

Algebra II
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Chapter 1

Modules

1.1 Rings

Lecture on 2007-10-18

Let $A = (A, +, \cdot)$ a *ring*, i.e.,

1. $(A, +)$ is an abelian group.
(0 is the neutral element, $-a$ is the additive inverse of $a \in A$, $\forall a, b \in A : a + b = b + a$)
2. (A, \cdot) is a semi-group.
3. distributivity:
 $a \cdot (b + c) = a \cdot b + a \cdot c$
 $(b + c) \cdot a = b \cdot a + c \cdot a$

Assumption for most of the course

1. The multiplication is commutative.
2. $\forall a \in A : \exists 1 \in A : 1 \cdot a = a = a \cdot 1$

Remark

The following should be known:

1. $B \subseteq A$: a *subring*
2. $\mathfrak{a} \subseteq A$: an *ideal* ($\mathfrak{a} \cdot A = \mathfrak{a} = A \cdot \mathfrak{a}$)
3. A/\mathfrak{a} : *quotient ring* ($\pi : A \rightarrow A/\mathfrak{a}$, defined by $a \mapsto a + \mathfrak{a}$)
4. $f : A \rightarrow B$ *ring homomorphism*
($\forall a_1, a_2 \in A : f(a_1 + a_2) = f(a_1) + f(a_2), f(a_1 \cdot a_2) = f(a_1) \cdot f(a_2)$)
5. K *field*

Lemma 1.1. (1) *Let A be a ring, $\mathfrak{a} \supseteq A$ an ideal and $\pi : A \rightarrow A/\mathfrak{a}$ the canonical projection.*

Then, there is a bijection

$$\{\mathfrak{b} \subseteq A \text{ ideal} \mid \mathfrak{b} \supseteq \mathfrak{a}\} \xrightarrow{1:1} \{\bar{\mathfrak{b}} \subseteq A/\mathfrak{a}, \text{ ideal}\}.$$

Proof:

$$\mathfrak{b} \mapsto \pi(\mathfrak{b}) =: \bar{\mathfrak{b}}$$

$$\bar{\mathfrak{b}} \mapsto \pi^{-1}(\bar{\mathfrak{b}}) =: \mathfrak{b}$$

The two maps are inverses of each other, hence we get the desired bijection. □

Remark (recall)

1. *unit* (Einheit):

$$a \in A : \exists a^{-1} \in A : a \cdot a^{-1} = 1 = a^{-1} \cdot a$$

$$A^\times = \{a \in A \mid a \text{ is a unit}\} \ni 1 \text{ is a multiplicative group}$$

2. *zero divisor* (Nullteiler):

$$0 \neq a \in A \text{ is a zero divisor iff } \exists 0 \neq b \in A : a \cdot b = 0.$$

3. *nilpotent elements*:

$$a \in A \text{ is nilpotent iff } \exists n \in \mathbb{N} : a^n = 0.$$

Definition (prime ideal, spectrum)

1. An ideal $\mathfrak{p} \subset A$ is a *prime ideal*, iff $x \cdot y \in \mathfrak{p} \Rightarrow x \in \mathfrak{p}$ or $y \in \mathfrak{p}$.

The *spectrum* of A is defined by $\text{Spec}(A) = \{\mathfrak{p} \subseteq A \mid \mathfrak{p} \text{ is a prime ideal}\}$.

2. An ideal $\mathfrak{m} \subset A$ is a *maximal ideal*, iff there is no ideal \mathfrak{a} satisfying $\mathfrak{m} \subset \mathfrak{a} \subset A$.

$$\text{Max}(A) = \{\mathfrak{m} \subset A \mid \mathfrak{m} \text{ maximal ideal}\}$$

Lemma 1.2. (2) *Let A be a ring.*

Then we have the following:

1. \mathfrak{p} is a prime ideal $\Leftrightarrow A/\mathfrak{p}$ is an integral domain (*Integritätsbereich*).

2. \mathfrak{m} is maximal $\Leftrightarrow A/\mathfrak{m}$ is a field.

3. There exists a maximal ideal $\mathfrak{m} \subseteq A$.

Proof:

\rightarrow Problem 1 of the 1st Problem Set. □

Corollary 1.1. *Let A be a ring as before.*

Then, we have:

1. Let $\mathfrak{a} \subseteq A$ be a fixed ideal. Then there is a maximal ideal \mathfrak{m} containing \mathfrak{a} .

2. Each non-unit is contained in a maximal ideal.

Proof:

1. Slight adaption of the proof of Lemma 2.3.

2. Let $a \in A \setminus A^\times$, then $\mathfrak{a} := (a) = \{a \cdot b \mid b \in A\} \subset A$.

Now apply 1. to $\mathfrak{a} = (a) \subset A$: $\exists \mathfrak{m} \in \text{Max}(A) : a \in \mathfrak{m}$

□

Definition (local ring)

A is called a *local ring*, iff there is exactly one maximal ideal \mathfrak{m} .

$K = A/\mathfrak{m}$ is called the *residue field* of the local ring.

Definition (nilradical)

The set $\mathfrak{n}_A = \{x \in A \mid \exists n \in \mathbb{N}_{>0} : x^n = 0\}$ is called the *nilradical* of A .

Lemma 1.3. (3) *With the above notations, we have:*

1. $\mathfrak{n}_A \subseteq A$ is an ideal.

2. $\mathfrak{n}_A = \bigcap_{\mathfrak{p} \in \text{Spec}(A)} \mathfrak{p}$

Proof:

1. $x, y \in \mathfrak{n}_A : \exists n_1, n_2 \in \mathbb{N}_{>0} : x^{n_1} = 0 = y^{n_2}$

$$(x + y)^n = \sum_{j=0}^n \binom{n}{j} x^j y^{n-j} = 0$$

$$\Rightarrow x + y \in \mathfrak{n}_A$$

$$x \in \mathfrak{n}_A : \exists n_1 \in \mathbb{N}_{>0} : x^{n_1} = 0$$

$$a \in A \Rightarrow (a \cdot x)^{n_1} = a^{n_1} \cdot x^{n_1} = 0$$

$$\Rightarrow ax \in \mathfrak{n}_A$$

2. $\mathfrak{n} = \mathfrak{n}_A, \mathfrak{n}' = \bigcap_{\mathfrak{p} \in \text{Spec}(A)} \mathfrak{p}$

We want to show: $\mathfrak{n} = \mathfrak{n}'$.

(a) $\mathfrak{n} \subseteq \mathfrak{n}'$

Let $a \in \mathfrak{n}$ and $\mathfrak{p} \in \text{Spec}(A)$.

$$\exists n \in \mathbb{N}_{>0} : a^n \in \mathfrak{p} \Rightarrow a \in \mathfrak{p}$$

$$\Rightarrow a \in \bigcap_{\mathfrak{p} \in \text{Spec}(A)} \mathfrak{p} \Rightarrow a \in \mathfrak{n}'$$

$$\Rightarrow \mathfrak{n} \subseteq \mathfrak{n}'$$

(b) $\mathfrak{n}' \subseteq \mathfrak{n}$

We show this by showing $a \notin \mathfrak{n} \Rightarrow a \notin \mathfrak{n}'$:

Let $a \notin \mathfrak{n}$, put $\Sigma = \{\mathfrak{a} \subseteq A \mid \forall n \in \mathbb{N}_{>0} : a^n \notin \mathfrak{a}\}$. Since $a \notin \mathfrak{n}$ we have $(0) \in \Sigma \Rightarrow \Sigma \neq \emptyset$.

Using Zorn's Lemma, find a maximal ideal $\mathfrak{p} \in \Sigma$.

We show: $\mathfrak{p} \in \text{Spec}(A) : x, y \notin \mathfrak{p} \Rightarrow x \cdot y \notin \mathfrak{p}$

Let $x, y \notin \mathfrak{p}$

$$\Rightarrow \mathfrak{p} + (x) = \{a + bx \mid a \in \mathfrak{p}, b \in A\}, \mathfrak{p} + (y) \text{ are sum ideals}$$

Since $x, y \notin \mathfrak{p}$, $\mathfrak{p} + (x)$ and $\mathfrak{p} + (y)$ are strictly bigger than \mathfrak{p} , hence $\mathfrak{p} + (x), \mathfrak{p} + (y) \notin \Sigma$ (since \mathfrak{p} is maximal in Σ).

$$\Rightarrow \exists m, n \in \mathbb{N}_{>0} : a^m \in \mathfrak{p} + (x), a^n \in \mathfrak{p} + (y)$$

Multiplying yields $a^{m+n} \in \mathfrak{p} + (x \cdot y) \notin \Sigma$.

$$\Rightarrow x \cdot y \notin \mathfrak{p} \Rightarrow \mathfrak{p} \in \text{Spec}(A)$$

In particular, we have $a \notin \mathfrak{p}$.

$$\Rightarrow a \notin \bigcap_{\mathfrak{p} \in \text{Spec}(A)} \mathfrak{p} \Rightarrow a \notin \mathfrak{n}'$$

□

Definition (jacobson radical)

The *Jacobson radical* \mathcal{R}_A of A is given by $\mathcal{R}_A := \bigcap_{\mathfrak{m} \in \text{Max}(A)} \mathfrak{m}$.

Lemma 1.4. (4) *With the above notations we have the equivalence:*

$$x \in \mathcal{R}_A \Leftrightarrow \forall y \in A : 1 - x \cdot y \in A^\times$$

Proof:

1. \Rightarrow

Let $x \in \mathcal{R}_A$. Contrary to the claim assume, $1 - x \cdot y \notin A^\times$.

$\Rightarrow \exists \mathfrak{m} \in \text{Max}(A) : 1 - x \cdot y \in \mathfrak{m}$

Since $x \in \mathfrak{m}'$ for all $\mathfrak{m}' \in \text{Max}(A)$ hence $1 \in \mathfrak{m}$. $\Rightarrow \mathfrak{m} \in A \Rightarrow$ contradiction

$\Rightarrow \forall y \in A : 1 - x \cdot y \in A^\times$

2. \Leftarrow

We prove: $x \notin \mathcal{R}_A \Rightarrow 1 - x \cdot y \notin A^\times$ for any $y \in A$.

Let $x \notin \mathcal{R}_A \Rightarrow \exists \mathfrak{m} \in \text{Max}(A)$ such that $x \notin \mathfrak{m}$. Hence, $m + (x) = A \ni 1$.

$\Rightarrow \exists y \in A, m \in \mathfrak{m} : m + x \cdot y = 1 \Rightarrow 1 - x \cdot y = m \in \mathfrak{m}$

$\Rightarrow 1 - x \cdot y \notin A^\times$

□

Some comments on operations with ideals

Let $\mathfrak{a}, \mathfrak{b} \subseteq A$ be ideals:

1. sum: $\mathfrak{a} + \mathfrak{b} = \{a + b \mid a \in \mathfrak{a}, b \in \mathfrak{b}\}$

inductively: define finite sum of ideals.

2. intersection: $\mathfrak{a} \cap \mathfrak{b} = \{a \in A \mid a \in \mathfrak{a}, a \in \mathfrak{b}\}$

One can define infinite intersections of ideals.

3. product: $\mathfrak{a} \cdot \mathfrak{b} = \{\sum_{j=0}^n a_j \cdot b_j \mid a_j \in \mathfrak{a}, b_j \in \mathfrak{b}, n \in \mathbb{N}\}$

inductively one can define finite products of ideals

Sum, intersection and product are commutative and assoziative respectively.

Distributivity between sums and intersection, i.e. $(\mathfrak{a} + \mathfrak{b}) \cdot \mathfrak{c} = \mathfrak{a} \cdot \mathfrak{c} + \mathfrak{b} \cdot \mathfrak{c}$, but distributivity fails for the intersections.

Definition (coprime)

Two ideals $\mathfrak{a}, \mathfrak{b}$ are called *coprime*, iff $\mathfrak{a} + \mathfrak{b} = (1)$.

Lemma 1.5. (5) *if $\mathfrak{a}, \mathfrak{b}$ are coprime, then $\mathfrak{a} \cap \mathfrak{b} = \mathfrak{a} \cdot \mathfrak{b}$.*

Proof:

We show: $\mathfrak{a} \cdot \mathfrak{b} \subseteq \mathfrak{a} \cap \mathfrak{b}$:

$\mathfrak{a} \cdot \mathfrak{b} \subseteq \mathfrak{a} \cdot A = \mathfrak{a}$

$\mathfrak{a} \cdot \mathfrak{b} \subseteq A \cdot \mathfrak{b} = \mathfrak{b}$

$\Rightarrow \mathfrak{a} \cdot \mathfrak{b} \subseteq \mathfrak{a} \cap \mathfrak{b}$

Now show: $\mathfrak{a} \cap \mathfrak{b} \subseteq \mathfrak{a} \cdot \mathfrak{b}$:

$\mathfrak{a} \cap \mathfrak{b} = A \cdot (\mathfrak{a} \cap \mathfrak{b}) = (\mathfrak{a} + \mathfrak{b}) \cdot (\mathfrak{a} \cap \mathfrak{b}) = \mathfrak{a} \cdot (\mathfrak{a} \cap \mathfrak{b}) + \mathfrak{b} \cdot (\mathfrak{a} \cap \mathfrak{b}) \subseteq \mathfrak{a} \cdot \mathfrak{b}$.

□

Remark

The union of two ideals need not to be an ideal!

Definition (ideal quotient, annihilator)

Let $\mathfrak{a}, \mathfrak{b}$ be two ideals of A .

Then, the *ideal quotient* $(\mathfrak{a} : \mathfrak{b})$ of \mathfrak{a} and \mathfrak{b} is defined by $(\mathfrak{a} : \mathfrak{b}) = \{x \in A \mid x \cdot \mathfrak{b} \subseteq \mathfrak{a}\}$

In particular, if $\mathfrak{a} = (0)$, then we write $((0) : \mathfrak{b}) = (0 : \mathfrak{b}) =: \text{Ann}(\mathfrak{b})$ which we call the *annihilator* of \mathfrak{b} .

$\text{Ann}(\mathfrak{b}) = \{x \in A \mid x \cdot \mathfrak{b} = 0\}$

Notation

$b \in A : \text{Ann}(b) = \text{Ann}((b)) = (0 : (b)) = \{x \in A \mid x \cdot b = 0\}$

Note: If $b \neq 0$ and $\text{Ann}(b) \neq 0$ then we have zero divisors.

Remark

1. One verifies easily that $(\mathfrak{a} : \mathfrak{b})$ is in fact an ideal, as well as the annihilator.
2. $D = \bigcup_{0 \neq x \in A} \text{Ann}(x)$ is the set of zero divisors of A .

Definition (radical)

Let $\mathfrak{a} \subseteq A$ be an ideal. The *radical* $\mathfrak{r}(\mathfrak{a})$ of \mathfrak{a} is defined as $\mathfrak{r}(\mathfrak{a}) = \{x \in A \mid \exists n \in \mathbb{N}_{>0} : x^n \in \mathfrak{a}\}$.

Remark

$\mathfrak{a} = (0) \Rightarrow \mathfrak{r}((0)) = \mathfrak{n}_A$

$x, y \in \mathfrak{r}(\mathfrak{a}) \Rightarrow x + y, a \cdot x \in \mathfrak{r}(\mathfrak{a})$

$(x + y)^{n_x + n_y} = \sum_{j=0}^{n_x + n_y} \binom{n_x + n_y}{j} x^j y^{n_x + n_y - j}$

$\mathfrak{r}(\mathfrak{a})$ is an ideal.

Lemma 1.6. (6) *Let $\mathfrak{a} \subseteq A$ be an ideal and $\pi : A \rightarrow A/\mathfrak{a}$ the canonical projection. Then, we have $\mathfrak{r}(\mathfrak{a}) = \pi^{-1}(\mathfrak{n}_{A/\mathfrak{a}})$*

Proof:

The proof is left to the reader.

→ Problem 1.2c

□

Lemma 1.7. (7) *The radical $\mathfrak{r}(\cdot)$ has the following properties:*

1. $\mathfrak{r}(\mathfrak{r}(\mathfrak{a})) = \mathfrak{r}(\mathfrak{a})$
2. $\mathfrak{r}(\mathfrak{a} \cdot \mathfrak{b}) = \mathfrak{r}(\mathfrak{a} \cap \mathfrak{b}) = \mathfrak{r}(\mathfrak{a}) \cap \mathfrak{r}(\mathfrak{b})$
3. $\mathfrak{r}(\mathfrak{a}) = (1) \Leftrightarrow \mathfrak{a} = (1)$
4. $\mathfrak{r}(\mathfrak{a} + \mathfrak{b}) = \mathfrak{r}(\mathfrak{r}(\mathfrak{a}) + \mathfrak{b})$
5. $\forall \mathfrak{p} \in \text{Spec}(A) : \mathfrak{r}(\mathfrak{p}^n) = \mathfrak{p}$

Proof:

The proof is the solution of the problem 1.3.

□

1.2 Modules

In this section A will be a ring (commutative, with 1).

Definition (module)

An A -*module* M is an abelian group $(M, +)$ together with an action $A \times M \rightarrow M$ satisfying:

1. $\forall x, y \in M, a \in A : a \cdot (x + y) = a \cdot x + a \cdot y$
2. $\forall x \in M, a, b \in A : (a + b) \cdot x = a \cdot x + b \cdot x$
3. $\forall x \in M, a, b \in A : a \cdot (b \cdot x) = (a \cdot b) \cdot x$
4. $1 \cdot x = x$

Example

1. $A = (A, +, \cdot)$ is an A -module itself
2. $A = \mathbb{Z}$ and G any abelian Group then G can be considered as a \mathbb{Z} -module.
3. $A = K$ a field, then the A -module M becomes a K -vector space.

Lecture on 2007-10-25

Definition (module homomorphism)

Let M, N be A -modules. A map $f : M \rightarrow N$ is called A -module homomorphism (or homomorphism of A -modules) iff

1. $\forall x, y \in M : f(x +_M y) = f(x) +_N f(y)$
2. $\forall \lambda \in A, x \in M : f(\lambda \cdot_M x) = \lambda \cdot_N f(x)$

If f is bijective, we call it an A -isomorphism (short: isomorphism).

We denote by $\text{Hom}_A(M, N)$ the set of all homomorphisms of A -modules from M to N .

This set has the structure of an A -module by

1. $\forall f, g \in \text{Hom}_A(M, N) : (f + g)(x) = f(x) + g(x)$
2. $\forall \lambda \in A : (\lambda \cdot f)(x) = \lambda \cdot f(x)$

Example

1. If $A=K$ is a field, homomorphisms of modules are linear maps and $\text{Hom}_K(M, N) = \mathcal{L}(M, N)$.
2. If $M = A$, we have a map $\varphi : \text{Hom}(A, N) \rightarrow N$ (defined by $f \mapsto f(1)$), which is itself an isomorphism of A -modules.

Definition (submodule)

1. Let be an A -module. A subset $N \subseteq M$ is called *submodule* of M , iff $(N, +)$ is a subgroup of $(M, +)$ and N is closed under scalar multiplication.
2. If $N \subseteq M$ is a submodule, we define the M/N in the following way: We endow the abelian factor group M/N with the scalar multiplication $\forall \lambda \in A, m \in M : \lambda \cdot (m + N) = (\lambda \cdot m) + N$.
Claim: M/N is indeed an A -module.

Definition (kernel)

Let f be in $\text{Hom}_A(M, N)$. We define the *kernel* of f as $\ker f = \{m \in M | f(m) = 0\}$ and the *cokernel* of f as the factor module $\text{coker}(f) = N/\text{im}(f)$.

Note: $\ker f$ is a submodule of M and $\text{im}(f)$ is a submodule of N .

Theorem 1.1. (Fundamental Theorem of Homomorphism) Let $f \in \text{Hom}_A(M, N)$.

Then $\text{im}(f) \cong M/\ker(f)$.

Alternatively: There exists \bar{f} such that \bar{f} is injective and $\bar{f} \circ \pi = f$, where $\pi : M \rightarrow M/\ker f$ is the canonical projection.

Proof:

Analogous to the case of groups, vector spaces, rings, ...

□

Corollary 1.2. (Isomorphism theorems)

1. $(M_1 + M_2)/M_1 \cong M_2/(M_1 \cap M_2)$ for A -submodules $M_1, M_2 \subseteq M$.

2. $(M/M_1)/(M_2/M_1) \cong M/M_2$ for A -submodules $M_1 \subseteq M_2 \subseteq M$.

Note that finite intersections of submodules are again submodules.

Let $(M_i), i \in I$ be a family of submodules of M .

The sum $\sum_{i \in I} M_i = \{\sum_{i \in I} x_i | x_i \in M_i, \text{ almost all } x_i = 0\}$ is a submodule of M .

Proof:

1. Consider the map $\varphi : M_2 \rightarrow (M_1 + M_2)/M_1$ given by $\varphi(m_2) = m_2 + M_1$, which is a homomorphism and apply the theorem of homomorphisms with $\ker \varphi = M_1 \cap M_2$.
2. The map $\psi : M/M_1 \rightarrow M/M_2$ given by $m + M_1 \mapsto m + M_2$ is well defined since $M_1 \subseteq M_2$. We have $\ker \psi = M_2/M_1$. \square

Definition

1. Let M be an A -module and $\mathfrak{a} \subseteq A$ an ideal. We define $\mathfrak{a} \cdot M = \{\sum_{k=1}^r a_k \cdot x_k | a_k \in \mathfrak{a}, x_k \in M\}$. This is a submodule of M .
2. Let $M_1, M_2 \subseteq M$ submodules. We define $(M_1 : M_2) = \{a \in A | a \cdot M_2 \subseteq M_1\}$. $(M_1 : M_2)$ is an ideal of A .
3. Especially, the *annihilator* $\text{Ann}(M) = (0 : M)$ is an ideal of A .

Remark

Note that M is an $A/\text{Ann}(M)$ -module, more generally:

If $\mathfrak{a} \subseteq \text{Ann}(M)$ is an ideal of A , then $\underbrace{(a + \mathfrak{a})}_{\in A/\mathfrak{a}} + x = a \cdot x$ makes M into an A/\mathfrak{a} -module.

We produce a Ring with smaller annihilator in this way, namely $\text{Ann}_{A/\text{Ann}_A(M)}(M) = 0_{A/\text{Ann}_A(M)}$.

Definition (faithful)

An A -module M is called *faithful*, if $\text{Ann}(M) = 0$. (M is a faithful $A/\text{Ann}(M)$ -module.)

Note: $\text{Ann}(M + N) = \text{Ann}(M) \cap \text{Ann}(N)$

Definition (generated module)

Let M be an A -module.

1. Let $x \in M$. We define $\langle x \rangle = A \cdot x = \{a \cdot x | a \in A\}$.
2. Let $(x_i)_{i \in I}$ be a family of elements of M .
We define $\langle x_i \rangle_{i \in I} = \sum_{i \in I} A \cdot x_i = \{\sum_{i \in I} a_i \cdot x_i | a_i \in A, a_i = 0 \text{ for almost all } i \in I\}$.
 $\langle x_i \rangle_{i \in I}$ is called the *submodule generated by* $(x_i)_{i \in I}$.
3. If there exists a family $(x_i)_{i \in I}, x_i \in M$ such that $M = \langle x_i \rangle_{i \in I}$ we call M generated by (x_i) .
If I is finite, M is called *finitely generated*.

Remark

The representation $x = \sum_{k=1}^n a_k x_k$ by the generators x_i is usually not unique, even if $\{x_k\}_{k=1}^r$ is minimal.

Example: $\mathbb{Z}/n\mathbb{Z}$ is a \mathbb{Z} -module. But we have $0 \cdot 1 = n \cdot 1 \in \mathbb{Z}/n\mathbb{Z}$.

Definition (direct sum, direct product)

Let $(M_i)_{i \in I}$ be a family of A -modules.

1. We define the *direct sum* $\bigoplus_{i \in I} M_i = \{(x_i)_{i \in I} | x_i \in M_i, x_i = 0 \text{ for almost all } i \in I\}$.
2. We define the *direct product* $\prod_{i \in I} M_i = \{(x_i)_{i \in I} | x_i \in M_i\}$.

Both sets are A -modules, if we define $+$ and \cdot via the components.

Definition (free module)

An A -module M is called *free*, iff $M = \bigoplus_{i \in I} M_i$ and $\forall i \in I : M_i \cong A$.

Remark

1. If $A = K$ is a field, then every finitely generated K -module is a free module of finite rank.
2. $\mathbb{Z}/n\mathbb{Z}$ is not a free \mathbb{Z} -module.
3. If $f : V \rightarrow W$ is a linear map of K -vector spaces with $rk(f) < \infty$ we have $rk(f) = rk_K \text{im}(f)$.

Proposition 1.1. *An A -module M is finitely generated iff there exists a surjection $A^n \rightarrow M$, $n \in \mathbb{N}$, i.e. M is isomorphic to a factor of the free module A^n .*

Proof:

1. \Rightarrow

M is finitely generated, i.e. $M = \langle x_1, \dots, x_n \rangle, x_i \in M$.

We define $\varphi : A^n \rightarrow M$ by $\varphi(a_1, \dots, a_n) = \sum_{j=1}^n a_j x_j$. (Check that φ as a homomorphism $M \cong A^n / \ker \varphi$.)

2. \Leftarrow

Let $M \cong A^n / N$ for some $n \in \mathbb{N}$. Then there exists a surjective map $A^n \rightarrow M \cong A^n / N$.

Claim: $\varphi(e_j) = x_j, e_j = (0, \dots, 0, 1, 0, \dots, 0)$

Take $x \in M$, φ surjective.

Then there exists $(a_1, \dots, a_n) \in A^n : \varphi(a_1, \dots, a_n) = x$.

$\Rightarrow x = \sum_{j=1}^n a_j \varphi(e_j) = \sum_{j=1}^n a_j x_j$ □

Proposition 1.2. *Let M be a finitely generated A -module and $\mathfrak{a} \subseteq A$ an ideal.*

Let $\varphi \in \text{End}_A(M) = \text{Hom}_A(M, M)$ be an endomorphism of M such that $\text{im}(\varphi) \subseteq \mathfrak{a} \cdot M$. Then there exists $n \in \mathbb{N}$ and $a_1, \dots, a_n \in \mathfrak{a}$ such that $\varphi^n + a_1 \varphi^{n-1} + \dots + a_n \text{id} = 0$.

Proof:

Take generators x_1, \dots, x_n of M .

Then we have $\text{im}(\varphi) \subseteq \mathfrak{a} \cdot M \Rightarrow \exists a_{k,j} \in \mathfrak{a}$ such that $\forall j = 1, \dots, n : \varphi(x_j) = \sum_{k=1}^n a_{k,j} x_k$.

$\Leftrightarrow \sum_{k=1}^n (\delta_{k,j} \varphi - a_{k,j} \cdot \text{id})(x_k) = 0$

We multiply this equation from the left-hand side by the adjoint matrix of $(\delta_{k,j} \varphi - a_{k,j} \cdot \text{id})_{1 \leq j, k \leq n}$.

\Rightarrow We get $\forall k = 1, \dots, n : \det(\delta_{k,j} \varphi - a_{k,j} \cdot \text{id}) \in \text{Ann}(\langle x_k \rangle)$.

$\Rightarrow \det(\delta_{k,j} \varphi - a_{k,j} \cdot \text{id}) = 0$ in $\text{End}_A(M)$ □

Remark

If $A = K$ is a field, this is the theorem of Cayley-Hamilton.

Corollary 1.3. *Let M be a finitely generated A -module, and $\mathfrak{a} \subseteq A$ an ideal, such that $\mathfrak{a} \cdot M = M$ then there exists $x \equiv 1 \pmod{\mathfrak{a}}$ (i.e. $1 - x \in \mathfrak{a}$) such that $x \cdot M = 0$.*

Proof:

Apply Proposition to $\text{id}_n : M \rightarrow M$.

$\Rightarrow \exists a_1, \dots, a_n \in \mathfrak{a} : (1 + a_1 + \dots + a_n) \text{id}_n = 0$

\Rightarrow Choose $x = 1 + \underbrace{a_1 + \dots + a_n}_{\in \mathfrak{a}}$. □

Proposition 1.3. (Lemma of Nakayama) *Let M be a finitely generated A -module, $\mathfrak{a} \subseteq A$ an ideal, such that \mathfrak{a} is contained in the Jacobson radical R . Then $\mathfrak{a} \cdot M = M$ implies $M = 0$.*

Proof:

From the preceding corollary we know that there exists an $x, 1 - x \in \mathfrak{a}, x \cdot M = 0$.

