \begin{pmatrix} h^\gamma u_1(x') \\ h^\gamma u_2(x') \\ h^\sigma v(x') \end{pmatrix} + \dots$$

The general picture

$$\frac{1}{h^\alpha} I_h \xrightarrow{h \rightarrow 0} I_\alpha,$$

- $\alpha > 4$: linearized Von Kàrmàn plate theory:

$$I_\alpha(v) = \frac{1}{24} \int_\omega Q_2((\nabla')^2 v(x')) dx',$$

The general picture

$$\frac{1}{h^\alpha} I_h \xrightarrow[h \rightarrow 0]{\Gamma} I_\alpha,$$

- $\alpha > 4$: linearized Von Kàrmàn plate theory:

$$I_\alpha(v) = \frac{1}{24} \int_\omega Q_2((\nabla')^2 v(x')) dx',$$

- $\alpha = 4$: Von Kàrmàn plate theory:

$$\begin{aligned} I_\alpha(u, v) &= \frac{1}{24} \int_\omega Q_2((\nabla')^2 v(x')) dx' \\ &+ \frac{1}{2} \int_\omega Q_2(\text{sym } \nabla' u(x') + \frac{1}{2} \nabla v(x') \otimes \nabla v(x')) dx', \end{aligned}$$

The general picture

$$\frac{1}{h^\alpha} I_h \xrightarrow{h \rightarrow 0} I_\alpha,$$

- $2 < \alpha < 4$: constrained Von Kàrmàn plate theory:

$$I_\alpha(u, v) = \frac{1}{24} \int_\omega Q_2((\nabla')^2 v(x')) dx'$$

if $\text{sym } \nabla' u(x') + \frac{1}{2} \nabla v(x') \otimes \nabla v(x') = 0 \iff \det (\nabla')^2 v(x') = 0$ a.e. in ω .

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- $0 < \alpha < \frac{5}{3}$: [S. Conti - F. Maggi (2008)]

$$I_\alpha(y) = 0$$

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$$I_\alpha(y) = 0$$

if $(\nabla' y)^T \nabla' y - Id \leq 0$,

- $\frac{5}{3} < \alpha < 2$: ???

References

- E. Acerbi, G. Buttazzo, D. Percivale. A variational definition for the strain energy of an elastic string. *J.Elasticity*, **25** (1991), 137–148.
- S. Conti, F. Maggi. Confining Thin Elastic Sheets and Folding Paper. *Arch. Rational Mech. Anal.* **187** (2008), 1–48.
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Dimension Reduction Problems for Multiscale Materials in Nonlinear Elasticity.

Part 2: tutorial-some references

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Humboldt-Universität zu Berlin, August 29th-September 2nd 2016.

Some references on static Γ -convergence

- A. Braides, Γ -convergence for beginners, Oxford University Press, Oxford (2002).
- G. Dal Maso, An introduction to Γ -convergence, Boston, Birkhäuser (1993).

A reference on two-scale convergence

- S. Neukamm's PhD thesis, which can be found here:
<https://mediatum.ub.tum.de/doc/976438/976438.pdf>

The approach I used to introduce Γ -convergence in class was taken from the PhD thesis above, Section 2. A very thorough list of references can also be found there.