$1 - x \in \mathfrak{a} \subseteq R \Rightarrow x$ is a unit by Lemma 4.

$$\Rightarrow M = x^{-1}(\underbrace{x \cdot M}_{=0}) = 0 \quad \square$$

Corollary 1.4. *Let M be a finitely generated A -module, $N \subseteq M$ a submodule, $\mathfrak{a} \subseteq R$ (\leftarrow Jacobson radical).*

Then $M = \mathfrak{a} \cdot M + N \Rightarrow M = N$.

Proof:

For M/N we have $\mathfrak{a} \cdot (M/N) = (\mathfrak{a} \cdot M)/N \cong (\mathfrak{a} \cdot M + N)/N = M/N$. By Nakayama we have $M/N = 0$, i.e. $M = N$. \square

Remark

If $\mathfrak{a} = \mathfrak{m}$ is a maximal ideal, we have $\mathfrak{m} \subseteq \text{Ann}(M/(\mathfrak{m}M))$. Then $M/(\mathfrak{m}M)$ is an A/\mathfrak{m} -module, i.e. a K -vector space for the field $K = A/\mathfrak{m}$.

Proposition 1.4. *Let M be an A -module, $\mathfrak{m} \in \text{Max}(A)$, $K = A/\mathfrak{m}$ and $x_1, \dots, x_n \in M$ forming a K -basis $\{x_1 + \mathfrak{m}M, \dots, x_n + \mathfrak{m}M\}$ of $M/\mathfrak{m}M$. Then x_1, \dots, x_n are generators of M .*

Proof:

$N = \langle x_1, \dots, x_n \rangle$, then $\varphi : N \rightarrow M/\mathfrak{m}M$, defined by $N \xrightarrow{\subseteq} M \xrightarrow{\pi} M/\mathfrak{m}M$, is surjective, because the basis is contained in φ (since $\varphi(x_j) = x_j + \mathfrak{m}M$). Hence $\mathfrak{m}M + N = M$, apply corollary.

$$\Rightarrow \langle x_1, \dots, x_n \rangle = M \quad \square$$

Definition (exact sequence)

A sequence $\dots \rightarrow M_{i-1} \xrightarrow{f_i} M_i \xrightarrow{f_{i+1}} M_{i+1} \rightarrow \dots$ of A -modules M_j and homomorphisms f_j is called *exact at the place i* iff $\ker(f_{i+1}) = \text{im}(f_i)$.

It is called *exact*, iff it is exact at all places i .

Example

1. $0 \rightarrow N' \xrightarrow{f} N$ is exact, iff f is injective.
2. $N \xrightarrow{g} N'' \rightarrow 0$ is exact, iff g is surjective.
3. $0 \rightarrow N' \xrightarrow{f} N \xrightarrow{g} N'' \rightarrow 0$ is exact iff f is injective, g is surjective and $\text{im}(f) = \ker(g)$, i.e. $N/\text{im}(f) \cong N''$. This is called *short exact sequence*. f is a *monomorphism* and g is an *epimorphism*.

Remark

Every exact sequence $\dots \rightarrow M_i \xrightarrow{f_{i+1}} M_{i+1} \xrightarrow{f_{i+2}} M_{i+2} \rightarrow \dots$ can be written as a composition of short exact sequences $0 \rightarrow \ker(f_{i+2}) \rightarrow M_{i+1} \rightarrow \text{coker}(f_{i+1}) \rightarrow 0$.

Proposition 1.5. (7) *The sequence $M' \xrightarrow{f} M \xrightarrow{g} M'' \rightarrow 0$ is exact iff for all A -modules N the following sequence is exact: $0 \rightarrow \text{Hom}_A(M'', N) \xrightarrow{g^*} \text{Hom}_A(M, N) \xrightarrow{f^*} \text{Hom}_A(M', N)$*

The sequence $0 \rightarrow N' \xrightarrow{f} N \xrightarrow{g} N''$ is exact iff for all A -modules M the following sequence is exact: $0 \rightarrow \text{Hom}_A(M, N') \xrightarrow{f_} \text{Hom}_A(M, N) \xrightarrow{g_*} \text{Hom}_A(M, N'')$*

Where the map $f^ : \text{Hom}_A(M, N) \rightarrow \text{Hom}_A(M', N)$ is defined by $\varphi \mapsto \varphi \circ f$ and the homomorphism $f_* : \text{Hom}_A(M, N') \rightarrow \text{Hom}_A(M, N)$ is defined by $\varphi \mapsto f \circ \varphi$.*

Proof:

The proof is left to the reader. (Problem Set 2, Problem 3) \square

Proposition 1.6. (Snake Lemma (8)) *Let*

$$\begin{array}{ccccccccc} 0 & \longrightarrow & M' & \xrightarrow{u} & M & \xrightarrow{v} & M'' & \longrightarrow & 0 \\ & & \downarrow f' & & \downarrow f & & \downarrow f'' & & \\ 0 & \longrightarrow & M' & \xrightarrow{u'} & M & \xrightarrow{v'} & M'' & \longrightarrow & 0 \end{array}$$

be a commutative diagram of A -modules and A -module homomorphisms with exact rows. Then, there is an exact sequence

$$0 \longrightarrow \ker(f') \xrightarrow{\bar{u}} \ker(f) \xrightarrow{\bar{v}} \ker(f'') \xrightarrow{d} \underbrace{\text{coker}(f')}_{=N'/\text{im}(f')} \xrightarrow{\bar{u}'} \underbrace{\text{coker}(f)}_{=N/\text{im}(f)} \xrightarrow{\bar{v}'} \underbrace{\text{coker}(f'')}_{=N''/\text{im}(f'')} \longrightarrow 0$$

where $\bar{u}, \bar{v}, \bar{u}'$

and \bar{v}' are A -module homomorphisms which will be explained in course of the proof.

Proof:

1. Definition of \bar{u} .

We need to define $\bar{u} : \ker(f') \rightarrow \ker(f)$. We put $\bar{u}(m') = u(m')$.

Question/Claim: $u(m') \in \ker(f)$?

$$\Rightarrow f(u(m')) = f \circ u(m') = (u' \circ f')(m') = u'(f'(m')) = u'(0) = 0$$

$$\Rightarrow u(m') \in \ker(f)$$

2. Definition of \bar{v} .

We want to define $\bar{v} : \ker(f) \rightarrow \ker(f'')$ by $\bar{v} = v|_{\ker(f)}$. Again, we have to show, that $\bar{v}(m) \in \ker(f'')$.

$$\Rightarrow f''(\bar{v}(m)) = f''(v(m)) = (f'' \circ v)(m) = (v' \circ f)(m) = v'(f(m)) = v'(0) = 0$$

3. Definition of d (connecting homomorphism).

Need to define: $d : \ker(f'') \rightarrow \text{coker}(f') = N'/\text{im}(f)$.

$$M \xrightarrow{v} M''$$

$$\begin{array}{ccc} \exists m : m & \xrightarrow{v} & m'' \in M'' \\ \downarrow f & & \downarrow f'' \\ \exists n' : n' & \xrightarrow{v'} & 0 \end{array}$$

Where $f(m) \in \ker(v') = \text{im}(u')$.

We define $d(m'') = n' + \text{im}(f') \in \text{coker}(f')$. Is this well defined?

Using the diagram

$$\begin{array}{ccccc} m' & \xrightarrow{u} & m - \tilde{m} & \xrightarrow{v} & 0 \\ \downarrow f' & & \downarrow f & & \downarrow f'' \\ f'(m') & \xrightarrow{u'} & f(m - \tilde{m}) = f(m) - f(\tilde{m}) & \xrightarrow{v'} & 0 \end{array}$$

with $m - \tilde{m} \in \text{im}(u) = \ker(v)$, we see, that d is well defined.

4. Definition of \bar{u}' .

Need to define $\bar{u}' : \text{coker}(f') \rightarrow \text{coker}(f)$.

Consider the diagram:

$$\begin{array}{ccc}
N' & \xrightarrow{u'} & N \\
\downarrow & & \downarrow \\
\text{coker}(f') = N'/\text{im}(f') & \xrightarrow{\bar{u}'} & N/\text{im}(f) = \text{coker}(f)
\end{array}$$

The induced map \bar{u}' is well-defined, if $u'(\text{im}(f')) \subseteq \text{im}(f)$.

$$n \in \text{im}(f') \Rightarrow n = f'(n') \text{ with } n' \in M'$$

$$\Rightarrow u'(n) = u'(f'(n')) = (u' \circ f')(n') = (f \circ u)(n') = f(u(n')) \in \text{im}(f)$$

5. Definition of \bar{v}' .

We need to define $\bar{v}' : \text{coker}(f) \rightarrow \text{coker}(f'')$.

$$\begin{array}{ccc}
N & \xrightarrow{v'} & N'' \\
\downarrow & & \downarrow \\
\text{coker}(f) = N/\text{im}(f) & \xrightarrow{\bar{v}'} & N''/\text{im}(f'') = \text{coker}(f'')
\end{array}$$

We use the same argument as before to show well-definedness.

6. Exactness at $\ker(f')$.

One has to show that \bar{u} is injective. This is clear, since $\bar{u} = u|_{\ker(f')}$, and u is injective.

7. Exactness at $\ker(f)$.

Claim: $\text{im}(\bar{u}) = \ker(\bar{v})$.

(a) $\text{im}(\bar{u}) \subseteq \ker(\bar{v})$

Let $m \in \text{im}(\bar{u})$.

$$\Rightarrow m = u(m'), (m' \in \ker(f'))$$

$$\Rightarrow \bar{v}(m) = v(u(m')) = (v \circ u)(m') = 0, \text{ by exactness.}$$

(b) $\ker(\bar{v}) \subseteq \text{im}(\bar{u})$

Let $m \in \ker(\bar{v}) \Rightarrow v(m) = 0$.

$$\Rightarrow m \in \ker(v) = \text{im}(u) \Rightarrow m = u(m')$$

Check: $m' \in \ker(f')$

$$\Rightarrow 0 = f(m) = f(u(m')) = (f \circ u)(m') = (u' \circ f')(m') = u'(f'(m'))$$

$$\Rightarrow f'(m') = 0, \text{ since } u' \text{ is injective.}$$

$$\Rightarrow m' \in \ker(f')$$

8. Exactness at $\ker(f'')$.

Show: $\text{im}(\bar{v}) = \ker(d)$

(a) $\text{im}(\bar{v}) \subseteq \ker(d)$

$m'' \in \text{im}(\bar{v})$

$$\Rightarrow \exists m \in \ker(f) : m'' = \bar{v}(m) = v(m)$$

$$\begin{array}{ccc}
m & \xrightarrow{\bar{v}} & m'' \\
\downarrow & & \downarrow \\
\exists n' : n' \xrightarrow{u'} f(m) = 0 & \xrightarrow{v'} & 0
\end{array}$$

Since u' is injective, we have $n' = 0$.

$$\Rightarrow d(m'') = 0 \in \text{coker}(f') \Rightarrow m'' \in \ker(d)$$

(b) $\ker(d) \subseteq \text{im}(\bar{v})$

Let be $m'' \in \ker(d)$ ($\subseteq \ker(f'') \subseteq M''$).

$$\begin{array}{ccccc} \exists m' & & m & \xrightarrow{v} & m'' \\ \downarrow f' & & \downarrow f & & \downarrow f'' \\ \exists n' : n' & \xrightarrow{u'} & f(m) & \xrightarrow{v'} & 0 \end{array}$$

Now, we apply u to m' and get $u(m')$.

Then, we apply f to $m - u(m')$ and get $f(m) - f(m) = 0$:

$$\begin{array}{ccc} \ker(f) \ni m - u(m') & \xrightarrow{v} & v(m) - 0 = m'' \\ \downarrow f & & \\ 0 = f(m) - f(m) & & \\ \Rightarrow m'' \in \text{im}(\bar{v}) & & \end{array}$$

9. $\text{im}(d) = \ker(\bar{u}')$

(a) $\text{im}(d) \subseteq \ker(\bar{u}')$

Let $n' + \text{im}(f') \in \text{im}(d)$.

$$\bar{u}'(n' + \text{im}(f')) = u'(n') + \text{im}(f)$$

We want to show: $n' + \text{im}(f') \in \ker(\bar{u}')$, i.e. $u'(n') \in \text{im}(f)$.

We have $u'(n') = f(m)$...

(b) $\ker(\bar{u}') \subseteq \text{im}(d)$

$$\begin{aligned} \underbrace{\bar{u}'(n' + \text{im}(f'))}_{=u'(n')+\text{im}(f)} &= \text{im}(f) = 0 \in \text{coker}(f) \\ \Rightarrow u'(n') &\in \text{im}(f) \\ \Rightarrow \exists m \in M : u'(n') &= f(m) \end{aligned}$$

10. The rest is left to the reader. □

1.3 Homology & cohomologie

Fix A , a commutative ring with 1.

Definition (category)

A *category* \mathcal{C} consists of objects X, Y, \dots and morphisms $\text{Mor}(X, Y)$ for every pair of objects X, Y . Corresponding morphisms can be composed in an associative way. For every object X we have the identity morphism $id_X \in \text{Mor}(X, X)$ given by $\forall x \in X : id_X(x) = x$.

Notation: $Ob(\mathcal{C})$ denotes the objects of the category \mathcal{C} ($X \in Ob(\mathcal{C})$).

Example

1. The categorie $\mathcal{C} = \mathfrak{M}_A$ is given as follows:

(a) $Ob(\mathfrak{M}_A) = \{X \mid X \text{ is an } A\text{-module}\}$

(b) $\forall X, Y \in Ob(\mathfrak{M}_A) : \text{Mor}(X, Y) = \text{Hom}_A(X, Y)$

\mathfrak{M}_A is the categorie of A -modules.

2. $\mathcal{C} = \mathcal{T}$ = category of topological spaces:

(a) $Ob(\mathcal{T}) = \{X \mid X \text{ is a topological space}\}$

(b) $\forall X, Y \in Ob(\mathcal{T}) : \text{Mor}(X, Y) = \text{Cont}(X, Y)$ which is the set of continuous maps from X to Y .

Definition (covariant functor)

Let \mathcal{C} and \mathcal{D} be two categories.

A map $F : \mathcal{C} \rightarrow \mathcal{D}$ is called a *covariant functor*, iff F associates to every $X \in Ob(\mathcal{C})$ an object $F(X) \in Ob(\mathcal{D})$ and to every $f \in Mor(X, Y)$ a morphism $F(f) \in Mor(F(X), F(Y))$ such that $\forall f, g \in Mor(X, Y) : F(f \circ g) = F(f) \circ F(g)$ and $\forall X \in Ob(\mathcal{C}) : F(id_X) = id_{F(X)}$.

Remark

The above functor is called *contravariant*, iff $\forall f, g \in Mor(X, Y) : F(f \circ g) = F(g) \circ F(f)$. Note that $Mor(X, Y) \ni f \mapsto F(f) \in Mor(F(Y), F(X))$.

Example

Consider $\mathcal{C} = \mathcal{D} = \mathfrak{M}_A$.

1. Fix $M \in Ob(\mathfrak{M}_A)$ and consider the functor $Hom_A(M, \cdot)$.

$$N \in Ob(\mathfrak{M}_A) : N \mapsto Hom_A(M, N) \in Ob(\mathfrak{M}_A)$$

$\Rightarrow Hom(M, \cdot)$ is a covariant functor from \mathfrak{M}_A to itself.

2. Fix $N \in Ob(\mathfrak{M}_A)$ and consider the functor $Hom_A(\cdot, N)$.

$$\text{For } M \in Ob(\mathfrak{M}_A) \text{ we have } M \mapsto Hom_A(M, N) \in Ob(\mathfrak{M}_A).$$

$\Rightarrow Hom(\cdot, N)$ is a contravariant functor from \mathfrak{M}_A to itself.

Definition (chain complex)

A *chain complex* $\mathbf{C} : \dots \rightarrow C_{n+1} \xrightarrow{\delta_{n+1}} C_n \xrightarrow{\delta_n} C_{n-1} \rightarrow \dots$ consists of A modules $\{C_n\}_{n \in \mathbb{Z}}$ and A module homomorphisms $\{\delta_n\}_{n \in \mathbb{Z}}$ satisfying $\delta_n \circ \delta_{n+1} = 0$, i.e. $im(\delta_{n+1}) \subseteq ker(\delta_n)$ for all $n \in \mathbb{Z}$. Let \mathbf{C} and \mathbf{D} be chain complexes. A morphism $\varphi : \mathbf{C} \rightarrow \mathbf{D}$ is given by A -module homomorphisms $\varphi_n : C_n \rightarrow D_n, n \in \mathbb{Z}$, such that the following diagram commutes:

$$\begin{array}{ccc} C_n & \xrightarrow{\varphi_n} & D_n \\ \delta_n \downarrow & & \delta_n \downarrow \\ C_{n-1} & \xrightarrow{\varphi_{n-1}} & D_{n-1} \end{array}$$

From this, we get the following commutative diagram:

$$\begin{array}{ccccccc} \mathbf{C} : & \dots & \xrightarrow{\delta_{n+2}} & C_{n+1} & \xrightarrow{\delta_{n+1}} & C_n & \xrightarrow{\delta_n} & C_{n-1} & \xrightarrow{\delta_{n-1}} & \dots \\ \varphi \downarrow & & & \varphi_{n+1} \downarrow & & \varphi_n \downarrow & & \varphi_{n-1} \downarrow & & \\ \mathbf{D} : & \dots & \xrightarrow{\delta_{n+2}} & D_{n+1} & \xrightarrow{\delta_{n+1}} & D_n & \xrightarrow{\delta_n} & D_{n-1} & \xrightarrow{\delta_{n-1}} & \dots \\ \psi \downarrow & & & \psi_{n+1} \downarrow & & \psi_n \downarrow & & \psi_{n-1} \downarrow & & \\ \mathbf{E} : & \dots & \xrightarrow{\delta_{n+2}} & E_{n+1} & \xrightarrow{\delta_{n+1}} & E_n & \xrightarrow{\delta_n} & E_{n-1} & \xrightarrow{\delta_{n-1}} & \dots \end{array}$$

Definition (cycles, boundaries, homology)

Let \mathbf{C} be a chain complex.

1. $Z_n(\mathbf{C}) = ker(\delta_n) \subseteq C_n$ is called the A -module of n -cycles of \mathbf{C} .
2. $B_n(\mathbf{C}) = im(\delta_{n+1}) \subseteq C_n$ is called the A -module of n -boundaries of \mathbf{C} .
3. (recall: $B_n(\mathbf{C}) \subseteq Z_n(\mathbf{C})$)

The quotient module $H_n(\mathbf{C}) = Z_n(\mathbf{C})/B_n(\mathbf{C})$ is called the n -th *homology* of \mathbf{C} ($n \in \mathbb{Z}$).

Remark

Let $\varphi : \mathbf{C} \rightarrow \mathbf{D}$ be a morphism of chain complexes:

$$\begin{array}{ccccccc} \cdots & \longrightarrow & C_{n+1} & \xrightarrow{\delta_{n+1}} & C_n & \xrightarrow{\delta_n} & C_{n-1} & \longrightarrow & \cdots \\ & & \downarrow \varphi_{n+1} & & \downarrow \varphi_n & & \downarrow \varphi_{n-1} & & \\ \cdots & \longrightarrow & D_{n+1} & \xrightarrow{\delta_{n+1}} & D_n & \xrightarrow{\delta_n} & D_{n-1} & \longrightarrow & \cdots \end{array}$$

Claim: We want to have a morphism φ_{n*} from $H_n = Z_n(\mathbf{C})/B_n(\mathbf{C})$ to $Z_n(\mathbf{D})/B_n(\mathbf{D}) = H_n(\mathbf{D})$.

In short: Building homology gives rise to covariant functor from the category of chain complexes (over A) to the category of graded A -modules.

Long exact sequences in homology

Consider chain complexes $\mathbf{C}, \mathbf{D}, \mathbf{E}$ and the short exact sequence $0 \rightarrow \mathbf{C} \xrightarrow{\varphi} \mathbf{D} \xrightarrow{\psi} \mathbf{E} \rightarrow 0$, i.e.

$$0 \longrightarrow \mathbf{C} \xrightarrow{\varphi} \mathbf{D} \xrightarrow{\psi} \mathbf{E} \longrightarrow 0$$

$$\begin{array}{ccccccc} & & \vdots & & \vdots & & \vdots & & \\ & & \downarrow & & \downarrow & & \downarrow & & \\ 0 & \longrightarrow & C_{n+1} & \xrightarrow{\varphi_{n+1}} & D_{n+1} & \xrightarrow{\psi_{n+1}} & E_{n+1} & \longrightarrow & 0 \\ & & \downarrow \delta_{n+1} & & \downarrow \delta_{n+1} & & \downarrow \delta_{n+1} & & \\ 0 & \longrightarrow & C_n & \xrightarrow{\varphi_n} & D_n & \xrightarrow{\psi_n} & E_n & \longrightarrow & 0 \\ & & \downarrow & & \downarrow & & \downarrow & & \\ & & \vdots & & \vdots & & \vdots & & \end{array}$$

Then, there is a long exact sequence in homology:

$$\dots \rightarrow H_n(\mathbf{C}) \xrightarrow{\varphi_{n*}} H_n(\mathbf{D}) \xrightarrow{\psi_{n*}} H_n(\mathbf{E}) \xrightarrow{d_n} H_{n-1}(\mathbf{C}) \xrightarrow{\varphi_{n-1*}} H_{n-1}(\mathbf{D}) \xrightarrow{\psi_{n-1*}} H_{n-1}(\mathbf{E}) \rightarrow \dots$$

Proof:

Consider the diagram:

$$\begin{array}{ccccccc} 0 & \longrightarrow & \ker(\delta_n) & \longrightarrow & \ker(\delta_n) & \longrightarrow & \ker(\delta_n) \\ & & \downarrow & & \downarrow & & \downarrow \\ 0 & \longrightarrow & C_n & \xrightarrow{\varphi_n} & D_n & \xrightarrow{\psi_n} & E_n & \longrightarrow & 0 \\ & & \downarrow \delta_n & & \downarrow \delta_n & & \downarrow \delta_n & & \\ 0 & \longrightarrow & C_{n-1} & \xrightarrow{\varphi_{n-1}} & D_{n-1} & \xrightarrow{\psi_{n-1}} & E_{n-1} & \longrightarrow & 0 \\ & & \downarrow & & \downarrow & & \downarrow & & \\ 0 & \longrightarrow & \text{coker}(\delta_n) & \longrightarrow & \text{coker}(\delta_n) & \longrightarrow & \text{coker}(\delta_n) \end{array}$$

Note: $\text{im}(\delta_{n+1}) \subseteq \ker(\delta_n)$, which gives

$$C_n / \text{im}(\delta_{n+1}) \rightarrow C_n / \ker(\delta_n) \cong \text{im}(\delta_n) \subseteq \ker(\delta_{n-1})$$

$$\Rightarrow \text{coker}(\delta_{n+1}) \xrightarrow{\tilde{\delta}_n} \ker(\delta_{n-1})$$

Note further:

$$\ker(\tilde{\delta}_n) = \ker(\delta_n) / \text{im}(\delta_{n+1}) = H_n(\mathbf{C})$$

$$\text{coker}(\tilde{\delta}_n) = \ker(\delta_{n-1}) / \text{im}(\tilde{\delta}_n) = \ker(\delta_{n-1}) / \text{im}(\delta_n) = H_{n-1}(\mathbf{C})$$

Now, we can use the Snake Lemma in the following commutative diagram:

$$\begin{array}{ccccccc}
 H_n(\mathbf{C}) & \xrightarrow{\varphi_{n*}} & H_n(\mathbf{D}) & \xrightarrow{\psi_{n*}} & H_n(\mathbf{E}) & & \\
 \downarrow & & \downarrow & & \downarrow & & \\
 \text{coker}(\delta_{n-1}) & \longrightarrow & \text{coker}(\delta_{n-1}) & \longrightarrow & \text{coker}(\delta_{n-1}) & \longrightarrow & 0 \\
 \downarrow \tilde{\delta}_n & & \downarrow \tilde{\delta}_n & & \downarrow \tilde{\delta}_n & & \\
 0 \longrightarrow & \text{ker}(\delta_{n-1}) & \longrightarrow & \text{ker}(\delta_{n-1}) & \xrightarrow{d} & \text{ker}(\delta_{n-1}) & \\
 \downarrow & & \downarrow & & \downarrow & & \\
 H_{n-1}(\mathbf{C}) & \xrightarrow{\varphi_{n-1*}} & H_{n-1}(\mathbf{D}) & \xrightarrow{\psi_{n-1*}} & H_{n-1}(\mathbf{E}) & &
 \end{array}$$

Note, that we have:

$$\ker(\delta_n) = H_n(\mathbf{C}) = H_n(\mathbf{D}) = H_n(\mathbf{E}) \text{ and } \ker(\tilde{\delta}_n) = H_n(\mathbf{C}_{n-1}) = H_n(\mathbf{D}_{n-1}) = H_n(\mathbf{E}_{n-1})$$

Lecture on 2007-11-08

Definition (cochain complex)

A *cochain complex* \mathbf{C} is given by a sequence $\mathbf{C} : \dots \rightarrow C_{n-1} \xrightarrow{\delta_{n-1}} C_n \xrightarrow{\delta_n} C_{n+1} \rightarrow \dots$ which has the following properties:

1. C_n ($n \in \mathbb{Z}$) are A -modules
2. δ_n ($n \in \mathbb{Z}$) are A -module homomorphisms such that $\delta_n \circ \delta_{n-1} = 0$

Definition (cohomology)

The n -th *cohomology* of \mathbf{C} is given by

1. $H^n(\mathbf{C}) = \ker(\delta_n) / \text{im}(\delta_{n-1}), n \in \mathbb{Z}$
2. $Z^n(\mathbf{C}) = \ker(\delta_n)$ are the n -cocycles of \mathbf{C}
3. $B^n(\mathbf{C}) = \text{im}(\delta_{n-1})$ are the n -coboundaries of \mathbf{C}

Remark

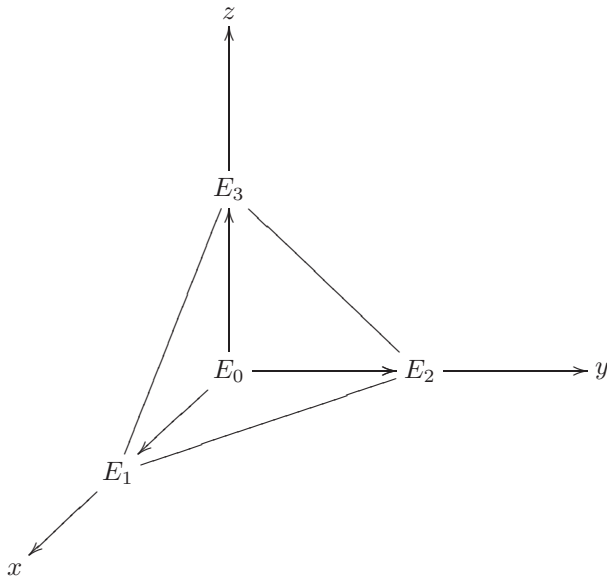
Define the morphism $\varphi : \mathbf{C} \rightarrow \mathbf{D}$ of cochain complexes analogous to morphisms of chain complexes. \rightsquigarrow Category of cochain complexes. A morphism $\varphi : \mathbf{C} \rightarrow \mathbf{D}$ of cochain complexes induces (as before) a morphism $\varphi_n^* : H^n(\mathbf{C}) \rightarrow H^n(\mathbf{D})$. We get a covariant functor from the category of cochain complexes to the category of graded A -modules.

There exists a long exact sequence in cohomology.

Example

1. Topology (singular homology)

Let $\Delta_n \subseteq \mathbb{R}^N, n = 0, \dots, N$ be the standard simplex, i.e. $\Delta_n = \langle E_0, E_1, \dots, E_n \rangle$ where E_0, \dots, E_N is the affine standard basis in $\mathbb{A}^N(\mathbb{R}) \cong \mathbb{R}^N$ and $\langle \dots \rangle$ denotes the convex hull:



Aim: Construct a functor from the category of topological spaces to the category of (graded) \mathbb{Z} -modules:

Let X be topological space:

We define $\tilde{\Delta}_n = \{ \sigma_n | \Delta_n \rightarrow X | \sigma_n \text{ is continuous} \}$ is called a simplex.

Additionally we define $C_n(X) = \langle \sigma_n | \sigma_n \in \tilde{\Delta}_n \rangle$ the free abelian group generated by the simplices, i.e. $C_n(X) \ni c_n = \sum_{\nu} \lambda_{\nu} c_n^{(\nu)}$ (finite and $\lambda_n \in \mathbb{Z}$).

c_n is called singular chain.

Note: $C_n(X)$ is a \mathbb{Z} module ($C_n(X) = 0$, if $n < 0$).

Boundary map: $\delta_n C_n(X) \rightarrow C_{n-1}(X)$, defined by $\sigma_n \mapsto \delta_n(\sigma_n)$.

$\delta_n(\sigma_n) := \sum_{i=0}^n (-1)^i \tilde{\delta}_i(\sigma_n)$ with $\tilde{\delta}_i(\delta_n) := \sigma_n|_{\langle E_0, \dots, \hat{E}_i, \dots, E_n \rangle} \in \tilde{\Delta}_{n-1}$ where $\langle E_0, \dots, \hat{E}_i, \dots, E_n \rangle$ is a standard simplex of dimension $n - 1$.

We get a \mathbb{Z} -linear map $\delta_n : C_n(X) \rightarrow C_{n-1}(X)$.

Exercise: Show that $\delta_{n-1} \circ \delta_n = 0$.

$\Rightarrow \mathbf{C}(X) : \dots \rightarrow C_n(X) \xrightarrow{\delta_n} C_{n-1}(X) \xrightarrow{\delta_{n-1}} \dots \rightarrow C_0(X) \rightarrow 0 \rightarrow 0 \rightarrow \dots$ is a chain complex of \mathbb{Z} -modules.

$\Rightarrow H_n(X, \mathbb{Z}) = H_n(\mathbf{C}(X))$ is the n -th singular homology of X with coefficients in \mathbb{Z} .

The aim of topology is to classify topological spaces up to homeomorphisms, i.e. bijective bicontinuous maps. ($X \approx Y \Leftrightarrow \exists f : X \rightarrow Y$ cont., bij. and $Y \rightarrow X$ continuous.)

Now, let's consider the N -dimensional sphere $S^N = \{x \in \mathbb{R}^{N+1} | \|x\| = 1\}$.

Wie get $H_n(S^N, \mathbb{Z}) \cong \begin{cases} \mathbb{Z} & , n = 0, N \\ 0 & , \text{otherwise} \end{cases}$.

If $N = 2$, we get $X \cong S^2 \Leftrightarrow H_n(X, \mathbb{Z}) \cong H_n(S^2, \mathbb{Z})$.

If $N = 3$, we have $X \cong S^3 \Leftrightarrow H_n(X, \mathbb{Z}) \cong H_n(S^3, \mathbb{Z})$

$\Rightarrow \checkmark$
 \Leftarrow Poincaré-Coryechre - proven by Perelman

2. Differential forms

→ de Rham cohomology (of manifolds)

Consider $X = \mathbb{R}^n$ (this is a (real) manifold). (Manifolds are structures, which look locally like \mathbb{R}^n .)

Let $C^0(\mathbb{R}^n) = C^\infty(\mathbb{R}^n, \mathbb{R})$ the infinitely diff. real functions on \mathbb{R}^n .

Def:

A differential form ω on \mathbb{R}^n of degree r is given by

$$\omega = \sum_{\{i_1, \dots, i_r\} \subseteq \{1, \dots, n\}} f_{i_1, \dots, i_r}(x_1, \dots, x_n) dx_{i_1} \dots dx_{i_r}$$

where $f_{i_1, \dots, i_r} \in C^0(\mathbb{R}^n)$.

The differentials dx_1, \dots, dx_n are subject to the relation $dx_i dx_j = -dx_j dx_i$ ($j, k = 1, \dots, n$). Hence, we get $dx_j dx_j = 0$ (\Rightarrow if $r > n$ we get $\omega = 0$).

$$\Rightarrow \omega = \sum_{1 \leq i_1 \leq \dots \leq i_r \leq n} g_{i_1, \dots, i_r}(x_1, \dots, x_n) dx_{i_1} \dots dx_{i_r}.$$

$C^r(\mathbb{R}^n) = \{\omega \mid \omega \text{ is diff. form of degree } r\}$.

$\Rightarrow C^r(\mathbb{R}^n) = 0$, if $r > 0$. We put $C^r(\mathbb{R}^n) = 0$, if $r < 0$.

We want to get a cochain complex

$$\dots \xrightarrow{d} 0 \xrightarrow{d} 0 \xrightarrow{d} C^0(\mathbb{R}^n) \xrightarrow{d} C^1(\mathbb{R}^n) \xrightarrow{d} \dots \xrightarrow{d} C^n(\mathbb{R}^n) \xrightarrow{d} 0 \xrightarrow{d} 0 \xrightarrow{d} \dots$$

where d is defined by $f \mapsto \sum_{i=1}^n \frac{\partial f}{\partial x_i} dx_i$

One has to check, that $d \circ d = 0$.

$$\text{Generally one has } d\omega = \sum_{1 \leq i_1 \leq \dots \leq i_r \leq n} \sum_{j=1}^n \frac{\partial g_{i_1, \dots, i_r}}{\partial x_j} dx_j dx_{i_1} \dots dx_{i_r}$$

One can replace \mathbb{R}^n by an n -dimensional manifold X . You get $H_{dR}^r(X, \mathbb{R})$, which is the r -th de Rham cohomology of X .

We have the Poincaré-duality: $H_{dR}^r(X) \cong H_r(X, \mathbb{R})$.

1.4 The functor *Ext*

Let $0 \rightarrow N' \rightarrow N \rightarrow N'' \rightarrow 0$ be an exact sequence of A -modules.

Then, we get $0 \rightarrow \text{Hom}(M, N') \rightarrow \text{Hom}(M, N) \rightarrow \text{Hom}(M, N'') \rightarrow ?$.

But ... what does the question mark stand for?

As usual we will denote by A a commutative ring with 1.

Definition (projective A -modules)

An A -module P is called *projective*, iff for every surjective A -module homomorphism $\psi : M \rightarrow M''$ and every A -module homomorphism $\pi'' : P \rightarrow M''$, there exists an A module homomorphism $\pi : P \rightarrow M$ such that $\psi \circ \pi = \pi''$.

Example

Free A -modules are projective (i.e. $M \cong \bigoplus_{s \in S} A_s$, with $A_s \cong A$).

$$\begin{array}{ccc} F = \bigoplus_{s \in S} A_s & \begin{array}{c} \circlearrowleft \\ \circlearrowright \end{array} & 1_s \\ \downarrow \exists? \pi & \searrow \pi'' & \searrow \\ M & \xrightarrow{\psi} & M'' \longrightarrow 0 \end{array} \quad \begin{array}{c} \exists m_s \in M \longmapsto \pi''(1_s) \end{array}$$

Define $\pi(1_s) = m_s \in M$, extend linearly, denote the map by π again and ... this does the job.

Lemma 1.8. (1) A direct sum $\sum_{i \in I} P_i$ of A -modules P_i ($i \in I$) is projective iff each P_i is projective.

Proof:

$$\begin{array}{ccc}
 \begin{array}{c} P_1 \\ \pi_1 \downarrow \\ M \end{array} & \begin{array}{c} \circlearrowleft \\ \searrow \pi_1'' \\ \xrightarrow{\psi} \\ M'' \end{array} & \begin{array}{c} \circlearrowleft \\ \xrightarrow{\psi} \\ M'' \end{array} \\
 & & \xrightarrow{\psi} \\
 & & M'' \longrightarrow 0
 \end{array}
 \quad
 \begin{array}{c} P_2 \\ \pi_2 \downarrow \\ M \end{array}
 \begin{array}{c} \circlearrowleft \\ \searrow \pi_2'' \\ \xrightarrow{\psi} \\ M'' \end{array}
 \begin{array}{c} \circlearrowleft \\ \xrightarrow{\psi} \\ M'' \end{array}
 \xrightarrow{\psi}
 M'' \longrightarrow 0$$

$$\begin{array}{ccc}
 \begin{array}{c} P_1 \oplus P_2 \\ \pi \downarrow \\ M \end{array} & \begin{array}{c} \circlearrowleft \\ \searrow \pi'' \\ \xrightarrow{\psi} \\ M'' \end{array} & \begin{array}{c} \circlearrowleft \\ \xrightarrow{\psi} \\ M'' \end{array} \\
 & & \xrightarrow{\psi} \\
 & & M'' \longrightarrow 0
 \end{array}
 \quad
 \begin{array}{ccc}
 (p_1, p_2) & & \circlearrowleft \\ \pi \downarrow & \searrow \pi'' & \\ \pi_1(p_1) + \pi_2(p_2) & \longmapsto & \pi_1''(p_1) + \pi_2''(p_2)
 \end{array}
 \quad \square$$

Definition (splitting sequences)

A short exact sequence $0 \rightarrow M' \xrightarrow{\varphi} M \xrightarrow{\psi} M'' \rightarrow 0$ of A modules *splits*, iff there exists an A -module homomorphism $\sigma : M'' \rightarrow M$ such that $\psi \circ \sigma = \text{id}_{M''}$.

Lemma 1.9. (2) A short exact sequence $0 \rightarrow M' \xrightarrow{\varphi} M \xrightarrow{\psi} M'' \rightarrow 0$ of A modules splits, iff we have an A -module isomorphism $M \cong M' \oplus M''$. We call this a trivial extension of M'' by M' .

Proof:

1. \Leftarrow

Considering the sequence $0 \rightarrow M' \rightarrow M' \oplus M'' \rightarrow M'' \rightarrow 0$ with $m' \mapsto (m', 0)$ and $(m', m'') \mapsto m''$ we get $\sigma : M'' \rightarrow M' \oplus M''$ by $m'' \mapsto (0, m'')$.

2. \Rightarrow

This is a consequence of the 5-Lemma:

We have (by the properties of direct sums):

$$\begin{array}{ccccc}
 M' & & & & \\ & \searrow \varphi & & & \\ & & M' \oplus M'' & \xrightarrow{\tau} & M \\ & \nearrow \sigma & & & \\ M'' & & & & \end{array}$$

We rewrite this as follows

$$\begin{array}{ccccccccc}
 0 & \longrightarrow & M' & \longrightarrow & M' \oplus M'' & \longrightarrow & M'' & \longrightarrow & 0 \\
 \cong \downarrow & & \text{id}_{M'} \downarrow \cong & & \downarrow \tau & & \cong \downarrow \text{id}_{M''} & & \downarrow \cong \\
 0 & \longrightarrow & M' & \xrightarrow{\varphi} & M & \xrightarrow{\psi} & M'' & \longrightarrow & 0
 \end{array}$$

$\Rightarrow \tau$ is an isomorphism. (This is called the 5-Lemma due to the 5 columns in the diagram. We have proven this in the exercise.) \square

Lemma 1.10. (3) Let $0 \rightarrow N' \xrightarrow{\varphi} N \xrightarrow{\psi} N'' \rightarrow 0$ be a short exact sequence and P a projective A -module.

Then, the short sequence $0 \rightarrow \text{Hom}_A(P, N') \rightarrow \text{Hom}_A(P, N) \rightarrow \text{Hom}_A(P, N'') \rightarrow 0$ is also exact.

Proof:

The proof is left for the exercise. \square

Lemma 1.11. (4) *Let M be an A -module. Then, there exists a projective A -module P together with a surjective A -module homomorphism $P \rightarrow M \rightarrow 0$.*

Note: *One can take P even to be free.*

Proof:

$$P := \bigoplus_{m \in M} A_m, A_m \cong A$$

Consider the map $A_m \ni 1_m \mapsto m \in M$, extend linearly and you will get an A -module homomorphism $P = \bigoplus_{m \in M} A_m \rightarrow M$, which is obviously surjective. \square

Definition (projective resolution)

Let M be an A -module. A chain complex $\mathbf{P} : \cdots \rightarrow P_n \rightarrow P_{n-1} \rightarrow \cdots \rightarrow P_0 \rightarrow 0$ is called *projective resolution* of M , if the following three properties hold:

1. The P_n are projective A -modules for $n \in \mathbb{N}$.
2. $H_n(\mathbf{P}) = 0$ for $n \in \mathbb{N}, n > 0$, i.e. the sequence of the chain complex is exact, except at P_0 . One calls such a chain complex acyclic.
3. $H_0(\mathbf{P}) \cong M$

Remark

$\cdots \rightarrow P_n \rightarrow P_{n-1} \rightarrow \cdots \rightarrow P_1 \xrightarrow{\delta_1} P_0 \xrightarrow{\delta_0} H_0(\mathbf{P}) = \ker(\delta_0)/\text{im}(\delta_1) = P_0/\text{im}(\delta_1) \rightarrow 0$ is exact everywhere.

$\Rightarrow \cdots \rightarrow P_n \rightarrow P_{n-1} \rightarrow \cdots \rightarrow P_1 \rightarrow P_0 \rightarrow M \rightarrow 0$ is also exact everywhere.

Lemma 1.12. (5) *Let M be an A -module. Then, M has a projective resolution.*

Proof:

By Lemma 4, there exist A -modules R_1, P_0 with P_0 projective such that we have a short exact sequence $0 \rightarrow R_1 \rightarrow P_0 \rightarrow M \rightarrow 0$.

Again applying Lemma 4 (namely for R_1), we get an exact sequence $0 \rightarrow R_2 \rightarrow P_1 \rightarrow R_1 \rightarrow 0$ with P_1 being projective.

Taken together this yields the sequence $P_1 \rightarrow P_0 \rightarrow M \rightarrow 0$. The exactness at P_0 is given by the construction.

Inductively, one constructs the claimed projective resolution

$$\cdots \rightarrow P_n \rightarrow \cdots \rightarrow P_1 \rightarrow P_1 \rightarrow M \rightarrow 0$$

\square

Derived functors

Let $T : \mathfrak{M}_A \rightarrow \mathfrak{M}_A$ ($\mathfrak{M}_A =$ category of A -modules) be a covariant functor. Let be $M \in \text{Ob}(\mathfrak{M}_A)$

with projective resolution $\mathbf{P} : \cdots \xrightarrow{\delta_{n+1}} P_n \xrightarrow{\delta_n} \cdots \rightarrow P_1 \rightarrow P_0 \rightarrow M \rightarrow 0$.

Apply T to \mathbf{P} . In particular $\delta_n \circ \delta_{n+1} = 0 \Rightarrow T(\delta_n) \circ T(\delta_{n+1}) = T(0) = 0$.

$T\mathbf{P} : \cdots \rightarrow T(P_n) \rightarrow T(P_{n-1}) \rightarrow \cdots \rightarrow T(P_1) \rightarrow T(P_0) \rightarrow 0$ is a chain complex, but it does not have to be exact or projective.

Now, we can take homology of this chain complex.

Definition (left derived functor)

Using the above notations, the *left derived functor* $LT(M)$ of T is given by $L_n T(M) = H_n(T\mathbf{P}), n \in \mathbb{N}$.

Remark

$\mathfrak{M}_A \rightarrow$ graded \mathfrak{M}_A , defined by $M \mapsto (L_n T(M))_{n \in \mathbb{N}}$ is the derived functor.

Proposition 1.7. *The definition of the left derived functor $LT(M)$ is independent of the chosen projective resolution of M .*

Proof:

See Hilton-Stammbach: Homological algebra, p. 129. \square

Definition (Ext)

For $\text{Hom}_A(\cdot, N), N \in \text{Ob}(\mathfrak{M}_A)$ we define $\text{Ext}_A^n(M, N) := R^n \text{Hom}_A(M, N)$, where R denotes the right derived functor (created by $H^n(\mathbf{TP})$), defined by the cochain complex $\text{Hom}_A(M, N)$.

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Lemma 1.13. (Characterisation of projective modules) P is a projective module $\Leftrightarrow \exists P'$ such that $P \oplus P'$ is free.

Proof:

No Proof. □

Example

$\text{Ext}_A^n(M, N) = R^n \text{Hom}_A(M, N)$ where R denotes the right derived functor of the contravariant functor $\text{Hom}_A(\cdot, N)$.

Lemma 1.14. (6) If M is projective, then $\text{Ext}_A^n(M, N) = 0$ for all $n > 0$. (Additionally, $\text{Ext}_A^0(M, N) = \text{Hom}_A(M, N)$.)

Proof:

M has the resolution $0 \rightarrow P_0 = M \xrightarrow{\text{id}} M \rightarrow 0$.

Applying $\text{Hom}_A(\cdot, N)$ to $0 \rightarrow M \rightarrow 0$ and computing the cohomology of $0 \rightarrow \text{Hom}_A(M, N) \rightarrow 0$ we get the desired result. □

Example

Considering $\text{Ext}_{\mathbb{Z}}^n(\mathbb{Z}/n\mathbb{Z}, \mathbb{Z})$, we get the resolution $0 \rightarrow \mathbb{Z} \xrightarrow{\cdot n} \mathbb{Z} \rightarrow \mathbb{Z}/n\mathbb{Z} \rightarrow 0$.

Applying $\text{Hom}_{\mathbb{Z}}(\cdot, \mathbb{Z})$ to $0 \rightarrow \mathbb{Z} \xrightarrow{\cdot n} \mathbb{Z} \rightarrow 0$ leads to $0 \leftarrow \text{Hom}_{\mathbb{Z}}(\mathbb{Z}, \mathbb{Z}) \cong \mathbb{Z} \xleftarrow{\cdot n} \text{Hom}_{\mathbb{Z}}(\mathbb{Z}, \mathbb{Z}) \leftarrow 0$.

$\Rightarrow \text{Ext}_{\mathbb{Z}}^0(\mathbb{Z}/n\mathbb{Z}, \mathbb{Z}) = 0 = \text{Hom}_{\mathbb{Z}}(\mathbb{Z}/n\mathbb{Z}, \mathbb{Z}), \text{Ext}_{\mathbb{Z}}^1(\mathbb{Z}/n\mathbb{Z}, \mathbb{Z}) = \mathbb{Z}/n\mathbb{Z}, \text{Ext}_{\mathbb{Z}}^j(\mathbb{Z}/n\mathbb{Z}, \mathbb{Z}) = 0, j \geq 2$

Theorem 1.2. (7) For an exact sequence $0 \rightarrow M' \rightarrow M \rightarrow M'' \rightarrow 0$ there is a long exact sequence

$0 \longrightarrow \text{Hom}_A(M'', N) \longrightarrow \text{Hom}_A(M, N) \longrightarrow \text{Hom}_A(M', N)$

$$\begin{array}{ccccccc} & & \swarrow & & \swarrow & & \\ \text{Ext}_A^1(M'', N) & \longrightarrow & \text{Ext}_A^1(M, N) & \longrightarrow & \text{Ext}_A^1(M', N) & & \\ & & \searrow & & \searrow & & \\ \text{Ext}_A^2(M'', N) & \longrightarrow & \dots & \longrightarrow & \dots & & \\ & & \searrow & & \searrow & & \\ & & \vdots & & \vdots & & \end{array}$$

Proof:

This is a corollary from the long cohomology sequence, applied to

$$\begin{array}{ccccccccc} 0 & \longrightarrow & M' & \longrightarrow & M & \longrightarrow & M'' & \longrightarrow & 0 \\ & & \uparrow & & \uparrow & & \uparrow & & \\ & & P'_0 & & P_0 & & P''_0 & & \\ & & \uparrow & & \uparrow & & \uparrow & & \\ & & P'_1 & & P_1 & & P''_1 & & \\ & & \uparrow & & \uparrow & & \uparrow & & \\ & & \vdots & & \vdots & & \vdots & & \end{array}$$

□

Remark

We could also consider a left derived functor of $\text{Hom}_A(M, \cdot)$. It coincides again with Ext , i.e. for $0 \rightarrow N' \rightarrow N \rightarrow N'' \rightarrow 0$ there is a long exact sequence

$$0 \rightarrow \text{Hom}_A(M, N') \rightarrow \text{Hom}_A(M, N) \rightarrow \text{Hom}_A(M, N'') \rightarrow \text{Ext}_A^1(M, N')$$

Aim

Aim: Give an interpretation of $\text{Ext}_A^1(M, N)$ as extension.

Definition

For A -modules M, N we call a short exact sequence $0 \rightarrow N \rightarrow Q \rightarrow M \rightarrow 0$ an *extension* of M by N .

We know that Q is not uniquely determined by M and N :

1. $0 \rightarrow \mathbb{Z}/2\mathbb{Z} \rightarrow \mathbb{Z}/4\mathbb{Z} \rightarrow \mathbb{Z}/2\mathbb{Z} \rightarrow 0$
2. $0 \rightarrow \mathbb{Z}/2\mathbb{Z} \rightarrow (\mathbb{Z}/2\mathbb{Z})^2 \rightarrow \mathbb{Z}/2\mathbb{Z} \rightarrow 0$

where we have $\mathbb{Z}/4\mathbb{Z} \not\cong (\mathbb{Z}/2\mathbb{Z})^2$.

Definition

Two extensions Q and Q' are called *equivalent*, iff there is a commutative diagram

$$\begin{array}{ccccccccc} 0 & \longrightarrow & N & \longrightarrow & Q & \longrightarrow & M & \longrightarrow & 0 \\ & & \downarrow \text{id}_N & & \downarrow \varphi & & \downarrow \text{id}_M & & \\ 0 & \longrightarrow & N & \longrightarrow & Q' & \longrightarrow & M & \longrightarrow & 0 \end{array}$$

We denote the set of equivalence classes as $E_A(M, N)$.

Lemma 1.15. *If Q and Q' are equivalent, then $Q \cong Q'$.*

Proof:

This follows immediately from the 5-Lemma. (\rightsquigarrow Problem 3.1c) □

Remark

We have $E_A(M, N) \neq \emptyset$, because there exists the sequence $0 \rightarrow N \rightarrow N \oplus M \rightarrow M \rightarrow 0$ with the maps $N \ni n \mapsto (n, 0) \in N \oplus M$ and $N \oplus M \ni (n, m) \mapsto m \in M$.

Theorem 1.3. *There is a bijection between $E_A(M, N)$ and $\text{Ext}_A^1(M, N)$.*

Remark

Actually, both objects are isomorphic as A -modules.

Note: There is a sum of extensions definable in the following way:

1. There is a $\oplus : E_A(X, Y) \times E_A(X, Y) \rightarrow E_A(X \oplus X, Y \oplus Y)$, defined by $((0 \rightarrow Y \rightarrow Q \rightarrow X \rightarrow 0), (0 \rightarrow Y \rightarrow Q \rightarrow X \rightarrow 0)) \mapsto (0 \rightarrow Y \oplus Y \rightarrow Q \oplus Q \rightarrow X \oplus X \rightarrow 0)$
2. There is a diagonal map $Y \xrightarrow{\Delta} Y \oplus Y$ ($y \mapsto (y, y)$) and a codiagonal map $X \oplus X \xrightarrow{\nabla} X$ ($(x, x') \mapsto x + x'$). The composition $\Delta \oplus \nabla$ gives a sum at $E_A(X, Y)$ (*Bear sum* \leftarrow (Bear is a name)).

Idea of the proof

Take a sequence $0 \rightarrow R \rightarrow P \rightarrow M \rightarrow 0$, where P is projective and R is called the representation module.

We call this kind of exact sequence a *presentation of M* . They appear as the shorting of a resolution $\dots \rightarrow P_1 \xrightarrow{\varphi_1} P_0 \xrightarrow{\varphi_0} M \rightarrow 0$.

We take R as $\text{im}(\varphi_1) = \ker(\varphi_0)$.

Theorem 7 gives an exact sequence

$$0 \rightarrow \text{Hom}_A(M, N) \xrightarrow{\psi^*} \text{Hom}_A(P, N) \xrightarrow{\varphi^*} \text{Hom}_A(R, N) \rightarrow \text{Ext}_A^1(M, N) \rightarrow 0 = \text{Ext}_A^1(P, N), \text{ i.e.}$$

$$\text{Ext}_A^1(M, N) = \text{coker}(\varphi^*).$$

We define a map $E_A(M, N) \rightarrow \text{Ext}_A^1(M, N)$ and start with $[Q] \in E_A(M, N)$.

$$\begin{array}{ccccccccc} 0 & \longrightarrow & R & \xrightarrow{\varphi} & P & \xrightarrow{\psi} & M & \longrightarrow & 0 \\ & & \downarrow g & & \downarrow f & & \parallel & & \\ 0 & \longrightarrow & N & \xrightarrow{j} & Q & \xrightarrow{\chi} & M & \longrightarrow & 0 \end{array}$$

Since P is projective there exists $f : P \rightarrow Q$.

Since the sequences are exact, we have $f(\varphi(R)) \subseteq N$.

Let's start with $\varphi(r) \in P \Rightarrow \psi(\varphi(r)) = 0 \Rightarrow \chi(f(\varphi(r))) = 0 \Rightarrow f(\varphi(r)) \in \text{im}(j)$ (we have $\chi \circ f = \psi$).

The restriction of f to $\text{im}(\varphi) \cong R$ gives a map $g : R \rightarrow N$, i.e. $g \in \text{Hom}_A(R, N)$.

We define $F : E_A(M, N) \rightarrow \text{Ext}_A^1(M, N)$ by $[Q] \mapsto q + \text{im}(\varphi^*) \in \text{coker}(\varphi^*)$.

Note: We have to prove that F is

1. Well-defined,
2. bijective and
3. an isomorphism of modules.

About 3: One has to show that either the Baer sum is mapped to the sum in Ext^1 or just define $+$ at $\text{Ext}_A(M, N)$ induced by F .

About 2: refer to literature, relatively easy.

About 1: One has to show that F is independent of the presentation.

Example

$$\text{Ext}_2^1(\mathbb{Z}/2\mathbb{Z}, \mathbb{Z}) \cong \mathbb{Z}/2\mathbb{Z} \ni 0 \longleftrightarrow 0 \rightarrow \mathbb{Z} \rightarrow \mathbb{Z} \oplus \mathbb{Z}/2\mathbb{Z} \rightarrow \mathbb{Z}/2\mathbb{Z} \rightarrow 0 \text{ with } z \mapsto (z, 0) \text{ and } (z, \bar{z}) \mapsto \bar{z}$$

$$1 \longleftrightarrow 0 \rightarrow \mathbb{Z} \xrightarrow{2} \mathbb{Z} \rightarrow \mathbb{Z}/2\mathbb{Z} \rightarrow 0$$

Definition (homotopy)

Let \mathbf{C}, \mathbf{D} be complexes, $\mathbf{f}, \mathbf{g} : \mathbf{C} \rightarrow \mathbf{D}$ homomorphisms

$$\begin{array}{ccccccc} \dots & \longrightarrow & C_j & \xrightarrow{\delta_j} & C_{j-1} & \longrightarrow & \dots \\ & & \swarrow H_j & & \swarrow H_{j-1} & & \\ & & \downarrow f, g & & \downarrow f, g & & \\ D_{j+1} & \xrightarrow{\delta'_{j+1}} & D_j & \xrightarrow{\delta'_j} & D_{j-1} & \longrightarrow & \dots \end{array}$$

A map $\mathbf{H} : \mathbf{C} \rightarrow \mathbf{D}[1]$ (\leftarrow index shift for complexes) is called a *homotopy* of f and g if there holds $f - g = \delta'_{j+1} \circ \mathbf{H}_j + \mathbf{H}_{j-1} \circ \delta_j$.

Especially, you define homotopy-equivalent complexes and prove that homotopy-equivalent complexes have the same homology.

Apply this to resolution: Two resolutions are homotopy equivalent (\Rightarrow derived functors are well-defined).

1.5 Tensor Product

Again, let A be a commutative ring with 1.

Theorem 1.4. (Definition of the tensor product (1)) Let M, N be A -modules. There exists an A -module T and an A -bilinear map $g : M \times N \rightarrow T$ such that:

$\forall A$ -modules $S : \forall f : M \times N \rightarrow S$ bilinear: $\exists! f' : T \rightarrow S$ (A -module homomorphism): $f = f' \circ g$.

$$(*) \quad \begin{array}{ccc} M \times N & & \\ \downarrow g & \searrow f & \\ T & \xrightarrow{\exists! f'} & S \end{array}$$

Warning: g, f are bilinear and f' is a homomorphism.

This T is uniquely determined up to isomorphisms of A -modules. We denote it by $M \otimes_A N$ and call it tensor produkt.

Proof:

1. Uniqueness:

Assume, we have (T, g) and (T', g') with this property (*).

Apply this property for (T, g) with $(S, f) = (T', g')$.

$\exists! h : T \rightarrow T', g' = h \circ g$

Vice versa, we obtain a map $h' : T' \rightarrow T$ such that $g = h' \circ g'$. (Apply (*) for (T', g') and $(S, f) = (T, g)$.) The uniqueness gives us $h' \circ h = \text{id}_T$ and $h \circ h' = \text{id}_{T'}$.

$\Rightarrow h$ is an isomorphism of T and T' .

2. Existence:

Define F as the free A -module freely generated by the elements of $M \times N$:

$$F = \bigoplus_{(m,n) \in M \times N} A = \{ \sum_{\nu} a_{\nu} (x_{\nu}, y_{\nu}) \mid a_{\nu} \in A, x_{\nu} \in M, y_{\nu} \in N \}$$

Define the submodule $F_0 \subseteq F$ as the module generated by terms of type

$$\begin{aligned} &(x + x', y) - (x, y) - (x', y) \\ &(x, y + y') - (x, y) - (x, y') \\ &(ax, y) - a \cdot (x, y) \\ &(x, ay) - a \cdot (x, y) \end{aligned} \quad a \in A, x, x' \in M, y, y' \in N$$

Define $T = F/F_0$ and denote the class $(x, y) + F_0$ as $x \otimes y$.

Obviously, T is generated by $\{x \otimes y \mid x \in M, y \in N\}$, but no longer freely generated. In general T is much larger than $M \times N$.

By the definition of F_0 , the map $g : (x, y) \mapsto x \otimes y$ is bilinear, since we have

$$(x + x') \otimes y = x \otimes y + x' \otimes y, x \otimes (y + y') = x \otimes y + x \otimes y', (ax) \otimes y = a(x \otimes y) \text{ and } x \otimes (ay) = a(x \otimes y).$$

Let $f : M \times N \rightarrow S$ be a bilinear map. There is a formal extension $\bar{f} : F \rightarrow S$, where \bar{f} is a homomorphism of A -modules.

Since f is bilinear, $\ker(\bar{f}) \supseteq F_0$.

$$\Rightarrow \exists f' : T = F/F_0 \xrightarrow{f'} S$$

f' is by construction a homomorphism of A -modules.

$f' \circ g = f$ holds because it coincides for elements of $M \times N$ by definition. It is unique because the extension to F is unique.

Hence, f' is unique. □

Remark

- (a) Don't use this construction for practical purposes. Use the (*)-property instead.
- (b) We may think of $M \otimes_A N$ as the *space of all A -bilinear maps from $M \times N \rightarrow A$* .

Example

1. $\mathbb{Z}/2\mathbb{Z} \otimes_{\mathbb{Z}} \mathbb{Z}/3\mathbb{Z} = 0$
2. Let V, W be finite-dimensional K -vector spaces.

There is a natural isomorphism $V \otimes_A W \cong \text{Hom}_K(\text{Hom}_K(V, K), W)$.

Check that

$$\begin{array}{ccc}
 V \times W & \ni & (v, w) \\
 \downarrow & & \downarrow \\
 \text{Hom}_K(\text{Hom}_K(V, K), W) & \ni & \text{Hom}_K(V, K) \ni \varphi \mapsto \varphi(v) = w
 \end{array}$$

has the property (*).

We have $\dim(V \otimes_K W) = \dim V \cdot \dim W$.

Theorem 1.5. (Definition of the tensor product (2)) *Let M_1, \dots, M_r be A -modules. There exists an A -module T and an A -multilinear map $g : M_1 \times \dots \times M_r \rightarrow T$ such that for all multilinear $f : M_1 \times \dots \times M_r \rightarrow S$ holds: $\exists! f' : T \rightarrow S : f = f' \circ g$. Again, (T, g) is uniquely determined up to isomorphism. We denote it by $M_1 \otimes_A M_2 \otimes_A \dots \otimes_A M_r$. Definiton: Analogous.*

Proposition 1.8. (3)

1. $M \otimes_A N \cong N \otimes_A M$
2. $(M \otimes_A N) \otimes_A P \cong M \otimes_A (N \otimes_A P) \cong M \otimes_A N \otimes_A P$
3. $A \otimes_A M \cong M$

Proof:

Check that the universal properties are fulfilled. □

Warning

Many people like physicists and differential geometers use elements of tensor products coefficient-wise: $\sum g_{k,l}^{i,j} \in \underbrace{V_1^* \otimes V_2^*}_{\text{dual vectorspaces}} \otimes \underbrace{V_3 \otimes V_4}_{\text{vectorspaces}}$.

Functoriality

Let $f : M \rightarrow M', g : N \rightarrow N'$ be homomorphisms of A -modules. Then, $h : M \times N \rightarrow M' \otimes_A N'$ (defined by $(x, y) \mapsto f(x) \otimes g(y)$) is bilinear.

$\Rightarrow \exists! f \otimes g : M \otimes_A N \rightarrow M' \otimes_A N'$ (homomorphism of A -modules), i.e. $(M \otimes_A -)$ and $(- \otimes_A N)$ are covariant functors from A -modules to A -modules.

Restriction/Extension of scalars:

Let $f : A \rightarrow B$ be a homomorphism of rings and N be a B -module. By $a \cdot x = f(a) \cdot x$ we can regard N also as an A -module (restriction of scalars).

If N is a freely generated B -module and B is a freely generated A -module, then N is also a freely generated A -module. We can invert this by using the tensor product.

If M is an A -module, the module $M_B = B \otimes_A M$ is a B -module (*extension of scalars*).

If M is a freely generated A -module, then M_B is a freely generated B -module. (Note that in general for freely generated M and N , $M \otimes_A N$ is also freely generated.)

Lemma 1.16. (4) *Let M, N, P be A -modules.*

Then, $\text{Hom}_A(M \otimes_A N, P) \cong \text{Hom}_A(M, \text{Hom}_A(N, P))$.

Proof:

Let be $f' \in \text{Hom}_A(M \otimes_A N, P)$. This induces an A -bilinear map.

$$f : M \times N \rightarrow P$$

This gives $\varphi : M \rightarrow \text{Hom}_A(N, P)$, defined by $x \mapsto f(x, -)$.

\Rightarrow We have a homomorphism $F : \text{Hom}_A(M \otimes_A N, P) \rightarrow \text{Hom}_A(M, \text{Hom}_A(N, P))$ with $f' \mapsto \varphi$.

Let $\varphi \in \text{Hom}_A(M, \text{Hom}_A(N, P))$.

By sending $(x, y) \mapsto \varphi(x)(y)$ we obtain a bilinear map $f : M \times N \rightarrow P$.

By the universal property we get $\exists! f' : M \otimes_A N \rightarrow P : f'(x \otimes y) = f(x, y)$.

$\varphi \mapsto f'$ is just the inverse map of F . □

Lemma 1.17. (5) *Let N be an A -module and $\mathcal{E} : M' \xrightarrow{\varphi} M \rightarrow M'' \rightarrow 0$ an exact sequence.*

Then $\mathcal{E} \otimes_A N : M' \otimes_A N \rightarrow M \otimes_A N \rightarrow M'' \otimes_A N \rightarrow 0$ is exact.

Proof:

\mathcal{E} is exact $\Rightarrow \text{Hom}_A(\mathcal{E}, \text{Hom}_A(N, P))$ is exact. Use lemma 4 to obtain the exactness of the isomorphic sequence $\forall P : \text{Hom}_A(\mathcal{E} \times N, P)$.

$\Rightarrow \mathcal{E} \otimes_A N$ is exact. □

Remark

Again, we can try to complete the exact sequence on the left hand side:

$$? \rightarrow M' \otimes_A N \rightarrow M \otimes_A N \rightarrow M'' \otimes_A N \rightarrow$$

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Remark

We have an interpretation of $\text{Ext}_A^1(M, N)$ as the isomorphism classes of extensions Q of the sequence $0 \rightarrow M \rightarrow Q \rightarrow N \rightarrow 0$.

Remark (duality of projective and injective modules)

Remember: P is projective, iff for every surjective A -module homomorphism $\psi : M \rightarrow M'$ and A -module homomorphism $\pi'' : P \rightarrow M''$, there exists an A module homomorphism $\pi : P \rightarrow M$ such that $\psi \circ \pi = \pi''$:

$$\begin{array}{ccc} P & & \\ \vdots & \searrow \pi'' & \\ \downarrow \pi & & \\ M & \xrightarrow{\psi} & M'' \longrightarrow 0 \end{array}$$

A module is *injective*, iff for every injective A -module homomorphism $\varphi : M' \rightarrow M$ and every A -module homomorphism $\pi' : M \rightarrow I$, there exists an A module homomorphism $\pi : M \rightarrow I$ such that $\pi' = \pi \circ \varphi$:

$$\begin{array}{ccc}
 0 & \longrightarrow & M' & \xrightarrow{\varphi} & M \\
 & & \downarrow \pi' & \swarrow \pi & \\
 & & I & &
 \end{array}$$

Now, we can define *injective resolutions* $\mathbf{I} : 0 \rightarrow I_0 \rightarrow I_1 \rightarrow I_2 \rightarrow \dots$ of M by the following properties:

1. I_n are injective for all $n \in \mathbb{N}$.
2. $\forall n \in \mathbb{N}_{>0} : H^n(\mathbf{I}) = 0$
3. $H^0(\mathbf{I}) \cong M$

We can apply a covariant functor to \mathbf{I} to get a right derived functor or we can apply a contravariant functor, to get a left derived functor.

1.6 The functor Tor

We continue to fix A as a commutative ring with 1. Furthermore, fix $N \in \text{Ob}(\mathfrak{M}_A)$ and consider the covariant, right-exact functor $-\otimes_A N : \mathfrak{M}_A \rightarrow \mathfrak{M}_A$.

We want to apply the concept of left-derived functors to $-\otimes_A N$: We take $M \in \text{Ob}(\mathfrak{M}_A)$, choose a projective resolution of M , i.e. $\mathbf{P} : \dots \rightarrow P_n \rightarrow P_{n-1} \rightarrow \dots \rightarrow P_1 \rightarrow P_0 \rightarrow 0$, apply $-\otimes_A N$ to \mathbf{P} and get the chain complex $\mathbf{P} \otimes_A N : \dots \rightarrow P_n \otimes_A N \rightarrow P_{n-1} \otimes_A N \rightarrow \dots \rightarrow P_1 \otimes_A N \rightarrow P_0 \otimes_A N \rightarrow 0$. Now, we take homology of $\mathbf{P} \otimes_A N$ and get the left-derived functor of $-\otimes_A N$.

Definition (Tor)

$\text{Tor}_n(M, N) = L_n(-\otimes_A N)(M) = H_n(P \otimes_A N)$ is called the n -th *Tor-module* of M and N . One can show, that this is independent of \mathbf{P} .

Remark

1. P projective $\Rightarrow \forall n \in \mathbb{N}_{>0} \text{Tor}_n^A(P, N) = 0$.
2. $\text{Tor}_0^A(M, N) = M \otimes_A N$
3. Let $0 \rightarrow M' \rightarrow M \rightarrow M'' \rightarrow 0$ be a short exact sequence of A -modules. Then, we get the long exact sequence

$$\begin{array}{ccccccc}
 \dots & \longrightarrow & \text{Tor}_1^A(M', N) & \longrightarrow & \text{Tor}_1^A(M, N) & \longrightarrow & \text{Tor}_1^A(M'', N) \\
 & & & & & \swarrow & \\
 & & M' \otimes_A N & \longrightarrow & M \otimes_A N & \longrightarrow & M'' \otimes_A N \longrightarrow 0
 \end{array}$$

in the diagram

$$\begin{array}{ccccc}
& 0 & & 0 & & 0 \\
& \uparrow & & \uparrow & & \uparrow \\
P'_0 \otimes_A N & \longrightarrow & P_0 \otimes_A N & \longrightarrow & P''_0 \otimes_A N \\
& \uparrow & & \uparrow & & \uparrow \\
P'_1 \otimes_A N & \longrightarrow & P_1 \otimes_A N & \longrightarrow & P''_1 \otimes_A N \\
& \uparrow & & \uparrow & & \uparrow \\
P'_2 \otimes_A N & \longrightarrow & P_2 \otimes_A N & \longrightarrow & P''_2 \otimes_A N \\
& \uparrow & & \uparrow & & \uparrow \\
& \vdots & & \vdots & & \vdots
\end{array}$$

$$\mathbf{P}' \otimes_A N \longrightarrow \mathbf{P} \otimes_A N \longrightarrow \mathbf{P}'' \otimes_A N$$

4. Hint of how to (possibly) compute $\text{Tor}_1^A(M, N)$:

Given $M \in \text{Ob}(\mathfrak{M}_A)$, choose a projective presentation $0 \rightarrow \ker \psi = R \xrightarrow{\varphi} P \xrightarrow{\psi} M \rightarrow 0$.

Apply $\text{Tor}_1^A(-, N)$ and get $R \otimes_A N \xrightarrow{\varphi_*} P \otimes_A N \xrightarrow{\psi_*} M \otimes_A N \rightarrow 0$ where $\varphi_* = \varphi \otimes_A \text{id}_N$ and $\psi_* = \psi \otimes_A \text{id}_N$.

From this we get the sequence

$\text{Tor}_1^A(R, N) \rightarrow \text{Tor}_1^A(P, N) \rightarrow \text{Tor}_1^A(M, N) \rightarrow R \otimes_A N \xrightarrow{\varphi_*} P \otimes_A N \xrightarrow{\psi_*} M \otimes_A N \rightarrow 0$ with $\text{Tor}_1^A(P, N) = 0$ (by remark 1).

We finally get the exact sequence $0 \rightarrow \text{Tor}_1^A(M, N) \rightarrow R \otimes_A N \xrightarrow{\varphi_*} P \otimes_A N \xrightarrow{\psi_*} M \otimes_A N \rightarrow 0$.
 $\Rightarrow \text{Tor}_1^A(M, N) = \ker(\varphi_*)$

1.7 Tensor algebra

Fix a commutative ring A with 1.

Definition (algebra)

Let B be a, not necessarily commutative, ring and $f : A \rightarrow B$ a ring homomorphism.

By setting $a \cdot b = f(a) \cdot b$ ($a \in A, b \in B$), B can be viewed as an A -module.

Hence, B has two structures:

1. B has a multiplicative structure (from the ring structure)
2. B has an A -module structure (from $f : A \rightarrow B$)

In this situation B is called an A -algebra.

Example

1. $A = \mathbb{R}, B = \mathbb{H}$ (Hamiltonians)

$\mathbb{H} = \mathbb{R} + i\mathbb{R} + j\mathbb{R} + k\mathbb{R}$ with $\{1, i, j, k\}$ linear independent.

We have a homomorphism $f : \mathbb{R} \hookrightarrow \mathbb{R} + i \cdot 0 + j \cdot 0 + k \cdot 0$.

Further: $i^2 = j^2 = k^2 = -1, k = ij = -ji, i = jk = -kj, j = ki = -ik$

$\Rightarrow (a+bi+cj+dk) \cdot (a'+b'i+c'j+d'k) = aa' - bb' - cc' - dd' + (a'b+ab'+cd'-dc')i + (\dots)j + (\dots)k$

2. Let be $A = K$ a field and $B = K[X_1, \dots, X_n]$ the polynomials in n variables.

$\Rightarrow K[X_1, \dots, X_n]$ is a K -algebra.

$f : K \rightarrow K[X_1, \dots, X_n]$ is defined by $\alpha \mapsto \alpha = \text{constant polynomial}$.

$$\sum_{i_1, \dots, i_n \in \mathbb{N}} \alpha_{i_1, \dots, i_n} X_1^{i_1} \cdots X_n^{i_n} = p(X_1, \dots, X_n) \in K[X_1, \dots, X_n]$$

Definition (finitely generated)

An A -algebra is *finitely generated* if there exist $b_1, \dots, b_n \in B$ such that every element $b \in B$ can be expressed as a (finite) sum of products of b_1, \dots, b_n , i.e. in the commutative case we get $b = \sum_{i_1, \dots, i_n \in \mathbb{N}} \alpha_{i_1, \dots, i_n} b_1^{i_1} \cdots b_n^{i_n}$ with $\alpha_{i_1, \dots, i_n} \in A$ and non-zero only for finitely many tuples (i_1, \dots, i_n) .

Example

$k[X_1, \dots, X_n]$ is finitely generated (as a K -algebra), namely by X_1, \dots, X_n .

Remark

Let B be a finitely generated, commutative A -algebra.

Get a surjective ring homomorphism by mapping

$$K[X_1, \dots, X_n] \rightarrow B = \left\{ \sum_{i_1, \dots, i_n \in \mathbb{N}} \alpha_{i_1, \dots, i_n} b_1^{i_1} \cdots b_n^{i_n} \right\} \text{ with } X_j \mapsto b_j.$$

Conclusion: B is the quotient ring of $K[X_1, \dots, X_n]$ by some ideal \mathfrak{a} : $B = K[X_1, \dots, X_n]/\mathfrak{a}$

Observation

Let B, C be A -algebras (in particular B, C are A -modules). Consider the tensor product $B \otimes_A C$. This is an A -module. We have to carry over the multiplicative structures of B, C to the tensor product, via the generators of $B \otimes_A C$:

$$(b_a \otimes c_1) \cdot (b_2 \otimes c_2) = (b_1 \cdot b_2) \otimes (c_1 \cdot c_2)$$

This has to be linearly extended.

$$\text{Now, we get } \left(\sum_i \alpha_i b_i \otimes c_i \right) \cdot \left(\sum_j \alpha_j b'_j \otimes c'_j \right) = \left(\sum_{i,j} \alpha_i \alpha_j (b_i \otimes c_i)(b'_j \otimes c'_j) \right).$$

Tensor algebra

Let now M be an A -module.

$$\text{We define } T^r(M) = \begin{cases} A & r = 0 \\ M^{\otimes r} & r > 0 \end{cases} \text{ where } M^{\otimes r} \text{ denotes the tensor product } \underbrace{M \otimes \cdots \otimes M}_{r \text{ times}}.$$

$$\text{We set } T(M) = \bigcup_{r=0}^{\infty} T^r(M).$$

$T(M)$ is an A -module. We want to make $T(M)$ an A -algebra:

One has to define, how tensors can be multiplied.

We define $(x_1 \otimes \dots \otimes x_r) \cdot (y_1 \otimes \dots \otimes y_s) = (x_1 \otimes \dots \otimes x_r \otimes y_1 \otimes \dots \otimes y_s)$ and extend this definition linearly. In this way $T(M)$ receives a multiplicative structure (which is associative and distributive). All in all, $T(M)$ becomes an A -algebra. (We use the map $A \rightarrow T(M)$, which is defined by $\alpha \mapsto (\alpha, 0, \dots, 0)$.)

Note: The product needs not to be commutative!

Definition (Tensor algebra)

$T(M)$ is called the *tensor algebra* of M .

Remark

$T(M)$ (as an A -algebra) is generated by the elements of $M = T^1(M)$.

Example (exterior algebra, alternating algebra, Graßmann algebra (1))

In the r -fold tensor product $T^r(M) = M^{\otimes r}$ consider the submodule $T_a^r(M)$, generated by the tensors of the form $x_1 \otimes \dots \otimes x_r$ with $x_i = x_j$ for some $i, j, i \neq j, i, j = 1, \dots, r$. Define the quotient module $\bigwedge^r(M) = T^r(M)/T_a^r(M)$.

We call $\bigwedge^r(M)$ the r -fold exterior (or alternating) product of M .

Denote the image of $x_1 \otimes \dots \otimes x_r \in T^r(M)$ in $\bigwedge^r(M)$ by $x_1 \wedge \dots \wedge x_r$.

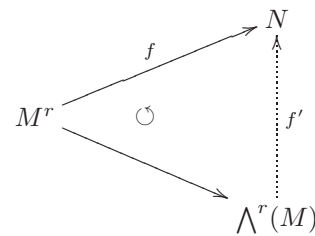
Note: Let $x, y \in M$, then $x \wedge y = -y \wedge x$ in $\bigwedge^2(M)$.

$$\begin{aligned} 0 &= (x + y) \wedge (x + y) = x \wedge x + x \wedge y + y \wedge x + y \wedge y \\ &\quad \uparrow \\ &= (x + y) \otimes (x + y) = 0 + x \otimes y + y \otimes x + 0 \\ \Rightarrow x \wedge y &= -y \wedge x \end{aligned}$$

Remark

$\bigwedge^r(M)$ has the following universal mapping property:

Given $f : M^r \rightarrow N$ an A -multilinear and alternating map, then there exists a unique A -module homomorphism $f' : \bigwedge^r(M) \rightarrow N$ such that the following diagram commutes:



Example (exterior algebra, alternating algebra, Graßmann algebra (2))

Let be $A = K$ a field, $M = V$ a K -vector space of dimension $\dim_K V = n$ and $\{b_1, \dots, b_n\}$ a K -basis.

$$\Rightarrow \dim_K \bigwedge^r(V) = \begin{cases} 0 & r > n \\ \binom{n}{r} & 0 \leq r \leq n \end{cases}$$

In the case of $r = n$ we have $\dim_K \bigwedge^n(V) = 1$, i.e. $b_1 \wedge \dots \wedge b_n$ is a basis for $\bigwedge^n(V)$.

Let $x_1, \dots, x_n \in V$ be n vectors:

$$x_j = \sum_{k=1}^n \alpha_{k,j} b_k \text{ (expand).}$$

$$x_1 \wedge \dots \wedge x_n = b_1 \wedge \dots \wedge b_n = \det(\alpha_{k,j}) \cdot b_1 \wedge \dots \wedge b_n$$

$$\text{Finally, consider } T_a(M) = \bigoplus_{r=0}^{\infty} T_a^r(M) \subseteq T(M) = \bigoplus_{r=0}^{\infty} T^r(M)$$

Check: $T_a(M)$ is an ideal in $T(M)$.

Consider the quotient ring/algebra:

$$\bigwedge(M) = T(M)/T_a(M) = \bigoplus_{r=0}^{\infty} \bigwedge^r(M)$$

$\bigwedge(M)$ is an A -algebra.

It is called the exterior (or alternating, or Graßmann) algebra of M .

Example (Symmetric algebra (1))

In the r -fold tensor product $T^r(M)$, consider the submodule $T_s^r(M)$ generated by elements of the form $x_1 \otimes \dots \otimes x_r - x_{\pi(1)} \otimes \dots \otimes x_{\pi(r)}$, where $\pi \in S_n$ (the symmetric group of n letters).

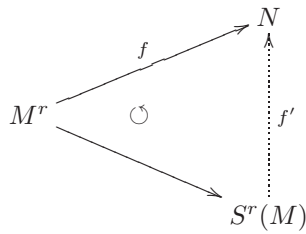
Put $S^r(M) = T^r(M)/T_s^r(M)$ and call it the r -fold symmetric product of M .

Define the image of $x_1 \otimes \dots \otimes x_r \in T^r(M)$ by $x_1 \cdot \dots \cdot x_r \in S^r(M)$. Note, that the product does not depend on the order.

Remark (Symmetric algebra)

$S^r(M)$ has the following universal mapping property:

Given $f : M^r \rightarrow N$, an A -multilinear and symmetric ($\forall \pi \in S_r : f(x_1, \dots, x_r) = f(x_{\pi(1)}, \dots, x_{\pi(r)})$) map, then there exists a unique A -module homomorphism $f' : S^r(M) \rightarrow N$ such that the following diagram commutes:



Example (Symmetric algebra (2))

Finally, consider

$$T_s(M) = \bigoplus_{r=0}^{\infty} T_s^r(M) \subseteq T(M).$$

Check: $T_s(M) \subseteq T(M)$ is an ideal.

Consider the quotient ring (= A -algebra) $S(M) = \bigoplus_{r=0}^{\infty} T^r(M)/T_s^r(M) = \bigoplus_{r=0}^{\infty} S^r(M)$. This is the *symmetric algebra* of M .

Lecture on 2007-11-29

1.8 Localization

1.8.1 Localization of rings

Assumption: Let A be a commutative ring with 1.

Definition (multiplicative, multiplicatively closed)

A subset $S \subseteq A$ is called *multiplicative* (or *multiplicatively closed*), iff

1. $1 \in S$
2. $\forall s_1, s_2 \in S : s_1 \cdot s_2 \in S$

Note: (S, \cdot) is a monoid.

Example

$$A = \mathbb{Z}, S = \mathbb{Z} \setminus \{0\}$$

Construction (equivalence relation)

Let A be as above and $S \subseteq A$ multiplicative.

On $A \times S$, consider the relation $(a, s) \sim (b, t) \stackrel{Def.}{\iff} \exists u \in S : (a \cdot t - b \cdot s) \cdot u = 0$.

Claim: This defines an equivalence relation on $A \times S$. **Proof:**

1. Reflexive: trivial
2. Symmetry: trivial
3. Transitivity:

Assume: $(a, s) \sim (b, t)$ and $(b, t) \sim (c, u)$

We have to show $(a, s) \sim (c, u)$:

$$(a, s) \sim (b, t) \iff \exists v \in S : (a \cdot t - b \cdot s) \cdot v = 0 \Rightarrow atv - bsv = 0$$

$$(b, t) \sim (c, u) \iff \exists w \in S : (b \cdot u - c \cdot t) \cdot w = 0 \Rightarrow buw - ctw = 0$$

$$\Rightarrow (atv)uw - (bsv)uw = 0 \text{ and } (buw)sv - (ctw)sv = 0$$

$$\Rightarrow (au)(tvw) - (cs)(tvw) = 0 \Rightarrow (au - cs)(tvw) = 0$$

Since $t \cdot v \cdot w \in S$, we have $(a, s) \sim (c, u)$. □

Definition

Set $S^{-1}A = (A \times S) / \sim$, i.e. $S^{-1}A$ is the set of equivalence classes of the relation \sim on $A \times S$, i.e. $S^{-1}A = \{[a, s] | (a, s) \in A \times S\}$ and $[a, s] = \{(b, t) \in A \times S | (b, t) \sim (a, s)\}$. $S^{-1}A$ is called the *localization* of A in S . A more standard notation for $[a, s]$ is $[a, s] = \frac{a}{s} = a/s$.

Lemma 1.18. (1) Let $\frac{a}{s}, \frac{b}{t} \in S^{-1}A$ and put $\frac{a}{s} + \frac{b}{t} = \frac{a \cdot t + b \cdot s}{s \cdot t} \in S^{-1}A$ and $\frac{a}{s} \cdot \frac{b}{t} = \frac{a \cdot b}{s \cdot t} \in S^{-1}A$. These two operations are well-defined and independent on the choice of representatives. With this addition and multiplication $S^{-1}A$ becomes a commutative ring with $\frac{1}{1}$.

Proof:

Exercises. □

Example

1. $A = \mathbb{Z}, S = \mathbb{Z} \setminus \{0\} \Rightarrow S^{-1}A = \mathbb{Q}$
2. $A = \text{integral domain}, S = A \setminus \{0\} \Rightarrow S^{-1}A = \text{Quot}(A)$

Note: In $S^{-1}A$ the element $\frac{a}{s}$ has a multiplicative inverse, if $a \in S$, namely $(\frac{a}{s})^{-1} = \frac{s}{a}$. (Indeed: $\frac{a}{s} \cdot \frac{s}{a} = \frac{as}{as} = \frac{1}{1}$)

Remark

Let $A, S^{-1}A$ be as above and define $f : A \rightarrow S^{-1}A$ by $a \mapsto \frac{a}{1}$.

One easily checks, that f is a ring homomorphism.

Question: Is f injective?

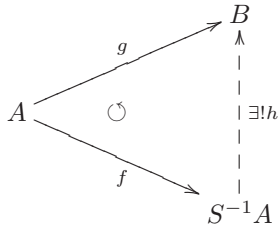
Assume: $f(a) = \frac{a}{1} = \frac{0}{1}$

$\Rightarrow [a, 1] = [0, 1] \Rightarrow (a, 1) \sim (0, 1) \Rightarrow \exists s \in S : (a \cdot 1 - 0 \cdot 1) \cdot s = 0 \Rightarrow \exists s \in S : s \cdot a = 0$

So, in general, injectivity of f fails (if there are zero divisors).

Proposition 1.9. Let $g : A \rightarrow B$ be a ring homomorphism satisfying $g(S) \subseteq B^\times$, with $S \subseteq A$ multiplicative subset.

Then, there exists a unique ring homomorphism $h : S^{-1}A \rightarrow B$ such that $g = h \circ f$, i.e.



Proof:

1. Uniqueness (give a formula for h)

Assume, h exists: $h : S^{-1}A \rightarrow B$ and $g = h \circ f$.

$$a \in A \Rightarrow h\left(\frac{a}{1}\right) = h(f(a)) = g(a).$$

$$s \in S \Rightarrow h\left(\frac{1}{s}\right) = h\left(\left(\frac{s}{1}\right)^{-1}\right) = h\left(\frac{s}{1}\right)^{-1} = g(s)^{-1}$$

$$\text{Now, we get the formula } h\left(\frac{a}{s}\right) = h\left(\frac{a}{1} \cdot \frac{1}{s}\right) = h\left(\frac{a}{1}\right) \cdot h\left(\frac{1}{s}\right) = g(a) \cdot g(s)^{-1}.$$

2. Existence

By above analysis, set $h\left(\frac{a}{s}\right) = g(a) \cdot g(s)^{-1}$. It is immediate to show that h is a ring homomorphism (since g is one) and that $g = h \circ f$.

What is not clear, is the well-definedness of h !

3. Well-definedness of h

Let $(a, s), (a', s')$ be representatives of $\frac{a}{s}$.

Show: $g(a) \cdot g(s)^{-1} = g(a') \cdot g(s')^{-1}$:

$$(a, s) \sim (a', s') \Leftrightarrow \exists t \in S : (a \cdot s' - a' \cdot s) \cdot t = 0$$

$$\Rightarrow (g(a)g(s') - g(a')g(s))g(t) = 0 \Rightarrow g(a)g(s') = g(a')g(s) \Rightarrow g(a) \cdot g(s)^{-1} = g(a') \cdot g(s')^{-1}$$

Example

1. $\mathfrak{p} \in \text{Spec}(A) \Rightarrow S = A \setminus \mathfrak{p}$ is multiplicative closed.

$$A_{\mathfrak{p}} = S^{-1}A \ni \frac{a}{s} \Leftrightarrow a \in A, s \notin \mathfrak{p}$$

$\mathfrak{m} = \{\frac{a}{s} | a \in \mathfrak{p}, s \notin \mathfrak{p}\}$ is an ideal in $A_{\mathfrak{p}}$.

Claim: $\mathfrak{m} \subset A_{\mathfrak{p}}$ is maximal:

Let $\frac{b}{t} \notin \mathfrak{m} \Rightarrow b \notin \mathfrak{p} \Rightarrow \frac{b}{t}$ has inverse in $A_{\mathfrak{p}} \Rightarrow 1 \in \mathfrak{m} + (\frac{b}{t}) \Rightarrow \mathfrak{m}$ is maximal.

In fact, we have shown, that $\mathfrak{m} \subset A_{\mathfrak{p}}$ is the only maximal ideal of $A_{\mathfrak{p}}$. Hence, $A_{\mathfrak{p}}$ is a local ring.

In the case of $A = \mathbb{Z}$ and $\mathfrak{p} = (p), p \in \mathbb{P}$, we have $A_{\mathfrak{p}} = \mathbb{Z}_{(p)} = \{\frac{a}{s} \in \mathbb{Q} | s, a \in \mathbb{Z}, p \nmid s\}$.

2. Let $f \in A$ and $S = \{f^n | n \in \mathbb{N}\}$. S is multiplicatively closed and $S^{-1}A$ is denoted by A_f .

We get the natural map $A \rightarrow A_f$ by $a \mapsto \frac{a}{1}$. Applying the contravariant functor Spec , we get $\text{Spec}(A) \xrightarrow{\varphi^*} \text{Spec}(A_f)$ with $\varphi^{-1}(\mathfrak{q}) \leftarrow \mathfrak{q}$. In fact, one has $\varphi^*(\text{Spec}(A_f)) \subseteq \text{Spec}(A)$.

1.8.2 Localization of modules

Let A be a commutative ring with 1, $S \subseteq A$ a multiplicative subset and M an A -module.

We define (on $M \times S$): $(m, s) \sim (m', s') \Leftrightarrow \exists t \in S : t \cdot (s' \cdot m - s \cdot m') = 0$.

As before check that \sim is an equivalence relation.

Definition (localization)

We define $S^{-1}M = (M \times S) / \sim$ and call $S^{-1}M$ the *localization* of M in S .

Remark

$S^{-1}M$ is an $S^{-1}A$ -module via $\frac{a}{s} \cdot \frac{m}{t} = \frac{am}{st} \in S^{-1}M$.

Again, we have to show the independence on the choice of representatives.

Lemma 1.19. (3) *Let A, S be as above, M, N A -modules and $f : M \rightarrow N$ an A -module homomorphism. Then, f induces an $S^{-1}A$ -homomorphism $S^{-1}f : S^{-1}M \rightarrow S^{-1}N$ by $\frac{m}{s} \mapsto \frac{f(m)}{s}$.*

Proof:

Just check the properties. □

Summary

S^{-1} is a covariant functor $\mathfrak{M}_A \rightarrow \mathfrak{M}_{S^{-1}A}$.

Proposition 1.10. (4) *The functor S^{-1} is exact, i.e. if $M' \xrightarrow{\varphi} M \xrightarrow{\psi} M''$ is an exact sequence of A -modules (and A -homomorphisms) then the sequence $S^{-1}M' \xrightarrow{S^{-1}\varphi} S^{-1}M \xrightarrow{S^{-1}\psi} S^{-1}M''$ of $S^{-1}A$ -modules is also exact.*

Proof:

Exercise.

Show the implication $\text{im}(\varphi) = \ker(\psi) \Rightarrow \text{im}(S^{-1}\varphi) = \ker(S^{-1}\psi)$.

This, you do by showing $\text{im}(S^{-1}\varphi) \subseteq \ker(S^{-1}\psi), \ker(S^{-1}\psi) \subseteq \text{im}(S^{-1}\varphi)$. □

Proposition 1.11. (5) The functor S^{-1} commutes with finite sums, finite intersections and quotients, i.e., let M', M'' be A -submodules of a (fixed) A -module M , then one has:

1. $S^{-1}(M' + M'') = S^{-1}M' + S^{-1}M''$
2. $S^{-1}(M' \cap M'') = S^{-1}M' \cap S^{-1}M''$
3. $S^{-1}(M/M') \cong S^{-1}M/S^{-1}M'$

Proof:

Exercise.

Remark: 1. and 2. are straight-forward.

3. follows from proposition 4, namely:

$0 \rightarrow M' \rightarrow M \rightarrow M/M' \rightarrow 0$ is exact.

Localising at S yields $0 \rightarrow S^{-1}M' \rightarrow S^{-1}M \rightarrow S^{-1}(M/M') \rightarrow 0$ is exact.

$\Rightarrow S^{-1}M/S^{-1}M' \cong S^{-1}(M/M')$ □

Proposition 1.12. (6) Let M be an A -module.

Then, we have the isomorphism $S^{-1}A \otimes_A M \cong S^{-1}M$ (as A - and $S^{-1}A$ -modules).

Proof:

We start by establishing the claimed A -module isomorphism.

Aim: Construct an A -module homomorphism $S^{-1}A \otimes_A M \rightarrow S^{-1}M$, which is surjective and injective.

Consider the map $f : S^{-1}A \times M \rightarrow S^{-1}M$, defined by $(\frac{a}{s}, m) \mapsto \frac{am}{s}$. It is immediate to show that f is bilinear. Hence, we have the diagram:

$$\begin{array}{ccc}
 & & S^{-1}M \\
 & \nearrow^{f \text{ bilinear}} & \uparrow \exists! f' \\
 S^{-1}A \times M & \circlearrowleft & \\
 & \searrow & S^{-1}A \otimes_A M
 \end{array}$$

The universal property of \otimes defines a unique A -module homomorphism $f' : S^{-1}A \otimes_A M \rightarrow S^{-1}M$. Here, f' is given by $f'(\frac{a}{s} \otimes m) = \frac{a \cdot m}{s}$ and the linear extension.

f' is surjective:

Let $\frac{m}{s} \in S^{-1}M$. Taking $\frac{1}{s} \otimes m \in S^{-1}A \otimes_A M$ you get $f'(\frac{1}{s} \otimes m) = \frac{1 \cdot m}{s} = \frac{m}{s}$.

It is a bit harder to show the injectivity of f' : Take an arbitrary element of $S^{-1}A \otimes_A M$, i.e. take a finite sum $\sum_i \frac{a_i}{s_i} \otimes m_i$ such that $f'(\sum_i \frac{a_i}{s_i} \otimes m_i) = 0$, and show $\sum_i \frac{a_i}{s_i} \otimes m_i = 0$.

Brief interlude (rewrite $\sum_i \frac{a_i}{s_i} \otimes m_i$)
 Let $s = \prod_j s_j \in S, t_i = \prod_{j \neq i} s_j \in S$.
 Compute: $\sum_i \frac{a_i}{s_i} \otimes m_i = \sum_i \frac{a_i \cdot t_i}{s} \otimes m_i = \sum_i \frac{1}{s} \otimes (a_i t_i) \cdot m_i = \frac{1}{s} \otimes \sum_i (a_i t_i) \cdot m_i$

Assume: $f'(\frac{1}{s} \otimes m) = 0$ (we show $\frac{1}{s} \otimes m = 0$)

$\Rightarrow \frac{m}{s} = f'(\frac{1}{s} \otimes m) = 0 = \frac{0}{1}$

$\Rightarrow (m, s) \sim (0, 1) \Rightarrow \exists t \in S : t \cdot m = 0$

$\Rightarrow \frac{1}{s} \otimes m = \frac{t}{s \cdot t} \otimes m = \frac{1}{s \cdot t} \otimes t \cdot m = 0$

$\Rightarrow f'$ is injective

$\Rightarrow S^{-1}A \otimes_A M \cong S^{-1}M$ □

Example

Let M be a finitely generated $A = \mathbb{Z}$ -module. Let $S = \mathbb{Z} \setminus \{0\}$.

$$\stackrel{\text{Prop. 6}}{\Rightarrow} \mathbb{Q} \otimes_{\mathbb{Z}} M = S^{-1}\mathbb{Z} \otimes_{\mathbb{Z}} M \cong S^{-1}M$$

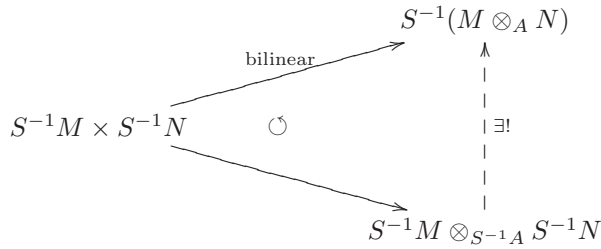
Proposition 1.13. (S^{-1} commutes with \otimes (7)) Let M, N be A -modules.

Then, there is a unique $S^{-1}A$ -module isomorphism $S^{-1}M \otimes_{S^{-1}A} S^{-1}N \cong S^{-1}(M \otimes_A N)$ induced by the map $\frac{m}{s} \otimes \frac{n}{t} \mapsto \frac{m \otimes n}{s \cdot t}$.

Proof:

Exercise.

Use the following commutative diagram:



□

Proposition 1.14. (8) For an A -module M the following three statements are equivalent:

1. $M = 0$
2. $\forall \mathfrak{p} \in \text{Spec}(A) : M_{\mathfrak{p}} = (A \setminus \mathfrak{p})^{-1}M = 0$
3. $\forall \mathfrak{m} \in \text{Max}(A) : M_{\mathfrak{m}} = (A \setminus \mathfrak{m})^{-1}M = 0$

Proof:

The implications 1. \Rightarrow 2. \Rightarrow 3. are obvious. So, we are left to show 3. \Rightarrow 1..

Assume: $\forall \mathfrak{m} \in \text{Max}(A) : M_{\mathfrak{m}} = 0$ and $M \neq 0$.

Derive a contradiction!

Since $M \neq 0$, there is $0 \neq x \in M$. Let $\mathfrak{a} = \text{Ann}(x) = \{a \in A \mid a \cdot x = 0\}$ (this is an ideal).

Note: $\mathfrak{a} \neq A$ since $1 \notin \mathfrak{a}$ and $1 \cdot x = x \neq 0$.

$\Rightarrow \exists \mathfrak{m} \in \text{Max}(A) : \mathfrak{a} \subseteq \mathfrak{m} \subset A$ ($A \setminus \mathfrak{a} \supseteq A \setminus \mathfrak{m} = S$).

Since $M_{\mathfrak{m}} = 0$, get $\frac{x}{1} = 0$ (in $M_{\mathfrak{m}}$).

$\Rightarrow \exists s \in S = A \setminus \mathfrak{m} : s \cdot x = 0$

$\Rightarrow s \in \text{Ann}(x) = \mathfrak{a}$

On the other hand: $s \in S = A \setminus \mathfrak{m} \subseteq A \setminus \mathfrak{a}$.

\Rightarrow contradiction

□

Chapter 2

Noetherian Rings

2.1 Basic properties

Let A be a commutative ring with 1.

Definition

The ring A is called *noetherian*, if every ideal $\mathfrak{a} \subseteq A$ is finitely generated.

Theorem 2.1. (1) A is noetherian, iff one of the following three properties is satisfied:

1. Every ideal $\mathfrak{a} \subseteq A$ is finitely generated.
2. Every ascending chain $\mathfrak{a}_1 \subseteq \mathfrak{a}_2 \subseteq \dots$ of ideals becomes stationary, i.e. there is an index n such that we have $\mathfrak{a}_n = \mathfrak{a}_{n+1} = \dots$
3. Every non-empty set Σ of ideals in A has a maximal element.

Proof:

We show $1. \Rightarrow 2. \Rightarrow 3. \Rightarrow 1.$

1. (1) \Rightarrow (2)

Suppose (1) and let $\mathfrak{a}_1 \subseteq \mathfrak{a}_2 \subseteq \dots$ be an ascending chain of ideals.

We define $\mathfrak{a} = \bigcup_i \mathfrak{a}_i$. Since the \mathfrak{a}_i 's come from an ascending chain, \mathfrak{a} is an ideal.

By (1): $\mathfrak{a} = (a_1, \dots, a_m)$.

$\exists n : \mathfrak{a}_n \ni a_1, \dots, a_m$

$\Rightarrow \mathfrak{a}_n \subseteq \bigcup_i \mathfrak{a}_i = \mathfrak{a} \subseteq \mathfrak{a}_n$

$\Rightarrow \mathfrak{a} = \mathfrak{a}_n = \mathfrak{a}_{n+1} = \dots$

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2. (2) \Rightarrow (3)

Let Σ be a non-empty set of ideals in A . Let $\mathfrak{a} \in \Sigma$. Either \mathfrak{a}_1 is maximal or not. If not, there is $\mathfrak{a}_2 \in \Sigma$, such that $\mathfrak{a}_2 \subset \mathfrak{a}_1$. Now, either \mathfrak{a}_2 is maximal ideal or not. Continuing this way, we either get a maximal ideal in Σ or we construct an ascending chain $\mathfrak{a}_1 \subset \mathfrak{a}_2 \subset \mathfrak{a}_3 \subset \dots$, which does not become stationary, contradicting (2).

3. (3) \Rightarrow (1):

So assume (3) and let $\mathfrak{a} \subseteq A$ be an arbitrary ideal. We want to show that \mathfrak{a} is finitely generated. Let Σ be the set of ideals generated by finitely many elements of \mathfrak{a} :

$$\Sigma = \{(a_1, \dots, a_m) \mid a_1, \dots, a_m \in \mathfrak{a}\} \supseteq \{(0)\} \neq \emptyset.$$

By (3) there exists $\mathfrak{b} \in \Sigma$, which is maximal, say $\mathfrak{b} = (a_1, \dots, a_m), a_1, \dots, a_m \in \mathfrak{a}$. By construction we have $\mathfrak{b} \subseteq \mathfrak{a}$.

We will show that $\mathfrak{b} = \mathfrak{a}$.

Assume: $\mathfrak{b} \subset \mathfrak{a}$, i.e. $\exists a \in \mathfrak{a} \setminus \mathfrak{b}$.

Now, we take (a_1, \dots, a_m, a) . We have $(a_1, \dots, a_m, a) \in \Sigma$ and $(a_1, \dots, a_m, a) \subset \mathfrak{b}$, what is a contradiction to the maximality of \mathfrak{b} .

$\Rightarrow \mathfrak{a} = \mathfrak{b}$ is finitely generated. □

Example

1. The ring $A = \mathbb{Z}$ is noetherian. (Every ideal $\mathfrak{a} \subseteq \mathbb{Z}$ is generated by the smallest positive element $0 < a \in \mathfrak{a}$. This can be proven by dividing any element $b \in \mathfrak{a}$ by a with rest: $b = qa + r$. We see that $r = 0$.)
2. The ring $A = K[X]$ (K is a field) is also noetherian. (Use the same proof as in \mathbb{Z} .)

Definition

An A -module M is *noetherian*, if every ascending chain of submodules gets stationary.

Theorem 2.2. (2) *Let M be an A -module. Then, the following three statements are equivalent:*

1. *Every submodule of M is finitely generated.*
2. *Every ascending chain of submodules becomes stationary.*
3. *Every non-empty set Σ of submodules in M has a maximal element.*

Proof:

We proof this theorem analogously to the theorem 1. We just replace A by M and the word *ideal* by *submodule*. □

Proposition 2.1. (3) *The following three statements hold:*

1. *Let $0 \rightarrow M' \rightarrow M \rightarrow M'' \rightarrow 0$ be a short exact sequence of A -modules. Then, M is noetherian if and only if M' and M'' are noetherian.*
2. *Let M_k ($k = 1, \dots, n$) be noetherian A -modules. Then, the direct sum $\bigoplus_{k=1}^n M_k$ is also noetherian.*
3. *Let A be an noetherian ring and M a finitely generated A -module. Then, M is noetherian.*

Proof:

1. Exercise.
2. Exercise.
3. Since A is noetherian, by 2. $A^n = \bigoplus_{k=1}^n A, n \in \mathbb{N}$ is a noetherian A -module.

Since M is a finitely generated A -module, we have a surjective A -module homomorphism $\varphi: A^n \rightarrow M$, defined by $\varphi(a_1, \dots, a_m) = \sum_{k=1}^n a_k m_k$.

$$\Leftrightarrow 0 \rightarrow \ker(\varphi) \rightarrow A^n \rightarrow M \rightarrow 0 \text{ is exact}$$

$\Rightarrow M$ is noetherian. □

Theorem 2.3. (Hilbert's Basis Theorem (4)) *Let A be a noetherian ring. Then, the polynomial ring $A[X]$ is also noetherian.*

Proof:

We denote ideals in A by $\mathfrak{a}, \mathfrak{b}, \dots$ and ideals in $A[X]$ by $\mathcal{A}, \mathcal{B}, \dots$.

We will show that every ascending chain $\mathcal{A}_1 \subseteq \mathcal{A}_2 \subseteq \mathcal{A}_3 \subseteq \dots$ if ideals in $A[X]$ becomes stationary. The proof is divided into three parts, the first two of which are preparatory flavour.

1. For an ideal $\mathcal{A} \subseteq A[X]$, we put for $n \in \mathbb{N}$: $\mathfrak{a}_n = \{a \in A \mid \exists f \in \mathcal{A} : f(X) = aX^n + \dots\}$. One easily checks that $\mathfrak{a}_n \subseteq A$ are ideals. Since $f \in \mathcal{A}$ implies $X \cdot f \in \mathcal{A}$, we see $\mathfrak{a}_n \subseteq \mathfrak{a}_{n+1}, n \in \mathbb{N}$.

Given $\mathcal{A} \subseteq A[X]$ an ideal, we have attached to it the ascending chain $\mathfrak{a}_0 \subseteq \mathfrak{a}_1 \subseteq \dots$ of ideals in A .

2. Let now $\mathcal{A}, \mathcal{B} \subseteq A[X]$ be two ideals with associated chains $\mathfrak{a}_0 \subseteq \mathfrak{a}_1 \subseteq \dots$ and $\mathfrak{b}_0 \subseteq \mathfrak{b}_1 \subseteq \dots$, respectively. Obviously, the inclusion $\mathcal{A} \subseteq \mathcal{B}$ implies inclusions $\mathfrak{a}_n \subseteq \mathfrak{b}_n$ for all $n \in \mathbb{N}$.

Claim: Assume $\mathcal{A} \subseteq \mathcal{B}$ and $\forall n \in \mathbb{N} : \mathfrak{a}_n = \mathfrak{b}_n$, then $\mathcal{A} = \mathcal{B}$.

Proof: Induction on the degree of the polynomials in \mathcal{B} , in order to show $\mathcal{A} \supseteq \mathcal{B}$.

Let $f \in \mathcal{B}$ be a polynomial of degree 0, i.e. a constant.

Then, $f \in \mathfrak{b}_0 = \mathfrak{a}_0 =$ constant polynomials in \mathcal{A} , hence $f \in \mathcal{A}$.

Now, assume that every polynomial of degree k ($0 \leq k < n$) in \mathcal{B} belongs to \mathcal{A} . We want to prove that this also holds for degree n .

Let be $f(X) = aX^n + b_{n-1}X^{n-1} + \dots + b_0 \in \mathcal{B}$.

We have $a \in \mathfrak{b}_n = \mathfrak{a}_n$.

$\Rightarrow \exists g \in \mathcal{A} : g(X) = aX^n + a_{n-1}X^{n-1} + \dots + a_0 \in \mathcal{A} \subseteq \mathcal{B}$

Consider $f - g \in \mathcal{B}$, with $\deg(f - g) < n$.

$\Rightarrow f - g \in \mathcal{A}$

But, by construction, $g \in \mathcal{A}$, hence $f = (f - g) + g \in \mathcal{A}$ This completes the induction.

$\Rightarrow \mathcal{B} \subseteq \mathcal{A}$

$\Rightarrow \mathcal{A} = \mathcal{B}$

3. Let now by $\mathcal{A}_0 \subseteq \mathcal{A}_1 \subseteq \dots$ be an ascending chain of ideals in $A[X]$. We want to show that it becomes stationary.

Attached to \mathcal{A}_k we have the chain $\mathfrak{a}_{k,0} \subseteq \mathfrak{a}_{k,1} \subseteq \mathfrak{a}_{k,2} \subseteq \dots$

We get the following scheme:

$$\begin{array}{c|cccc}
 \mathcal{A}_0 & \mathfrak{a}_{0,0} & \subseteq & \mathfrak{a}_{0,1} & \subseteq & \mathfrak{a}_{0,2} & \subseteq & \dots \\
 \cap & \cap & & \cap & & \cap & & \\
 \mathcal{A}_1 & \mathfrak{a}_{1,0} & \subseteq & \mathfrak{a}_{1,1} & \subseteq & \mathfrak{a}_{1,2} & \subseteq & \dots \\
 \cap & \cap & & \cap & & \cap & & \\
 \mathcal{A}_2 & \mathfrak{a}_{2,0} & \subseteq & \mathfrak{a}_{2,1} & \subseteq & \mathfrak{a}_{2,2} & \subseteq & \dots \\
 \cap & \cap & & \cap & & \cap & & \\
 \vdots & \vdots & & \vdots & & \vdots & & \ddots
 \end{array}$$

By construction, looking at the diagonal, we get the ascending chain $\mathfrak{a}_{0,0} \subseteq \mathfrak{a}_{1,1} \subseteq \mathfrak{a}_{2,2} \subseteq \dots$ which has to become stationary. Hence, there exists $m \in \mathbb{N}$ such that

$$\begin{array}{c|cccccccc}
\mathcal{A}_0 & \mathfrak{a}_{0,0} & \subseteq & \mathfrak{a}_{0,1} & \subseteq & \mathfrak{a}_{0,2} & \subseteq & \dots & \subseteq & \mathfrak{a}_{0,m} & \subseteq & \mathfrak{a}_{0,m+1} & \subseteq & \dots \\
\cap & \cap & & \cap & & \cap & & & & \cap & & \cap & & \\
\mathcal{A}_1 & \mathfrak{a}_{1,0} & \subseteq & \mathfrak{a}_{1,1} & \subseteq & \mathfrak{a}_{1,2} & \subseteq & \dots & \subseteq & \mathfrak{a}_{1,m} & \subseteq & \mathfrak{a}_{1,m+1} & \subseteq & \dots \\
\cap & \cap & & \cap & & \cap & & & & \cap & & \cap & & \\
\mathcal{A}_2 & \mathfrak{a}_{2,0} & \subseteq & \mathfrak{a}_{2,1} & \subseteq & \mathfrak{a}_{2,2} & \subseteq & \dots & \subseteq & \mathfrak{a}_{2,m} & \subseteq & \mathfrak{a}_{2,m+1} & \subseteq & \dots \\
\cap & \cap & & \cap & & \cap & & & & \cap & & \cap & & \\
\vdots & \vdots & & \vdots & & \vdots & & \ddots & & \vdots & & \vdots & & \ddots \\
\cap & \cap & & \cap & & \cap & & & & \cap & & \cap & & \\
\mathcal{A}_m & \mathfrak{a}_{m,0} & \subseteq & \mathfrak{a}_{m,1} & \subseteq & \mathfrak{a}_{m,2} & \subseteq & \dots & \subseteq & \mathfrak{a}_{m,m} & = & \mathfrak{a}_{m,m+1} & = & \dots \\
\cap & \cap & & \cap & & \cap & & & & \parallel & & \parallel & & \\
\mathcal{A}_{m+1} & \mathfrak{a}_{m+1,0} & \subseteq & \mathfrak{a}_{m+1,1} & \subseteq & \mathfrak{a}_{m+1,2} & \subseteq & \dots & \subseteq & \mathfrak{a}_{m+1,m} & = & \mathfrak{a}_{m+1,m+1} & = & \dots \\
\cap & \cap & & \cap & & \cap & & & & \parallel & & \parallel & & \\
\vdots & \vdots & & \vdots & & \vdots & & \ddots & & \vdots & & \vdots & & \ddots
\end{array}$$

Further the m columns

$$\begin{array}{c|c|c|c}
\mathfrak{a}_{0,0} & \mathfrak{a}_{0,1} & \dots & \mathfrak{a}_{0,m-1} \\
\cap & \cap & & \cap \\
\mathfrak{a}_{1,0} & \mathfrak{a}_{1,1} & \dots & \mathfrak{a}_{1,m-1} \\
\cap & \cap & & \cap \\
\mathfrak{a}_{2,0} & \mathfrak{a}_{2,1} & \dots & \mathfrak{a}_{2,m-1} \\
\cap & \cap & & \cap \\
\vdots & \vdots & & \vdots
\end{array}$$

become also stationary. There exists an index $n \in \mathbb{N}$ such that starting from the n -th row, ALL columns become stationary.

Choosing $\mathcal{B} = \mathcal{A}_n$ and $\mathcal{A} = \mathcal{A}_{n+1}$, we get $\mathcal{A}_n = \mathcal{A}_{n+1} = \mathcal{A}_{n+2} = \dots$ □

Corollary 2.1. (5) *If A is a noetherian ring, then the polynomial ring $A[X_1, \dots, X_n]$ in n variables is also noetherian.*

Proof:

Use theorem 4 inductively. □

Corollary 2.2. (6) *Let B be a finitely generated, commutative A -algebra. If A is noetherian, then also B (as a ring) is noetherian.*

Proof:

Since B is finitely generated (say by the elements b_1, \dots, b_n) as an A -algebra, there is a surjective ring homomorphism $\varphi : A[X_1, \dots, X_n] \rightarrow B$, given by $X_j \mapsto b_j$. Now, by Hilbert's Basis Theorem, $A[X_1, \dots, X_n]$ is noetherian (Corollary 5).

Hence, B - as surjective image of φ - is also noetherian. (We have to show, that every ideal $\mathfrak{a} \subseteq B$ is finitely generated. Take $\varphi^{-1}(\mathfrak{a}) \subseteq A[X_1, \dots, X_n]$, this is finitely generated, hence \mathfrak{a} itself is also finitely generated.) □

Theorem 2.4. (7) *Let $A \subseteq B \subseteq C$ be commutative rings. Furthermore assume:*

1. A is noetherian
2. C is a finitely generated A -algebra
3. C is a finitely generated B -module

Then, B is a finitely generated A -algebra.

Proof:

By 2.: Let $\{x_1, \dots, x_m\}$ be a set of generators of C as an A -algebra.

By 3.: Let $\{y_1, \dots, y_n\}$ be a set of generators of C as a B -module.

Write: $x_i = \sum_{j=1}^n b_{i,j} y_j, b_{i,j} \in B$ and $y_i y_j = \sum_{k=1}^n b_{i,j,k} y_k, b_{i,j,k} \in B$

Let B_0 be the A -algebra, generated by $b_{i,j}$ and $b_{i,j,k}$.

Note: B_0 is a finitely generated A -algebra (by construction). Since, by 1., A is noetherian, by Corollary 6, B_0 is also noetherian.

Let's recognize C as a finitely generated B_0 -module: Let $c \in C : c = \sum_{i_1, \dots, i_m \in \mathbb{N}} a_{i_1, \dots, i_m} x_1^{i_1} \cdot \dots \cdot x_m^{i_m}$. By substituting the x_i 's by sums of the y_i 's and using the above formulae, c can be expressed as a linear combination of the y_j 's with coefficients in B_0 .

Hence, y_1, \dots, y_n generate C as a B_0 -module, i.e. C is a finitely generated B_0 -module. By Proposition 3, C is a noetherian B_0 -module. Now, B viewed as a B_0 -module is a submodule of the noetherian B_0 -module C .

By definition, then B has to be a finitely generated B_0 -module, hence it is finitely generated as B_0 -algebra.

Situation: B is a finitely generated B_0 -algebra and B_0 is a finitely generated A -algebra.

By transitivity, B is a finitely generated A -algebra. □

Theorem 2.5. (8) *Let K be a field and E a finitely generated K -algebra. If, in addition, E is a field, then E is a finite algebraic extension of K .*

Proof:

(We have to show that E does not contain transcendental elements.)

By assumption, we have $E = K[x_1, \dots, x_n]$. In addition, E is supposed to be a field.

We have to options:

1. x_1, \dots, x_n are algebraic over K .
2. Not all of x_1, \dots, x_n are algebraic over K .

In the first case, we are done: $E = K[x_1, \dots, x_n] = K(x_1, \dots, x_n)$ is a finite algebraic extension of K .

In the second case, we will get a contradiction:

Assume: x_1, \dots, x_r (possibly after rearranging the elements) are algebraically independent over K , i.e. transcendental over K , i.e. we can view x_1, \dots, x_r as r (independent) variables, and E is a finite algebraic extension over $K(x_1, \dots, x_r)$.

Set $F = K(x_1, \dots, x_r)$.

$\Rightarrow E$ is a finite dimensional F -vector-space.

$\Rightarrow E$ is a finitely generated F -module.

Now, we have the situation of Theorem 7: $A = K, B = F, C = E$, E is a finitely generated K -algebra, E is a finitely generated F -module. Hence, we can conclude that F is a finitely generated K -algebra, i.e. there are elements $y_1, \dots, y_s \in F$ such that $F = K[y_1, \dots, y_s]$.

In particular, we have $K(x_1, \dots, x_r) \ni y_j = \frac{f_j}{g_j}$, where $f_j, g_j \in K[x_1, \dots, x_r], j = 1, \dots, s$.

Now, let h be an irreducible polynomial in x_1, \dots, x_r , coprime to g_1, \dots, g_s .

Since F is a field, $\frac{1}{h} \in F = K[y_1, \dots, y_s]$ after substituting y_j by $\frac{f_j}{g_j}$, get $\frac{1}{h} = \frac{\mathcal{F}(x_1, \dots, x_r)}{g_1^{\alpha_1} \cdot \dots \cdot g_s^{\alpha_s}} = \frac{\mathcal{G}(x_1, \dots, x_r)}{\mathcal{H}(x_1, \dots, x_r)}$, where $\mathcal{F}, \mathcal{G}, \mathcal{H} \in K[x_1, \dots, x_r], (\mathcal{G}, \mathcal{H}) = 1$ and \mathcal{H} is divided by irreducible polynomials dividing g_1, \dots, g_s .

$\Rightarrow \mathcal{H} = \mathcal{G} \cdot h$

$\Rightarrow h | \mathcal{H}$

This is a contradiction by the choice of h (as an irreducible polynomial not matching one of the irreducible factors of the g_j 's). □

2.2 Hilbert's Nullstellensatz

Theorem 2.6. ((1) Weak Nullstellensatz - algebraic version) *Let K be a field, A a finitely generated K -algebra and $\mathfrak{m} \in \text{Max}(A)$.*

Then, the quotient ring A/\mathfrak{m} is a finite algebraic extension of K . In particular, if K is algebraically closed, we have $A/\mathfrak{m} \cong K$.

Proof:

Let be $E = A/\mathfrak{m}$. Note that E is finitely generated K -algebra and a field. Applying Theorem 8, gives the desired result. \square

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Theorem 2.7. ((2) Weak Nullstellensatz - geometric version) *Let K be an algebraically closed field, $f_j \in K[X_1, \dots, X_n]$ ($j \in J$, $(\{f_j | j \in J\}) \neq K[X_1, \dots, X_n]$), and X the affine algebraic variety defined by $f_j, j \in J$, i.e. $X = \{x = (x_1, \dots, x_n) \in K^n | \forall j \in J : f_j(x_1, \dots, x_n) = 0\}$. Then, we have $X \neq \emptyset$.*

Proof:

Define $I(X) = \{g \in K[X_1, \dots, X_n] | g(x_1, \dots, x_n) = 0 \text{ for all } x = (x_1, \dots, x_n) \in X\}$. One easily checks that $I(X) \subseteq K[X_1, \dots, X_n]$ is an ideal.

(Note: Since $K[X_1, \dots, X_n]$ is noetherian, $I(X)$ is finitely generated!)

Moreover, we note:

1. $\forall j \in J : f_j \in I(X)$
2. $g \in I(X), x = (x_1, \dots, x_n) \in X$, then $\forall j = 1, \dots, n : (X_j - x_j) | g$
 $\Rightarrow I(X) \subseteq (X_1 - x_1, X_2 - x_2, \dots, X_n - x_n) \leftarrow \text{maximal ideal}$

Furthermore, let $R(X) = K[X_1, \dots, X_n]/I(X)$ denote the (affine) coordinate ring of X . We let $\pi : K[X_1, \dots, X_n] \rightarrow R(X)$ be the natural projection. In particular, we put $\xi_j = \pi(X_j), j = 1, \dots, n$. We have, by assumption, $I(X) \subset K[X_1, \dots, X_n]$.

$\Rightarrow R(X) \neq \{0\} \Rightarrow \text{Max}(R(X)) \neq \emptyset$

The strategy of proof now consists in establishing a bijection $\varphi : X \rightarrow \text{Max}(R(X))$. Once φ is constructed, we get $X \neq \emptyset$, since $\text{Max}(R(X)) \neq \emptyset$.

Construction of φ

Let $x = (x_1, \dots, x_n) \in X$ be a point.

We define $ev_x : R(X) \rightarrow K$ by $h(\xi_1, \dots, \xi_n) \mapsto h(x_1, \dots, x_n)$

Note, that this map is well-defined, i.e. it is independent on the choice of representatives. Further, ev_x is surjective. Therefore, we have $R(X)/\ker(ev_x) \cong K$.

$\Rightarrow \mathfrak{m}_x = \ker(ev_x) \in \text{Max}(R(X))$

Definition of φ

We define $\varphi : X \rightarrow \text{Max}(R(X))$ by $x \mapsto \mathfrak{m}_x$. **Characterization of \mathfrak{m}_x**

Note: $(\xi_j - x_j) \in \mathfrak{m}_x$ ($x = (x_1, \dots, x_n), j = 1, \dots, n$)

$\Rightarrow (\xi_1 - x_1, \dots, \xi_n - x_n) \subseteq \mathfrak{m}_x$

\Rightarrow Since the former ideal is maximal, we get $\mathfrak{m}_x = (\xi_1 - x_1, \dots, \xi_n - x_n)$.

(Note: $\pi^{-1}(\mathfrak{m}_x) = (X_1 - x_1, \dots, X_n - x_n)$)

Injectivity of φ

Let $x = (x_1, \dots, x_n) \in X$ and $x' = (x'_1, \dots, x'_n) \in X$ such that $\mathfrak{m}_x = \varphi(x) = \varphi(x') = \mathfrak{m}_{x'}$. By pull-back via π , we find by the previous characterization $(X_1 - x_1, \dots, X_n - x_n) = (X_1 - x'_1, \dots, X_n - x'_n)$.

$\Rightarrow X_j - x_j = \sum_{k=1}^n g_k(X_1, \dots, X_n) \cdot (X_k - x'_k)$ with $g_k \in K[X_1, \dots, X_n]$.

By degree reason's and the independence of X_1, \dots, X_n , we have $g_j = 1$ and $g_k = 0$ for all $k \neq j$.

$\Rightarrow X_j - x_j = X_j - x'_j \Rightarrow x'_j = x_j \Rightarrow x = x'$

Surjectivity of φ

Let be $\mathfrak{m} \in \text{Max}(R(X))$.

Claim: $\exists x = (x_1, \dots, x_n) \in X : \varphi(x) = \mathfrak{m}$

Note: $R(X)$ is a finitely generated K -algebra, with generators ξ_1, \dots, ξ_n .

$\Rightarrow R(X)/\mathfrak{m}$ is also a finitely generated K -algebra with generators $\xi_1 + \mathfrak{m}, \dots, \xi_n + \mathfrak{m}$. In addition, it is a field (since \mathfrak{m} is maximal). By theorem 1, we get $R(X)/\mathfrak{m} \cong K$ by $\xi_j + \mathfrak{m} \leftrightarrow x_j$.

Claim:

1. $x = (x_1, \dots, x_n) \in X$
2. $\varphi(x) = \ker(e v_x) = \mathfrak{m} (\Leftrightarrow \mathfrak{m}_x = \mathfrak{m})$

Ad 2) (Eventually, after identifying R/\mathfrak{m} with K), we have $\xi_j + \mathfrak{m} = x_j$, i.e. $\xi_j - x_j \in \mathfrak{m}$.

$\Rightarrow (\xi_1 - x_1, \dots, \xi_n - x_n) \in \mathfrak{m}$.

Ad 1) We have $f_j \in I(X) \subseteq (X_1 - x_1, \dots, X_n - x_n)$.

$\Rightarrow f_j(X_1, \dots, X_n) = \sum_{k=1}^n g_{k,j}(X_1, \dots, X_n)(X_k - x_k)$

$\Rightarrow \forall j \in J : f_j(x_1, \dots, x_n) = 0$

$\Rightarrow x = (x_1, \dots, x_n) \in X$ □

Remark

Let $n = |J| = 1$. Then $X = \{x_1 \in K \mid f_1(x_1) = 0\}$ where $f_1 \in K[X_1]$, non-constant. We have $X \neq \emptyset$, since K is algebraically closed.

Remark

Consider $X \subseteq K^n$, as above, with coordinate ring $R(X)$. Then, we have the bijection

$X \xrightarrow{\cong} \text{Max}(R(X)) \subseteq \text{Spec}(R(X))$ with $x(x_1, \dots, x_n) \mapsto (\xi_1 - x_1, \dots, \xi_n - x_n)$.

Using Zariski topology, X can be made into topological space. On the other hand, $\text{Spec}(R(X))$ is also a topological space with basis of open sets $D(h)$, $h \in R(X)$ ($D(h) = \text{Spec}(R(X)) \setminus V(h)$, where $V(h)$ is defined by $V(h) = \{\mathfrak{p} \in \text{Spec}(R(X)) \mid h \in \mathfrak{p}\}$).

Then, we have $V(h)|_{\text{Max}(R(X))} = \{\mathfrak{m} \in \text{Max}(R(X)) \mid h \in \mathfrak{m}\}$.

In this way, X and $\text{Max}(R(X))$ become homeomorphic topological spaces. Furthermore, X can be viewed as a topological subspace of $\text{Spec}(R(X))$.

Example

$X = K^n \Rightarrow I(X) = (0)$

$\Rightarrow R(X) = K[X_1, \dots, X_n]$

$\Rightarrow \text{Max}(R(X)) = \text{Spec}(R(X)) \setminus \{0\}$, since $R(X)$ is factorial.

$\Rightarrow \overline{K^n} \approx \text{Max}(K[X_1, \dots, X_n])$, by $(x_1, \dots, x_n) \leftrightarrow (X_1 - x_1, \dots, X_n - x_n)$

$\{(0)\} = \text{Spec}(K[X_1, \dots, X_n])$

(0) is called the generic point of $\text{Spec}(K[X_1, \dots, X_n])$.

Theorem 2.8. ((3) Strong Nullstellensatz - geometric version) Let K be an algebraically closed field, $\mathfrak{a} \subset K[X_1, \dots, X_n]$ an ideal and X the affine algebraic variety defined by \mathfrak{a} , i.e.

$$X = V(\mathfrak{a}) = \{x = (x_1, \dots, x_n) \in K^n \mid \forall f \in \mathfrak{a} : f(x_1, \dots, x_n) = 0\}$$

Furthermore, let $I(X) = \{g \in K[X_1, \dots, X_n] \mid \forall x \in X : g(x) = 0\}$.

Then, we have $I(X) = \mathfrak{r}(\mathfrak{a})$.

Proof:

We have already observed: $\mathfrak{a} \subseteq I(X)$.

$g \in \mathfrak{r}(\mathfrak{a}) \Rightarrow \exists n \in \mathbb{N} : g^n \in \mathfrak{a} \Rightarrow \forall x \in X : g^n(x_1, \dots, x_n) = 0 \Rightarrow \forall x \in X : g(x_1, \dots, x_n) = 0$

This immediately shows also $\mathfrak{r}(\mathfrak{a}) \subseteq I(X)$.

It remains to show the opposite inclusion or, equivalently, the implication $f \notin \mathfrak{r}(\mathfrak{a}) \Rightarrow f \notin I(X)$.

So let be $f \notin \mathfrak{r}(\mathfrak{a})$.

Recall: $\mathfrak{r}(\mathfrak{a}) = \bigcup_{\mathfrak{p} \in \text{Spec}(A), \mathfrak{p} \supseteq \mathfrak{a}} \mathfrak{p}$, where $A = K[X_1, \dots, X_n]$.

Since $f \notin \mathfrak{r}(\mathfrak{a})$, hence $\exists \mathfrak{p} \in \text{Spec}(A), \mathfrak{p} \subseteq \mathfrak{a}$ but $f \notin \mathfrak{p}$.

Define: $A = K[X_1, \dots, X_n]$ and $B = A/\mathfrak{p}$, with $\bar{f} = f + \mathfrak{p}$ for $f \in A$. Furthermore let be $C = B_{\bar{f}} = B[1/\bar{f}]$ (localization of B at $S = \{1, \bar{f}, \bar{f}^2, \dots\}$).

C is a finitely generated K -algebra (namely generated by $\bar{X}_1, \dots, \bar{X}_n$ and $1/\bar{f}$).

Let be $\mathfrak{m} \in \text{Max}(C)$. By Theorem 1, we have $C/\mathfrak{m} = K$.

Summarizing, we get:

$$\begin{aligned} A &\rightarrow B = A/\mathfrak{p} \rightarrow C = B_{\bar{f}} \rightarrow K = C/\mathfrak{m} \\ g &\mapsto \bar{g} = g + \mathfrak{p} \mapsto \frac{\bar{g}}{\bar{1}} \mapsto \bar{g} = \frac{\bar{g}}{\bar{1}} + \mathfrak{m} \\ x_j &\mapsto \bar{x}_j \mapsto \frac{\bar{x}_j}{\bar{1}} \mapsto \frac{\bar{x}_j}{\bar{1}} \end{aligned}$$

We still want to show $\mathfrak{r}(\mathfrak{a}) \supseteq I(X)$. This is equivalent to $f \notin \mathfrak{r}(\mathfrak{a}) = \bigcap_{\mathfrak{p} \subseteq \mathfrak{a}} \mathfrak{p} \Rightarrow f \notin I(X)$.

Put $x_j = \bar{x}_j \in K, j = 1, \dots, n$.

Claim:

1. $x = (x_1, \dots, x_n) \in X$
2. $f(x) \neq 0$
3. (1.)&(2.) $\Rightarrow f \notin I(X)$

Ad 1.) Let $h \in \mathfrak{a}$, arbitrary.

Note: $\mathfrak{a} \subseteq \mathfrak{p}$

$$\Rightarrow \bar{h}(X_1, \dots, X_n) = h(\bar{X}_1, \dots, \bar{X}_n) = 0 \text{ in } B$$

$$\Rightarrow \bar{h}(X_1, \dots, X_n) = h(\bar{\bar{X}}_1, \dots, \bar{\bar{X}}_n) = 0 \text{ in } K$$

$$\Rightarrow x = (x_1, \dots, x_n) \in X$$

Ad 2.) Since $f \notin \mathfrak{p}$ we have $\bar{f}(X_1, \dots, X_n) = f(\bar{X}_1, \dots, \bar{X}_n) \neq 0$.

This was important to localize at \bar{f} !

$$\Rightarrow \frac{\bar{f}}{\bar{1}} \in C \text{ is a unit. } \Rightarrow \frac{\bar{f}}{\bar{1}} \notin \mathfrak{m}$$

$$\Rightarrow \bar{f}(X_1, \dots, X_n) = f(\bar{\bar{X}}_1, \dots, \bar{\bar{X}}_n) \neq 0 \text{ in } K$$

$$\Rightarrow I(X) \supseteq \mathfrak{r}(\mathfrak{a})$$

$$\Rightarrow I(X) = \mathfrak{r}(\mathfrak{a})$$

□

Remark

1. We have $I(V(\mathfrak{a})) = \mathfrak{r}(\mathfrak{a})$. In particular, for a prime ideal \mathfrak{p} : $I(V(\mathfrak{p})) = \mathfrak{p}$.
2. Since $\mathfrak{a} \subset K[X_1, \dots, X_n]$, we have $I(X) = \mathfrak{r}(\mathfrak{a}) \subset K[X_1, \dots, X_n]$.
3. Note that - by Hilbert's basis theorem - \mathfrak{a} is finitely generated, so finitely many polynomials define $X = V(\mathfrak{a})$.

Chapter 3

Primary decompositions

3.1 Basics

Let A be a commutative ring with 1.

Definition (Primary ideal)

An ideal $\mathfrak{q} \subset A$ is called *primary* if $x \cdot y \in \mathfrak{q}$ implies $x \in \mathfrak{q}$ or $\exists n \in \mathbb{N} : y^n \in \mathfrak{q}$.

Example

If $\mathfrak{p} \in \text{Spec}(A)$, then \mathfrak{p} is a primary ideal.

Equivalent definition

An ideal $\mathfrak{q} \subset A$ is primary, iff every zero divisor in A/\mathfrak{q} is nilpotent:

$$\bar{x} \cdot \bar{y} = \bar{0} \Rightarrow x \cdot y \in \mathfrak{q} \Rightarrow x \in \mathfrak{q} \text{ or } y^n \in \mathfrak{q} \Rightarrow \bar{x} = 0 \text{ or } \bar{y}^n = 0$$

Example

1. The primary ideals of $A = \mathbb{Z}$ are given by (0) and (p^n) , $n \in \mathbb{N}_{>0}$, $p \in \mathbb{P}$.
2. In general, powers of prime ideals (i.e. ideals of the form \mathfrak{p}^n , $n \in \mathbb{N}_{>0}$, $\mathfrak{p} \in \text{Spec}(A)$) are *not* primary.

Lemma 3.1. (1) *Let $\mathfrak{q} \subset A$ be a primary ideal. Then, the radical $\tau(\mathfrak{q})$ is the smallest prime ideal containing \mathfrak{q} .*

Proof:

Let's first show that $\tau(\mathfrak{q})$ is a prime ideal. For this, let $x \cdot y \in \tau(\mathfrak{q})$ and show $x \in \tau(\mathfrak{q})$ or $y \in \tau(\mathfrak{q})$.

$$x \cdot y \in \tau(\mathfrak{q}) \Rightarrow \exists n \in \mathbb{N}_{>0} : x^n \cdot y^n = (x \cdot y)^n \in \mathfrak{q}$$

Since \mathfrak{q} is a primary ideal, we have $x^n \in \mathfrak{q}$ or $\exists m \in \mathbb{N}_{>0} : y^{n \cdot m} = (y^n)^m \in \mathfrak{q} \Rightarrow x \in \tau(\mathfrak{q})$ or $y \in \tau(\mathfrak{q}) \Rightarrow \tau(\mathfrak{q}) \in \text{Spec}(A)$

Let's now prove minimality:

By known results from section 1, we have $\tau(\mathfrak{q}) = \bigcap_{\mathfrak{p} \in \text{Spec}(A), \mathfrak{p} \supseteq \mathfrak{q}} \mathfrak{p}$.

We have $\tau(\mathfrak{q}) \in \text{Spec}(A)$, $\tau(\mathfrak{q}) \supseteq \mathfrak{q}$. Additionally, $\bigcap_{\mathfrak{p} \in \text{Spec}(A), \mathfrak{p} \supseteq \mathfrak{q}} \mathfrak{p}$ is the minimal ideal containing \mathfrak{q} . Taken this together, yields the minimality statement. \square

Definition (\mathfrak{p} -primary ideal)

Let $\mathfrak{q} \subset A$ be a primary ideal with $\tau(\mathfrak{q}) = \mathfrak{p} \in \text{Spec}(A)$.

Then, we call \mathfrak{q} *\mathfrak{p} -primary*.

Lemma 3.2. (2)

1. Let $\mathfrak{a} \subseteq A$ be an ideal such that the radical $\mathfrak{r}(\mathfrak{a})$ is maximal. Then \mathfrak{a} is primary.
2. In particular, the powers $\mathfrak{m}^n, n \in \mathbb{N}_{>0}$ of a maximal ideal \mathfrak{m} are primary, in fact \mathfrak{m} -primary.

Proof:

1. Let $\pi : A \rightarrow A/\mathfrak{a}$ denote the canonical ring homomorphism.

Further, let be $\mathfrak{m} = \mathfrak{r}(\mathfrak{a}) \in \text{Max}(A)$.

Recall: $\pi^{-1}(\mathfrak{n}_{A/\mathfrak{a}}) = \mathfrak{r}(\mathfrak{a}) = \mathfrak{m}$, $\overline{\mathfrak{m}} = \pi(\mathfrak{m}) = \mathfrak{n}_{A/\mathfrak{a}} = \bigcap_{\overline{\mathfrak{p}} \in \text{Spec}(A/\mathfrak{a})} \overline{\mathfrak{p}}$

Claim: $\text{Spec}(A/\mathfrak{a}) = \{\overline{\mathfrak{m}}\}$

Let be $\overline{\mathfrak{p}} \in \text{Spec}(A/\mathfrak{a})$ be any prime ideal. We want to show $\overline{\mathfrak{p}} = \overline{\mathfrak{m}}$.

$\exists \mathfrak{p} \in \text{Spec}(A) : \pi(\mathfrak{p}) = \overline{\mathfrak{p}}$ (We just take $\mathfrak{p} = \pi^{-1}(\overline{\mathfrak{p}})$.)

Since $\pi(\mathfrak{a}) = \{0\} \subseteq \overline{\mathfrak{p}}$, we get $\mathfrak{p} \supseteq \mathfrak{a}$. Taking radicals yields $\mathfrak{p} = \mathfrak{r}(\mathfrak{p}) \supseteq \mathfrak{r}(\mathfrak{a}) = \mathfrak{m}$.

By maximality of \mathfrak{m} we get $\mathfrak{p} = \mathfrak{m}$.

$\Rightarrow \overline{\mathfrak{p}} = \pi(\mathfrak{p}) = \pi(\mathfrak{m}) = \overline{\mathfrak{m}}$

Consider now an element $\overline{a} \in A/\mathfrak{a}$. Then $\overline{a} \in \overline{\mathfrak{m}}$ or $\overline{a} \notin \overline{\mathfrak{m}}$.

In the first case, we get $\overline{a} \in \mathfrak{n}_{A/\mathfrak{a}} \Rightarrow \overline{a}$ is nilpotent.

In the second case, we have $\overline{a} \in (A/\mathfrak{a})^\times$, i.e. \overline{a} is a unit.

Let now $\overline{a} \in A/\mathfrak{a}$ a zero divisor, then $\overline{a} \notin (A/\mathfrak{a})^\times$. By the previous consideration \overline{a} has to be nilpotent.

$\Rightarrow \mathfrak{a}$ is primary.

2. Let $\mathfrak{a} = \mathfrak{m}^n$, $\mathfrak{m} \in \text{Max}(A)$ and $n \in \mathbb{N}_{>0}$.

$\Rightarrow \mathfrak{r}(\mathfrak{a}) = \mathfrak{r}(\mathfrak{m}^n) = \mathfrak{m} \in \text{Max}(A)$

By the first part, \mathfrak{m}^n is primary. Since $\mathfrak{r}(\mathfrak{m}^n) = \mathfrak{m}$, it is \mathfrak{m} -primary. □

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Lemma 3.3. (3) Let $\mathfrak{q}_1, \dots, \mathfrak{q}_n$ be \mathfrak{p} -primary ideals of A .
Then the intersection $\mathfrak{q} = \bigcap_{i=1}^n \mathfrak{q}_i$ is also \mathfrak{p} -primary.

Proof:

Let $x \cdot y \in \mathfrak{q}$ and $x \notin \mathfrak{q}$. We have to show that $y^n \in \mathfrak{q}$ for some $n \in \mathbb{N}_{>0}$ (or equivalently $y \in \mathfrak{r}(\mathfrak{q})$).
By the assumption, $x \notin \mathfrak{q}$, we find an index $i \in \{1, \dots, n\}$ such that $x \notin \mathfrak{q}_i$. But, of course, $x \cdot y \in \mathfrak{q}_i$ for all $i \in \{1, \dots, n\}$.

Since \mathfrak{q}_i is primary, there is $n_i \in \mathbb{N}_{>0} : y^{n_i} \in \mathfrak{q}_i$, i.e. $y \in \mathfrak{r}(\mathfrak{q}_i) = \mathfrak{p}$.

Using the properties of the radical, we compute $\mathfrak{r}(\mathfrak{q}) = \mathfrak{r}(\bigcap_{i=1}^n \mathfrak{q}_i) = \bigcap_{i=1}^n \underbrace{\mathfrak{r}(\mathfrak{q}_i)}_{=\mathfrak{p}} = \mathfrak{p}$ (*).

All in all: $y \in \mathfrak{r}(\mathfrak{q})$, as wanted.

$\Rightarrow \mathfrak{q}$ is primary.

By the computation (*), we conclude in fact that $\mathfrak{q} = \bigcap_{i=1}^n \mathfrak{q}_i$ is \mathfrak{p} -primary. □

Lemma 3.4. (4) Let $x \in A$ and $\mathfrak{q} \subset A$ a \mathfrak{p} -primary ideal. Then, we have

1. If $x \in \mathfrak{q}$, then $(\mathfrak{q} : x) = A$.

Remember: $(\mathfrak{a} : \mathfrak{b}) = \{x \in A \mid x \cdot \mathfrak{b} \subseteq \mathfrak{a}\}$. We define $(\mathfrak{a} : y) = (\mathfrak{a} : (y))$ for the principal ideal (y) .

2. If $x \notin \mathfrak{q}$, then $(\mathfrak{q} : x)$ is \mathfrak{p} -primary.
3. If $x \notin \mathfrak{p}$, then $(\mathfrak{q} : x) = \mathfrak{q}$.

Proof:

1. Using the definition of the ideal quotient, we have

$$(\mathfrak{q} : x) = \{y \in A \mid x \cdot y \cdot A \subseteq \mathfrak{q}\} = \{y \in A \mid x \cdot y \cdot 1 \in \mathfrak{q}\} = \{y \in A \mid x \cdot y \in \mathfrak{q}\} \stackrel{x \in \mathfrak{q}}{=} A$$

2. We define $\mathfrak{r}(\mathfrak{q} : x) = \mathfrak{r}((\mathfrak{q} : x))$. Let's now compute $\mathfrak{r}(\mathfrak{q} : x)$. Starting with $y \in (\mathfrak{q} : x)$, we get $x \cdot y \in \mathfrak{q}$. By assumption $x \notin \mathfrak{q}$, hence $y \in \mathfrak{r}(\mathfrak{q}) = \mathfrak{p}$.

$$\left. \begin{array}{l} \Rightarrow (\mathfrak{q} : x) \subseteq \mathfrak{p} \\ \text{Note : } \mathfrak{q} \subseteq (\mathfrak{q} : x) \end{array} \right\} \Rightarrow \mathfrak{q} \subseteq (\mathfrak{q} : x) \subseteq \mathfrak{p}$$

Taking radicals yields $\mathfrak{p} = \mathfrak{r}(\mathfrak{q}) \subseteq \mathfrak{r}(\mathfrak{q} : x) \subseteq \mathfrak{r}(\mathfrak{p}) = \mathfrak{p}$, i.e. $\Rightarrow \mathfrak{r}(\mathfrak{q} : x) = \mathfrak{p}$.

Let now be $y \cdot z \in (\mathfrak{q} : x)$ and assume $z \notin \mathfrak{r}(\mathfrak{q} : x) = \mathfrak{p}$. We want to show $y \in (\mathfrak{q} : x)$.

We have $(x \cdot y) \cdot z = x \cdot (y \cdot z) \in \mathfrak{q}$, but $z \notin \mathfrak{p} = \mathfrak{r}(\mathfrak{q})$.

Since \mathfrak{q} is primary, $x \cdot y \in \mathfrak{q} \Rightarrow y \in (\mathfrak{q} : x)$.

$\Rightarrow (\mathfrak{q} : x)$ is primary and, using $\mathfrak{r}(\mathfrak{q} : x) = \mathfrak{p}$, in fact \mathfrak{p} -primary.

3. We have that \mathfrak{q} is \mathfrak{p} -primary and $x \notin \mathfrak{p}$. We want to show $(\mathfrak{q} : x) = \mathfrak{q}$.

We already know $\mathfrak{q} \subseteq (\mathfrak{q} : x)$, i.e. we have to show $(\mathfrak{q} : x) \subseteq \mathfrak{q}$.

Let be $y \in (\mathfrak{q} : x)$, i.e. $x \cdot y \in \mathfrak{q}$.

$$\Rightarrow y \cdot x \in \mathfrak{q}$$

Note that, by assumption, $x \notin \mathfrak{p} = \mathfrak{r}(\mathfrak{q})$, i.e. $y \in \mathfrak{q}$.

$$\Rightarrow (\mathfrak{q} : x) \subseteq \mathfrak{q}$$

$$\Rightarrow \mathfrak{q} = (\mathfrak{q} : x) \quad \square$$

3.2 Uniqueness of a primary decomposition

Definition (primary decomposition)

Let $\mathfrak{a} \subseteq A$ be an ideal. A *primary decomposition* of \mathfrak{a} is given by a *finite* intersection $\mathfrak{a} = \bigcap_{i=1}^n \mathfrak{q}_i$ of primary ideals $\mathfrak{q}_1, \dots, \mathfrak{q}_n$.

The decomposition is called *minimal* iff the following two conditions are satisfied:

1. All radicals $\mathfrak{r}(\mathfrak{q}_i), i = 1, \dots, n$ are pairwise different.
2. For $i = 1, \dots, n$ we have $\mathfrak{q}_i \not\supseteq \bigcap_{j=1, j \neq i}^n \mathfrak{q}_j$.

Remark

Note that, using Lemma 3, a given primary decomposition can be reduced to a minimal primary decomposition.

Definition

An ideal $\mathfrak{a} \subseteq A$ is called *decomposable*, iff it has a primary decomposition.

Remark

Note, in an arbitrary commutative ring A (with 1), an ideal needs not to be decomposable.

Lemma 3.5. *Let $\mathfrak{a}_1, \dots, \mathfrak{a}_n \subseteq A$ be ideals and $\mathfrak{p} \in \text{Spec}(A)$ a prime ideal satisfying $\mathfrak{p} \supseteq \bigcap_{i=1}^n \mathfrak{a}_i$. Then, there is $i \in \{1, \dots, n\}$ such that $\mathfrak{p} \subseteq \mathfrak{a}_i$.*

Moreover, if $\mathfrak{p} = \bigcap_{i=1}^n \mathfrak{a}_i$, then there is $i \in \{1, \dots, n\}$ such that $\mathfrak{p} = \mathfrak{a}_i$.

Proof:

Argue by contradiction: Assume $\mathfrak{p} \not\subseteq \mathfrak{a}_i$ for all $i = 1, \dots, n$.

$\Rightarrow \exists x_i \in \mathfrak{a}_i, x_i \notin \mathfrak{p}, i = 1, \dots, n$

$\Rightarrow \prod_{i=1}^n x_i \in \prod_{i=1}^n \mathfrak{a}_i \subseteq \bigcap_{i=1}^n \mathfrak{a}_i$, but $\prod_{i=1}^n x_i \notin \mathfrak{p}$ (since \mathfrak{p} is prime)

$\Rightarrow \mathfrak{p} \not\subseteq \bigcap_{i=1}^n \mathfrak{a}_i$ contradiction

Second part:

Assume: $\mathfrak{p} = \bigcap_{i=1}^n \mathfrak{a}_i$

1. $\mathfrak{p} \supseteq \bigcap_{i=1}^n \mathfrak{a}_i \stackrel{1.}{\Rightarrow} \exists i \in \{1, \dots, n\} : \mathfrak{p} \supseteq \mathfrak{a}_i$

2. $\mathfrak{p} \subseteq \bigcap_{i=1}^n \mathfrak{a}_i \subseteq \mathfrak{a}_i$ for all $i = 1, \dots, n$.

$\Rightarrow \exists i \in \{1, \dots, n\} : \mathfrak{p} = \mathfrak{a}_i$ □

Theorem 3.1. ((1) First uniqueness theorem) Let $\mathfrak{a} \subseteq A$ be a decomposable ideal and let $\mathfrak{a} = \bigcap_{i=1}^n \mathfrak{q}_i$ be a minimal primary decomposition. Further, let $\mathfrak{p}_i = \mathfrak{r}(\mathfrak{q}_i), i = 1, \dots, n$.

Then, the \mathfrak{p}_i 's are precisely the prime ideals in the set of ideals $\mathfrak{r}(\mathfrak{a} : x)$ with $x \in A$, i.e. we have $\{\mathfrak{r}(\mathfrak{a} : x) | x \in A\} \cap \text{Spec}(A) = \{\mathfrak{p}_1, \dots, \mathfrak{p}_n\}$. Therefore, the prime ideals $\mathfrak{p}_1, \dots, \mathfrak{p}_n$ are independent on the specific (minimal) primary decomposition of \mathfrak{a} .

Proof:

Using the properties of ideal quotients, we compute for any $x \in A$:

$(\mathfrak{a} : x) = (\bigcap_{i=1}^n \mathfrak{q}_i : x) = \bigcap_{i=1}^n (\mathfrak{q}_i : x)$

Taking radicals, we arrive at $\mathfrak{r}(\mathfrak{a} : x) = \mathfrak{r}(\bigcap_{i=1}^n (\mathfrak{q}_i : x)) = \bigcap_{i=1}^n \mathfrak{r}(\mathfrak{q}_i : x)$.

Now, recall Lemma 4:

1. $x \in \mathfrak{q}_i \Rightarrow (\mathfrak{q}_i : x) = A \Rightarrow \mathfrak{r}(\mathfrak{q}_i : x) = A$

Therefore, $x \in \mathfrak{q}_i$ does not contribute to the intersection $\bigcap_{i=1}^n \mathfrak{r}(\mathfrak{q}_i : x)$.

2. $x \notin \mathfrak{q}_i \Rightarrow \mathfrak{r}(\mathfrak{q}_i : x) = \mathfrak{p}_i$

$\Rightarrow \mathfrak{r}(\mathfrak{a} : x) = \bigcap_{j=1, x \notin \mathfrak{q}_j}^n \mathfrak{p}_j$

Now, we show $\{\mathfrak{r}(\mathfrak{a} : x) | x \in A\} \cap \text{Spec}(A) \subseteq \{\mathfrak{p}_1, \dots, \mathfrak{p}_n\}$.

We have

1. $\mathfrak{r}(\mathfrak{a} : x)$ is prime ideal.

2. $\mathfrak{r}(\mathfrak{a} : x) = \bigcap_{j: x \notin \mathfrak{q}_j} \mathfrak{p}_j$

By the previous lemma, there is $j \in \{1, \dots, n\}, x \notin \mathfrak{q}_j$ such that $\mathfrak{r}(\mathfrak{a} : x) = \mathfrak{p}_j$.

Next, we show the inclusion $\{\mathfrak{p}_1, \dots, \mathfrak{p}_n\} \subseteq \{\mathfrak{r}(\mathfrak{a} : x) | x \in A\} \cap \text{Spec}(A)$. Given \mathfrak{p}_i we construct $x_i \in A$ such that $\mathfrak{p}_i = \mathfrak{r}(\mathfrak{a} : x_i) \in \text{Spec}(A)$.

By minimality of the decomposition of \mathfrak{a} , we have $\mathfrak{q}_i \not\subseteq \bigcap_{j=1, j \neq i}^n \mathfrak{q}_j$.

$\Rightarrow \exists x_i \in \left(\bigcap_{j=1, j \neq i}^n \mathfrak{q}_j \right) \setminus \mathfrak{q}_i$

$\Rightarrow \mathfrak{r}(\mathfrak{a} : x_i) = \bigcap_{i=1}^n \mathfrak{r}(\mathfrak{q}_j : x_i) = \mathfrak{r}(\mathfrak{q}_i : x_i) \cap \bigcap_{j=1, j \neq i}^n \mathfrak{r}(\mathfrak{q}_j : x_i) \stackrel{\text{Lemma 4}}{=} \mathfrak{p}_i \cap \bigcap_{j=1, j \neq i}^n A = \mathfrak{p}_i$ □

Lecture on 2008-01-10

Remark

Let $\mathfrak{a} = \bigcap_{i=1}^n \mathfrak{q}_i$ a minimal primary decomposition with \mathfrak{q}_i being \mathfrak{p}_i -primary ($i = 1, \dots, n$). Choose $x_i \notin \mathfrak{p}_i, x_i \in \bigcap_{i=1, j \neq i}^n \mathfrak{q}_j$.

$\Rightarrow \mathfrak{r}(\mathfrak{a} : x_j) = \mathfrak{r}(\bigcap_{i=1}^n \mathfrak{q}_i : x_j) = \bigcap_{i=1}^n \mathfrak{r}(\mathfrak{q}_i : x_j) = \mathfrak{q}_j$

Definition

Let $\mathfrak{a} = \bigcap_{i=1}^n \mathfrak{q}_i$ be a minimal primary decomposition with \mathfrak{q}_i being \mathfrak{p}_i -primary ($i = 1, \dots, n$).

1. The prime ideals \mathfrak{p}_i are called *associated* to the ideal \mathfrak{a} .
2. The minimal elements of $\{\mathfrak{p}_1, \dots, \mathfrak{p}_n\}$ are called *minimal* or *isolated* ideals associated to \mathfrak{a} .
3. The non-minimal elements of $\{\mathfrak{p}_1, \dots, \mathfrak{p}_n\}$ are called *embedded* prime ideals (associated to \mathfrak{a}).

Remark

In the first uniqueness theorem, the prime ideals $\mathfrak{p}_1, \dots, \mathfrak{p}_n$ are associated primes of \mathfrak{a} .

Aim: Second uniqueness theorem

Let be $\mathfrak{a} = \bigcap_{i=1}^n \mathfrak{q}_i$ a (minimal) primary decomposition, where the \mathfrak{q}_i are \mathfrak{p}_i -primary. Then, \mathfrak{q}_i is uniquely determined, if \mathfrak{p}_i is minimal.

Proposition 3.1. (2) *Let \mathfrak{a} be decomposable with minimal primary decomposition $\mathfrak{a} = \bigcap_{i=1}^n \mathfrak{q}_i$ (all \mathfrak{q}_i are \mathfrak{p}_i -primary). Then, we have $\{\mathfrak{p}_1, \dots, \mathfrak{p}_n\} = \{\mathfrak{p} \in \text{Spec}(A) \mid \mathfrak{p} \supseteq \mathfrak{a}, \mathfrak{p} \text{ minimal}\}$.*

Proof:

By lemma 1 from section 1 the inclusion \subseteq is clear ($\mathfrak{a} = \bigcap_{i=1}^n \mathfrak{q}_i \subseteq \mathfrak{q}_i \subseteq \mathfrak{p}_i$).

So, let's prove the inclusion \supseteq .

Let be $\mathfrak{p} \in \text{Spec}(A)$, $\mathfrak{p} \supseteq \mathfrak{a}$ minimal.

$$\Rightarrow \mathfrak{p} = \mathfrak{r}(\mathfrak{p}) \supseteq \mathfrak{r}(\mathfrak{a}) = \mathfrak{r}(\bigcap_{i=1}^n \mathfrak{q}_i) = \bigcap_{i=1}^n \mathfrak{r}(\mathfrak{q}_i) = \bigcap_{i=1}^n \mathfrak{p}_i$$

$$\stackrel{(*)}{\Rightarrow} \exists i \in \{1, \dots, n\} : \mathfrak{p} \supseteq \mathfrak{p}_i$$

Proof of (*): Assuming $\mathfrak{p} \not\supseteq \mathfrak{p}_i$ for all i , we get $\exists x_i \in \mathfrak{p}_i \setminus \mathfrak{p}$.

$\Rightarrow \prod_{i=1}^n x_i \in \prod_{i=1}^n \mathfrak{p}_i \subseteq \bigcap_{i=1}^n \mathfrak{p}_i$, but $\prod_{i=1}^n x_i \notin \mathfrak{p}$ (since \mathfrak{p} is a prime ideal).

This is a contradiction to $\mathfrak{p} \supseteq \bigcap_{i=1}^n \mathfrak{p}_i$.

$\Rightarrow \mathfrak{p} \subseteq \mathfrak{a}$ is minimal and contains a minimal prime ideal \mathfrak{p}_i associated to \mathfrak{a} .

$\Rightarrow \exists i : \mathfrak{p} = \mathfrak{p}_i$ □

Proposition 3.2. (3) *Let \mathfrak{a} be a decomposable ideal with primary decomposition $\mathfrak{a} = \bigcap_{i=1}^n \mathfrak{q}_i$ (\mathfrak{q}_i is \mathfrak{p}_i -primary).*

Then, $\bigcup_{i=1}^n \mathfrak{p}_i = \{x \in A \mid (\mathfrak{a} : x) \neq \mathfrak{a}\}$.

In particular, if $\mathfrak{a} = (0)$, then the set of zero divisors $D = \{x \in A \mid (0 : x) \neq (0)\}$ of A equals the union of the prime ideals, associated to $\mathfrak{a} = (0)$. (We have $(0 : x) = \{0 \neq y \in A \mid x \cdot y = 0\}$.)

Proof:

1. Reduction to the case $\mathfrak{a} = (0)$.

Consider $\pi : A \rightarrow A/\mathfrak{a}$ with $\bar{\mathfrak{a}} = \pi(\mathfrak{a})$ and $\bar{\mathfrak{q}}_i = \pi(\mathfrak{q}_i)$.

Starting with $\mathfrak{a} = \bigcap_{i=1}^n \mathfrak{q}_i$ and applying π yields

$$(\bar{0}) = \bar{\mathfrak{a}} = \pi(\mathfrak{a}) = \pi\left(\bigcap_{i=1}^n \mathfrak{q}_i\right) = \bigcap_{i=1}^n \pi(\mathfrak{q}_i) = \bigcap_{i=1}^n \bar{\mathfrak{q}}_i$$

Claim: The ideals $\bar{\mathfrak{q}}_i$ are primary.

Let be $\bar{x} \cdot \bar{y} \in \bar{\mathfrak{q}}_i$, $\bar{x} = x + \mathfrak{a}$, $\bar{y} = y + \mathfrak{a}$. Then, we get $x \cdot y \in \mathfrak{q}_i + \mathfrak{a}$. Since $\mathfrak{a} \subseteq \mathfrak{q}_i$, we get $x \cdot y \in \mathfrak{q}_i$.

$\Rightarrow x \in \mathfrak{q}_i$ or $y^n \in \mathfrak{q}_i, n \in \mathbb{N}_{>0}$

$\Rightarrow \bar{x} \in \bar{\mathfrak{q}}_i$ or $\bar{y}^n \in \bar{\mathfrak{q}}_i, n \in \mathbb{N}_{>0}$

$\Rightarrow (\bar{0}) = \bigcap_{i=1}^n \bar{\mathfrak{q}}_i$ is a primary decomposition of $(\bar{0})$.

Now, it's left to show that

$$\bigcup_{i=1}^n \overline{\mathfrak{p}_i} = \{\overline{x} \in A/\mathfrak{a} \mid (\overline{0} : \overline{x}) \neq (\overline{0})\} \Rightarrow \bigcup_{i=1}^n \mathfrak{p}_i = \{x \in A \mid (\mathfrak{a} : x) \neq \mathfrak{a}\}$$

So, let be $\bigcup_{i=1}^n \overline{\mathfrak{p}_i} = \{\overline{x} \in A/\mathfrak{a} \mid (\overline{0} : \overline{x}) \neq (\overline{0})\}$.

(a) $\bigcup_{i=1}^n \mathfrak{p}_i \supseteq \{x \in A \mid (\mathfrak{a} : x) \neq \mathfrak{a}\}$

Let be $y \in \{x \in A \mid (\mathfrak{a} : x) \neq \mathfrak{a}\}$, i.e. $(\mathfrak{a} : y) \neq \mathfrak{a}$.

$$\Rightarrow (\overline{0} : \overline{y}) \neq \overline{0}$$

$$\Rightarrow \overline{y} \in \bigcup_{i=1}^n \overline{\mathfrak{p}_i}$$

$$\Rightarrow y \in \pi^{-1}(\bigcup_{i=1}^n \overline{\mathfrak{p}_i}) = \bigcup_{i=1}^n \mathfrak{p}_i$$

(b) $\bigcup_{i=1}^n \mathfrak{p}_i \subseteq \{x \in A \mid (\mathfrak{a} : x) \neq \mathfrak{a}\}$

This inclusion can be shown analogously.

2. W.l.o.g. we assume that $\mathfrak{a} = (0)$.

Claim: $\bigcup_{i=1}^n \mathfrak{p}_i = \{x \in A \mid (0 : x) \neq (0)\} = D$

(a) $D \subseteq \bigcup_{i=1}^n \mathfrak{p}_i$

Recall: $D = \bigcup_{x \in A, x \neq 0} \text{Ann}(x) = \bigcup_{x \in A, x \neq 0} (0 : x)$

Taking radicals gives

$$D = \mathfrak{r}(D) = \mathfrak{r}\left(\bigcup_{x \in A, x \neq 0} \text{Ann}(x)\right) = \bigcup_{0 \neq x \in A} \mathfrak{r}(\text{Ann}(x)) = \bigcup_{0 \neq x \in A} \mathfrak{r}(0 : x)$$

We recall from the proof of theorem 1 (First uniqueness theorem) $\mathfrak{r}(0 : x) = \bigcap_{j=1, x \notin \mathfrak{p}_j}^n \mathfrak{p}_j$.

$$\Rightarrow \exists j \in \{1, \dots, n\} : \mathfrak{r}(0 : x) \subseteq \mathfrak{p}_j, \text{ since } x \notin \mathfrak{p}_j.$$

$$\Rightarrow D = \bigcup_{0 \neq x \in A} \mathfrak{r}(0 : x) \subseteq \bigcup_{i=1}^n \mathfrak{p}_i$$

(b) $D \supseteq \bigcup_{j=1}^n \mathfrak{p}_j$

From theorem 1, we know $\exists 0 \neq x_i \in A : \mathfrak{p}_i = \mathfrak{r}(0 : x_i)$.

$$\Rightarrow \bigcup_{i=1}^n \mathfrak{p}_i = \bigcup_{i=1}^n \mathfrak{r}(0 : x_i) \subseteq \bigcup_{0 \neq x \in A} \mathfrak{r}(0 : x) = D. \quad \square$$

Definition (extension ideal, contraction ideal)

Let A, B be commutative rings with 1, $f : A \rightarrow B$ a ring homomorphism and $\mathfrak{a} \subseteq A, \mathfrak{b} \subseteq B$ ideals. The *extension ideal* $\mathfrak{a}^e \subseteq B$ of $\mathfrak{a} \subseteq A$ is the ideal

$$\mathfrak{a}^e = \langle f(a) \mid a \in \mathfrak{a} \rangle = \left\{ \sum_i^n b_i f(a_i) \mid b_i \in B, a_i \in \mathfrak{a}, n \in \mathbb{N} \right\}$$

The *contraction ideal* $\mathfrak{b}^c \subseteq A$ of $\mathfrak{b} \subseteq B$ is the ideal $\mathfrak{b}^c = f^{-1}(\mathfrak{b})$.

Remark

We have $(\mathfrak{a}^e)^c \supseteq \mathfrak{a}$.

Proposition 3.3. (4) *Let A be a commutative ring with 1, $S \subseteq A$ be a multiplicatively closed subset, $B = S^{-1}A$ the localization of A at S , $f : A \rightarrow B$ given by $a \mapsto \frac{a}{1}$ and $\mathfrak{q} \subseteq A$ a \mathfrak{p} -primary ideal.*

Then, we have

1. $S \cap \mathfrak{p} \neq \emptyset \Rightarrow S^{-1}\mathfrak{q} = S^{-1}A$

2. *If $S \cap \mathfrak{p} = \emptyset$, then $S^{-1}\mathfrak{q}$ is $S^{-1}\mathfrak{p}$ -primary. Moreover, $(S^{-1}\mathfrak{q})^c = \mathfrak{q}$.*

Therefore, one has $\{\mathfrak{q} \subseteq A \mid \mathfrak{q} \text{ is } \mathfrak{p}\text{-primary, } S \cap \mathfrak{p} = \emptyset\} \xrightarrow{1:1} \{\mathfrak{q}' \subseteq S^{-1}A \mid \mathfrak{q}' \text{ is primary}\}$.

Proof:

1. $S \cap \mathfrak{p} \neq \emptyset \Rightarrow \exists s \in S \cap \mathfrak{p} \Rightarrow \exists s^n \in S \cap \mathfrak{q}, n \in \mathbb{N}_{>0}$, since $\mathfrak{p} = \mathfrak{r}(\mathfrak{q}) \supseteq \mathfrak{q}$.

$\Rightarrow \frac{s^n}{1} \in S^{-1}\mathfrak{q}$ (s is a unit.)

$\Rightarrow \frac{1}{1} \in S^{-1}\mathfrak{q} \Rightarrow S^{-1}\mathfrak{q} = S^{-1}A$

2. Note: Since $S \cap \mathfrak{p} = \emptyset$, we know that $S^{-1}\mathfrak{p} \in \text{Spec}(S^{-1}A)$.

Let's compute $\mathfrak{r}(S^{-1}\mathfrak{q}) = S^{-1}\mathfrak{r}(\mathfrak{q}) = S^{-1}\mathfrak{p}$. So, if $S^{-1}\mathfrak{q}$ is primary, then S^{-1} is \mathfrak{p} -primary.

Now, we show that $S^{-1}\mathfrak{q}$ is primary:

Let be $\frac{x}{s} \cdot \frac{y}{t} = \frac{xy}{st} \in S^{-1}\mathfrak{q}, x, y \in A, s, t \in S$.

$\Rightarrow xy \in \mathfrak{q} \Rightarrow x \in \mathfrak{q}$ or $y^n \in \mathfrak{q}, n \in \mathbb{N}_{>0}$

$\Rightarrow \frac{x}{s} \in S^{-1}\mathfrak{q}$ or $(\frac{y}{t})^n = \frac{y^n}{t^n} \in S^{-1}\mathfrak{q}$

Next, we show $(\mathfrak{q}^e)^c = (S^{-1}\mathfrak{q})^c = \mathfrak{q}$:

We already know by the definition $(S^{-1}\mathfrak{q})^c \supseteq \mathfrak{q}$. Let's prove the opposite inclusion, i.e. $(S^{-1}\mathfrak{q})^c \subseteq \mathfrak{q}$.

Let $a \in (S^{-1}\mathfrak{q})^c$.

$\Rightarrow f(a) = \frac{a}{1} \in S^{-1}\mathfrak{q} \Rightarrow \exists q \in \mathfrak{q}, s \in S : \frac{a}{1} = \frac{q}{s} \Rightarrow \exists t \in S : (a \cdot s - q \cdot 1) \cdot t = 0$

$\Rightarrow ast = (st)a = tq \in \mathfrak{q}$

On the other hand, $s \cdot t \notin \mathfrak{p} = \mathfrak{r}(\mathfrak{q})$, since $S \cap \mathfrak{p} = \emptyset$.

$\Rightarrow \forall n \in \mathbb{N}_{>0} : (s \cdot t)^n \notin \mathfrak{q} \Rightarrow a \in \mathfrak{q}$, since $a(st) \in \mathfrak{q}$ and \mathfrak{q} is primary.

$\Rightarrow (S^{-1}\mathfrak{q})^c \subseteq \mathfrak{q}$

$\Rightarrow (S^{-1}\mathfrak{q})^c = \mathfrak{q}$

We get the mapping

$\varphi : \{\mathfrak{q} \subset A \mid \mathfrak{q} \text{ is } \mathfrak{p}\text{-primary}, S \cap \mathfrak{p} = \emptyset\} \longrightarrow \{\mathfrak{q}' \subset S^{-1}A \mid \mathfrak{q}' \text{ is } \mathfrak{p}'\text{-primary}\}$

||

$\{S^{-1}\tilde{\mathfrak{q}} \subset S^{-1}A \mid S^{-1}\tilde{\mathfrak{q}} \text{ is } S^{-1}\mathfrak{p}\text{-primary}\},$

where $\tilde{\mathfrak{q}} \subset A, \mathfrak{r}(\tilde{\mathfrak{q}}) = \mathfrak{p}$

We get $\varphi(\mathfrak{q}) = S^{-1}\mathfrak{q}$. Since $(S^{-1}\mathfrak{q})^c = \mathfrak{q}$, φ is injective.

φ is also surjective, since we have $\mathfrak{q}' = S^{-1}\tilde{\mathfrak{q}}$. It is left to the reader to check that $\tilde{\mathfrak{q}}$ is primary. \square

Remark

In the given situation we have for $\mathfrak{a} \subset A$: $S^{-1}\mathfrak{a} = \mathfrak{a}^e$.

Notation

We use the notation as above. Furthermore let be $\mathfrak{a} \subseteq A$ an ideal.

We define $S(\mathfrak{a}) = (S^{-1}\mathfrak{a})^c = (\mathfrak{a}^e)^c \supseteq \mathfrak{a}$.

Note: If \mathfrak{q} is primary, then $S(\mathfrak{q}) = \mathfrak{q}$.

Proposition 3.4. (5) *Let $s \subseteq A$ be a multiplicatively closed subset and $\mathfrak{a} \subseteq A$ a decomposable ideal with minimal primary decomposition $\mathfrak{a} = \bigcap_{i=1}^n \mathfrak{q}_i$.*

Assume $\mathfrak{p}_i = \mathfrak{r}(\mathfrak{q}_i), i = 1, \dots, n$ and that the indexing is such that $\mathfrak{p}_1, \dots, \mathfrak{p}_m$ are disjoint to S while $\mathfrak{p}_{m+1}, \dots, \mathfrak{p}_n$ are not.

Then, the primary decompositions () $S^{-1}\mathfrak{a} = \bigcap_{i=1}^m S^{-1}\mathfrak{q}_i, S(\mathfrak{a}) = \bigcap_{i=1}^m \mathfrak{q}_i$ are minimal.*

Proof:

By proposition 4 (2.), we know that $S^{-1}\mathfrak{q}_i$ are $S^{-1}\mathfrak{p}$ -primary for $i = 1, \dots, m$. By the properties of localization and proposition 4 (1.), we compute:

$$S^{-1}\mathfrak{a} = S^{-1}\left(\bigcap_{i=1}^n \mathfrak{q}_i\right) = \bigcap_{i=1}^n S^{-1}\mathfrak{q}_i = \bigcap_{i=1}^m S^{-1}\mathfrak{q}_i \cap \underbrace{\bigcap_{i=m+1}^n S^{-1}\mathfrak{q}_i}_{=S^{-1}A} = \bigcap_{i=1}^m S^{-1}\mathfrak{q}_i$$

Since $\mathfrak{p}_1, \dots, \mathfrak{p}_m$ are pairwise distinct, also $S^{-1}\mathfrak{p}_1, \dots, S^{-1}\mathfrak{p}_m$ are pairwise distinct. Therefore, the primary decomposition $S^{-1}\mathfrak{a} = \bigcap_{i=1}^m S^{-1}\mathfrak{q}_i$ is also minimal.

To prove the second statement, take the contraction on both sides of (*).

$$S(\mathfrak{a}) = (S^{-1}\mathfrak{a})^c = \left(\bigcap_{i=1}^m S^{-1}\mathfrak{q}_i\right)^c = \bigcap_{i=1}^m (S^{-1}\mathfrak{q}_i)^c = \bigcap_{i=1}^m \mathfrak{q}_i$$

Evidently, the primary decomposition $S(\mathfrak{a}) = \bigcap_{i=1}^m \mathfrak{q}_i$ is minimal, since the original decomposition for \mathfrak{a} was minimal. \square

Lecture on 2008-01-17

Example

Let be $A = K[X, Y]$ and $\mathfrak{a} = (X^2, XY)$.

$\Rightarrow \mathfrak{a} = \mathfrak{q}_1 \cap \mathfrak{q}_2$ with $\mathfrak{q}_1 = (X)$ and $\mathfrak{q}_2 = (X, Y)^2$.

\mathfrak{q}_1 and \mathfrak{q}_2 are primary and $\mathfrak{p}_1 = \mathfrak{r}(\mathfrak{q}_1) = \mathfrak{q}_1 = (X)$, $\mathfrak{p}_2 = \mathfrak{r}(\mathfrak{q}_2) = (X, Y)$. The ideals (X) and (X, Y) are associated to \mathfrak{a} . (They are uniquely determined by \mathfrak{a} .)

$\mathfrak{p}_1 = (X) \subset (X, Y) = \mathfrak{p}_2$.

\mathfrak{p}_1 is minimal and \mathfrak{p}_2 is embedded.

Now, let's look at the example geometrically:

$$\begin{aligned} K[X, Y] &\longleftrightarrow \mathbb{A}_K^2 \\ \mathfrak{a} &\longleftrightarrow V(\mathfrak{a}) = \left\{ \begin{pmatrix} x \\ y \end{pmatrix} \in \mathbb{A}_K^2 \mid x = 0 \right\} \\ \mathfrak{p}_1 &\longleftrightarrow V(\mathfrak{p}_1) = \left\{ \begin{pmatrix} x \\ y \end{pmatrix} \in \mathbb{A}_K^2 \mid x = 0 \right\} \\ \mathfrak{p}_2 &\longleftrightarrow V(\mathfrak{p}_2) = \left\{ \begin{pmatrix} x \\ y \end{pmatrix} \in \mathbb{A}_K^2 \mid x = y = 0 \right\} \end{aligned}$$

Note also that besides the minimal primary decomposition $(X^2, XY) = \mathfrak{a} = \mathfrak{q}_1 \cap \mathfrak{q}_2$ we have the different minimal decomposition $\mathfrak{a} = \mathfrak{q}'_1 \cap \mathfrak{q}'_2$ with $\mathfrak{q}'_1 = (X)$ and $\mathfrak{q}'_2 = (X^2, Y)$.

Definition (isolated set of prime ideals)

Let $\mathfrak{a} \subseteq A$ be a decomposable ideal and $\Sigma \subseteq \text{Spec}(A)$ a set of prime ideals associated to \mathfrak{a} .

Σ is called *isolated*, if it has the following property: If $\mathfrak{p} \in \Sigma$ and \mathfrak{p}' is an associated prime ideal with $\mathfrak{p}' \subseteq \mathfrak{p}$, then $\mathfrak{p}' \in \Sigma$.

Remark

Keep the previous notations. Then, the set $S = A \setminus \bigcup_{\mathfrak{p} \in \Sigma} \mathfrak{p}$ is multiplicatively closed.

$$(a, b \in S \Rightarrow \forall \mathfrak{p} \in \Sigma : a, b \notin \mathfrak{p} \Rightarrow \forall \mathfrak{p} \in \Sigma : a \cdot b \notin \mathfrak{p} \Rightarrow ab \in S)$$

Remark

Let \mathfrak{a} be decomposable and $\Sigma \subseteq \text{Spec}(A)$ an isolated set of prime ideals associated to \mathfrak{a} .

Then, the set $S = A \setminus \bigcup_{\mathfrak{p} \in \Sigma} \mathfrak{p}$ is multiplicatively closed.

Furthermore, we note $\mathfrak{p}' \in \Sigma \Rightarrow \mathfrak{p}' \subseteq \bigcup_{\mathfrak{p} \in \Sigma} \mathfrak{p} \Rightarrow \mathfrak{p}' \cap S = \emptyset$.

Finally, we have $\mathfrak{p} \notin \Sigma \Rightarrow \mathfrak{p}' \not\subseteq \bigcup_{\mathfrak{p} \in \Sigma} \mathfrak{p}$. **Proof:**

Assume $\mathfrak{p}' \subseteq \bigcup_{\mathfrak{p} \in \Sigma} \mathfrak{p}$.

Let $\mathfrak{b} \subseteq A$ be an ideal, and $\mathfrak{p}_1, \mathfrak{p}_2$ prime ideals such that $\mathfrak{b} \subseteq \mathfrak{p}_1 \cup \mathfrak{p}_2$.

$\Rightarrow \mathfrak{b} \subseteq \mathfrak{p}_1$ or $\mathfrak{b} \subseteq \mathfrak{p}_2$ **Proof:**

Assume $\mathfrak{b} \not\subseteq \mathfrak{p}_1, \mathfrak{b} \not\subseteq \mathfrak{p}_2$, but $\mathfrak{b} \subseteq \mathfrak{p}_1 \cup \mathfrak{p}_2$.

$\Rightarrow \exists x_1 \in \mathfrak{b}, x_1 \in \mathfrak{p}_2 \setminus \mathfrak{p}_1$

$\exists x_2 \in \mathfrak{b}, x_2 \in \mathfrak{p}_1 \setminus \mathfrak{p}_2$

$\Rightarrow x_1 + x_2 \notin \mathfrak{p}_1 \cup \mathfrak{p}_2$, but $x_1 + x_2 \in \mathfrak{b}$ (otherwise: $x_1 + x_2 \in \mathfrak{p}_1 \cup \mathfrak{p}_2, x_1 \in \mathfrak{p}_2 \Rightarrow x_2 \in \mathfrak{p}_2 \Rightarrow$ contradiction)

\Rightarrow contradiction

$\Rightarrow \mathfrak{p}' \subseteq \mathfrak{p}$ for some $\mathfrak{p} \in \Sigma$.

$\Rightarrow \mathfrak{p}' \notin \Sigma$, what is a contradiction.

$\Rightarrow \mathfrak{p}' \cap S \neq \emptyset$ □

Theorem 3.2. (Second uniqueness theorem (6)) Let $\mathfrak{a} \subseteq A$ be a decomposable ideal and $\mathfrak{a} = \bigcap_{i=1}^n \mathfrak{q}_i$ a minimal primary decomposition of \mathfrak{a} . Further, let $\Sigma = \{\mathfrak{p}_{i_1}, \dots, \mathfrak{p}_{i_m}\}$ be an isolated set of associated prime ideals.

Then, the intersection $\bigcap_{k=1}^m \mathfrak{q}_{i_k}$ is independent of the given primary decomposition of \mathfrak{a} , i.e. it is determined solely by \mathfrak{a} .

Proof:

Consider the multiplicatively closed set $S = A \setminus \bigcup_{\mathfrak{p} \in \Sigma} \mathfrak{p} = A \setminus (\bigcup_{k=1}^m \mathfrak{p}_{i_k})$.

By the above remark, we know for $\mathfrak{p}' \in \text{Spec}(A)$ associated to \mathfrak{a} :

$S \cap \mathfrak{p}' = \emptyset \Leftrightarrow \mathfrak{p}' \in \{\mathfrak{p}_{i_k} \mid i = 1, \dots, m\}$.

By proposition 5, we get $\bigcap_{k=1}^m \mathfrak{q}_{i_k} = (S^{-1}\mathfrak{a})^c = S(\mathfrak{a})$.

Note, since $\mathfrak{p}_{i_1}, \dots, \mathfrak{p}_{i_m}$, depend by the first uniqueness theorem solely on \mathfrak{a} , hence $S(\mathfrak{a})$ also depends solely on \mathfrak{a} . □

Corollary 3.1. (7) Let $\mathfrak{a} \subseteq A$ be a decomposable ideal with minimal primary decomposition $\mathfrak{a} = \bigcap_{i=1}^n \mathfrak{q}_i$.

Then, the primary components of $\bigcap_{i=1}^n \mathfrak{q}_i$ are uniquely determined whose radicals are minimal/isolated associated prime ideals of \mathfrak{a} .

Proof:

Let be $\mathfrak{p}_i \in \text{Spec}(A)$ be a minimal prime ideal associated to \mathfrak{a} . Then, $\Sigma = \{\mathfrak{p}_i\}$ is an isolated set. Applying theorem 6 with this Σ shows that \mathfrak{q}_i is solely determined by \mathfrak{q} , hence unique. □

3.3 Existence of primary decompositions in noetherien rings

In this section, we let A be a noetherian ring (and commutativ with 1 as always).

Definition (irreducible ideal)

An ideal $\mathfrak{a} \subseteq A$ is called *irreducible*, if the equality $\mathfrak{a} = \mathfrak{b} \cap \mathfrak{c}$ ($\mathfrak{b}, \mathfrak{c} \subseteq A$ ideals) implies $\mathfrak{a} = \mathfrak{b}$ or $\mathfrak{a} = \mathfrak{c}$. If \mathfrak{a} is not irreducible, then \mathfrak{a} is called *reducible*.

Proposition 3.5. (1) In the noetherian ring A , an ideal \mathfrak{a} is a finite intersection of irreducible ideals.

Proof:

Assume, there is an ideal $\mathfrak{a}' \subseteq \mathfrak{a}$, which is not a finite intersection of irreducible ideals. Let \mathcal{M} be the set of all such ideals. By assumption, we get $\mathfrak{a}' \in \mathcal{M}$, i.e. $\mathcal{M} \neq \emptyset$.

Since A is noetherian, \mathcal{M} has a maximal element \mathfrak{a} .

By construction, \mathfrak{a} is not irreducible.

$\Rightarrow \exists \mathfrak{b}, \mathfrak{c} \subseteq A$ ideals, $\mathfrak{b} \subset \mathfrak{a}, \mathfrak{c} \supset \mathfrak{a}$ such that $\mathfrak{a} = \mathfrak{b} \cap \mathfrak{c}$

But, since \mathfrak{a} is maximal in \mathcal{M} , $\mathfrak{b}, \mathfrak{c}$ do not belong to \mathcal{M} . Therefore, $\mathfrak{b}, \mathfrak{c}$ are finite intersections of irreducible ideals, hence $\mathfrak{a} = \mathfrak{b} \cap \mathfrak{c}$ is also a finite intersection of irreducible ideals, which contradicts our assumption on \mathfrak{a} . □

Proposition 3.6. (2) *In a noetherian ring A every irreducible ideal \mathfrak{a} is primary.*

Proof:

By considering the canonical surjective ring homomorphism $\pi : A \rightarrow A/\mathfrak{a}$ it suffices to prove the claim for the zero ideal in A . We are left to show:

If $(0) \subseteq A$ is irreducible, then it is primary.

Let $x \cdot y \in (0)$, i.e. $x \cdot y = 0$. We have to show $y = 0$ or $x^n = 0$ for some $n \in \mathbb{N}_{>0}$ ($\Leftrightarrow x \in \mathfrak{r}(0)$).

Consider the ascending chain of ideals $\text{Ann}(x) \subseteq \text{Ann}(x^2) \subseteq \dots$

Since A is noetherian, this chain becomes stationary, i.e. $\exists n \in \mathbb{N}_{>0} : \text{Ann}(x^n) = \text{Ann}(x^{n+1}) = \dots$

Claim: $(x^n) \cap (y) = (0)$, provided $y \neq 0$.

Let $a \in (x^n) \cap (y)$. We have to show that $a = 0$.

We have $a \in (y)$, i.e. $a = by$, $b \in A$.

$\Rightarrow ax = b(xy) = 0$, since $xy = 0$

Furthermore, we have $a \in (x^n) : a = b'x^n, b' \in A$.

$\Rightarrow b'x^{n+1} = (b'x^n)x = ax = 0$

$\Rightarrow b' \in \text{Ann}(x^{n+1}) = \text{Ann}(x^n)$

$\Rightarrow a = b'x^n = 0$ □

With $x \cdot y = 0$ and $y \neq 0$, we have to show $x \in \mathfrak{r}(0)$.

Now, we have by our claim $(x^n) \cap (y) = (0) \neq (y)$. By assumption, (0) is irreducible.

$\Rightarrow (x^n) = (0) \Rightarrow x^n \in (0) \Rightarrow x \in \mathfrak{r}(0)$. □

Theorem 3.3. (3) *In a noetherian ring A every ideal $\mathfrak{a} \subset A$ has a primary decomposition.*

Proof:

Use the propositions 1 and 2 in combination. □

Proposition 3.7. (4) *In a noetherian ring A , every ideal \mathfrak{a} contains a power of its radical, i.e. $\exists N \in \mathbb{N}_{>0} : \mathfrak{r}(\mathfrak{a})^N \subseteq \mathfrak{a}$.*

Proof:

The radical $\mathfrak{r}(\mathfrak{a}) \subseteq A$ is finitely generated, let's say by x_1, \dots, x_k .

$\Rightarrow \exists n_i \in \mathbb{N}_{>0} : x_i^{n_i} \in \mathfrak{a}, i = 1, \dots, k$

$m = \sum_{i=1}^k (n_i - 1) + 1 \in \mathbb{N}_{>0}$

Now, $\mathfrak{r}(\mathfrak{a})^m$ is generated by $\prod_{i=1}^k x_i^{r_i}, r_i \in \mathbb{N}$ with $\sum_{i=1}^k r_i = m$.

If $r_i < n_i$ for all $i = 1, \dots, k$, then $\sum_{i=1}^k r_i \leq \sum_{i=1}^k (n_i - 1) < \sum_{i=1}^k (n_i - 1) + 1 = m$.

$\Rightarrow \exists i \in \{1, \dots, k\} : r_i \geq n_i$

$\Rightarrow \prod_{i=1}^k x_i^{r_i} \in \mathfrak{a}$, since $x_i^{n_i} \in \mathfrak{a}$.

$\Rightarrow \prod_{i=1}^k x_i^{r_i} \in \mathfrak{a}$ for all $(r_1, \dots, r_k) \in \mathbb{N}^k$ and $\sum_{i=1}^k r_i = m$.

\Rightarrow Every generator of $\mathfrak{r}(\mathfrak{a})^m$ is contained in \mathfrak{a} .

$\Rightarrow \mathfrak{r}(\mathfrak{a})^m \subseteq \mathfrak{a}$ □

Corollary 3.2. (5) *Let A be a noetherian ring, $\mathfrak{m} \in \text{Max}(A)$ and $\mathfrak{q} \subset A$ an ideal. Then, the following are equivalent:*

1. \mathfrak{q} is \mathfrak{m} -primary.
2. $\mathfrak{r}(\mathfrak{q}) = \mathfrak{m}$
3. $\mathfrak{m}^n \subseteq \mathfrak{q} \subseteq \mathfrak{m}$ for some $n \in \mathbb{N}_{>0}$.

Proof:

1. (1) \Rightarrow (2)

This is just the definition for \mathfrak{m} -primary ideals.

2. (2) \Rightarrow (1)

See section 1, lemma 2.

3. (2) \Rightarrow (3)

See preceding proposition 4.

4. (3) \Rightarrow (2)

Look at $\mathfrak{m}^n \subseteq \mathfrak{q} \subseteq \mathfrak{m}$ and take radicals:

$$\mathfrak{m} = \mathfrak{r}(\mathfrak{m}^n) \subseteq \mathfrak{r}(\mathfrak{q}) \subseteq \mathfrak{r}(\mathfrak{m}) = \mathfrak{m}$$

$$\Rightarrow \mathfrak{r}(\mathfrak{q}) = \mathfrak{m}$$

□

Chapter 4

Existence and uniqueness of prime ideal decompositions in Dedekind rings

In this section A is assumed to be a noetherian integral domain (with 1).

Definition

The noetherian integral domain A is said to have *dimension 1*, if every prime ideal $\mathfrak{p} \neq (0)$ is maximal.

Proposition 4.1. (1) *Let A be a noetherian integral domain of dimension 1.*

Then, every ideal $(0) \neq \mathfrak{a} \subseteq A$ has a unique decomposition as product of primary ideals of A .

Proof:

By theorem 3, section 3, we have $\mathfrak{a} = \bigcap_{i=1}^n \mathfrak{q}_i$ where the \mathfrak{q}_i are \mathfrak{p}_i -primary.

Observe: $\mathfrak{p}_i \supseteq \mathfrak{q}_i \supseteq \mathfrak{a} \neq (0)$

$\Rightarrow \mathfrak{p}_i \neq (0)$ for all $i = 1, \dots, n$

Since A has dimension 1, every \mathfrak{p}_i is maximal. Since $\mathfrak{p}_1, \dots, \mathfrak{p}_n$ are maximal, they are relatively prime to each other i.e. $\forall i \neq j : \mathfrak{p}_i + \mathfrak{p}_j = (1)$.

$\Rightarrow \mathfrak{r}(\mathfrak{q}_i + \mathfrak{q}_j) = \mathfrak{r}(\mathfrak{r}(\mathfrak{q}_i) + \mathfrak{r}(\mathfrak{q}_j)) = \mathfrak{r}(\mathfrak{p}_i + \mathfrak{p}_j) = (1)$

$\Rightarrow \mathfrak{q}_i + \mathfrak{q}_j = (1)$ ($1 \in \mathfrak{r}(\mathfrak{q}_i + \mathfrak{q}_j) \Rightarrow \exists n \in \mathbb{N}_{>0} : 1 = 1^n \in \mathfrak{q}_i + \mathfrak{q}_j \Rightarrow \mathfrak{q}_i + \mathfrak{q}_j = (1)$)

$\Rightarrow \mathfrak{q}_1, \dots, \mathfrak{q}_n$ are also relatively prime to each other.

$\Rightarrow \mathfrak{a} = \bigcap_{i=1}^n \mathfrak{q}_i = \prod_{i=1}^n \mathfrak{q}_i$

Uniqueness follows from the second uniqueness theorem, since there are no embedded prime ideals. \square

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Definition

A noetherian integral domain A of dimension 1 is called a *Dedekind ring* (or *Dedekind domain*), iff every primary ideal is the power of a prime ideal.

Example

\mathbb{Z} is a Dedekind domain.

Remark

Let $\mathfrak{q} \subset A$ (A is Dedekind domain) be a \mathfrak{p} -primary ideal.

Claim: $\exists m \in \mathbb{N} : \mathfrak{q} = \mathfrak{p}^m$. **Proof:**

By definition, there exist $\mathfrak{p}' \in \text{Spec}(A)$ and $m \in \mathbb{N}$ such that $\mathfrak{q} = \mathfrak{p}'^m$.

Taking radicals yields $\mathfrak{p} = \mathfrak{r}(\mathfrak{q}) = \mathfrak{r}(\mathfrak{p}'^m) = \mathfrak{p}'$.

$\Rightarrow \mathfrak{p}' = \mathfrak{p}$ □

Theorem 4.1. (2) *Let A be a Dedekind ring. Then, every ideal $0 \subset \mathfrak{a} \subset A$ can be uniquely (up to order) represented as a product of powers of prime ideals, i.e. $\mathfrak{a} = \prod_{k=1}^n \mathfrak{p}_k^{m_k}$, $\mathfrak{p}_1, \dots, \mathfrak{p}_n \in \text{Spec}(A)$.*

Proof:

Use proposition 1 and the remark above. □

Aim

We want to find a more handy characterizations of the notion of Dedekind rings (\rightarrow Section 5).

Motivational example: (See problem set 13)

The ring $A = \mathbb{Z}[\sqrt{-5}]$ turns out to be a Dedekind domain.

Every ideal $0 \subset \mathfrak{a} \subset \mathbb{Z}[\sqrt{-5}]$ is product of powers of prime ideals, but this does not analogously hold for elements, since $6 = 2 \cdot 3 = (1 + \sqrt{-5})(1 - \sqrt{-5})$. We have $(6) = (2) \cdot (3) = ((1 + \sqrt{-5})) \cdot ((1 - \sqrt{-5}))$.

Observation

Let A be a Dedekind ring, $0 \neq \mathfrak{p} \in \text{Spec}(A)$ and $S = A \setminus \mathfrak{p}$. Look at $S^{-1}A = A_{\mathfrak{p}}$, the localization of A at \mathfrak{p} .

$A_{\mathfrak{p}}$ is a local ring, i.e. it has only one maximal ideal $\mathfrak{m} = S^{-1}\mathfrak{p}$.

$$\begin{aligned} \{\mathfrak{p}' \in \text{Spec}(A) \mid \mathfrak{p}' \subseteq \mathfrak{p}\} &\xrightarrow{1:1} \{\mathfrak{p}'' \in \text{Spec}(A_{\mathfrak{p}})\} \\ \mathfrak{p}' &\mapsto S^{-1}\mathfrak{p}'' \\ (\mathfrak{p}'')^c &\leftarrow \mathfrak{p}' \end{aligned}$$

Note: Since A is a dedekind domain, we get $\{\mathfrak{p}' \in \text{Spec}(A) \mid \mathfrak{p}' \supseteq \mathfrak{p}\} = \{\mathfrak{p}, (0)\}$.

$\Rightarrow \text{Spec}(A_{\mathfrak{p}}) = \{(0), \mathfrak{m}\}$

Let $0 \subset \mathfrak{a} \subset A$ be an ideal.

$$\Rightarrow \mathfrak{a} = \prod_{i=1}^n \mathfrak{p}_i^{m_i}$$

$$\Rightarrow S^{-1}\mathfrak{a} = S^{-1} \left(\prod_{i=1}^n \mathfrak{p}_i^{m_i} \right) = \prod_{i=1}^n (S^{-1}\mathfrak{p}_i)^{m_i} = \mathfrak{m}^k \text{ with } k \in \mathbb{N}$$

Definition

Let be $0 \neq x \in A_{\mathfrak{p}}$. We put $v(x) = k$, where $(x) = \mathfrak{m}^k$ (in the above sense).

Note: $v(x) \in \mathbb{N}$.

Check for $x, y \neq 0$:

1. $x, y \in A_{\mathfrak{p}} \Rightarrow v(xy) = v(x) + v(y)$

This follows directly from the definition.

2. $x, y \in A_{\mathfrak{p}} \Rightarrow v(x + y) \geq \min(v(x), v(y))$

So far, we have a map $v : A_{\mathfrak{p}}^{\times} \rightarrow \mathbb{N}$.

Claim: v is surjective.

Proof: We need to find $x \in A_{\mathfrak{p}}^{\times}$ such that $v(x) = 1$.

Note: $\mathfrak{m}^{k+1} \neq \mathfrak{m}^k$ for all $k \in \mathbb{N}$.

Assuming the existstance of $k \in \mathbb{N} : \mathfrak{m}^{k+1} = \mathfrak{m}$, we get immediately $\mathfrak{m} \cdot \mathfrak{m}^k = \mathfrak{m}^k$.

Remember:

Nakayama-Lemma:

Let M a finitely generated A -module, $\mathfrak{a} \subseteq A$ and $\mathfrak{a} \subseteq$ Jacobson radical.

$$\mathfrak{a}M = M \Rightarrow M = (0)$$

Applying the lemma of Nakayama to the ring $A_{\mathfrak{p}}$, $M = \mathfrak{m}^k$ and $\mathfrak{a} = \mathfrak{m}$, we get $\mathfrak{m}^k = 0$.
 $\Rightarrow \mathfrak{m} = 0$, since $A_{\mathfrak{p}}$ is integral domain.
 \Rightarrow contradiction.

Hence, we really have $\forall k \in \mathbb{N} : \mathfrak{m}^{k+1} \neq \mathfrak{m}^k$.

We apply this for $k = 1$ and get $\mathfrak{m}^2 \neq \mathfrak{m} \neq \emptyset$.

$\Rightarrow \exists x \in \mathfrak{m} \setminus \mathfrak{m}^2$

$\Rightarrow (x) = \mathfrak{m}$, i.e. $v(x) = 1$

We extend v to a surjective map $v : \text{Quot}(A_{\mathfrak{p}}) \setminus \{0\} \rightarrow \mathbb{Z}$ by setting $v(x^{-1}) = -v(x)$.

More conceptually: Given a Dedekind domain A , $0 \neq \mathfrak{p} \in \text{Spec}(A)$, we have constructed a surjective homomorphism $v : \text{Quot}(A_{\mathfrak{p}})^{\times} \rightarrow \mathbb{Z}$. By construction, we have $A_{\mathfrak{p}}^{\times} = \{x \in \text{Quot}(A_{\mathfrak{p}})^{\times} \mid v(x) \geq 0\}$.

Definition (discrete valuation)

Let K be a field. A *discrete valuation* on K is given by a surjection homomorphism $v : K^{\times} \rightarrow \mathbb{Z}$ such that

1. $v(xy) = v(x) + v(y)$ for all $x, y \in K^{\times}$
2. $v(x + y) \geq \min(v(x), v(y))$ for all $x, y, x + y \in K^{\times}$

holds.

Sometimes, one puts in addition $v(0) = \infty$.

The set $\{x \in K^{\times} \mid v(x) \geq 0\} \cup \{0\}$ is a ring, which is called the *discrete valuation ring associated to v* . An integral domain R is called a *discrete valuation ring*, iff it has a discrete valuation v and $R = \{x \in \text{Quot}(R) \mid v(x) \geq 0\}$.

Example

Let A be a Dedekind domain and $0 \neq \mathfrak{p} \in \text{Spec}(A)$. Then $A_{\mathfrak{p}}$ is a discrete valuation ring.

4.1 Characterization of Dedekind rings

We start with some preliminaries on integral dependence.

Definition (integral elements, integral closure)

Let A, B be commutative rings with 1 and $B \subseteq A$. An element $x \in B$ is called *integral over A* , if there exists a monic polynomial $p(X) = X^n + \sum_{k=0}^{n-1} a_k X^k \in A[X]$ with $p(x) = 0$.

The set of all elements $x \in B$, which are integral over A is called the *integral closure* of A in B .

Example

Let be $B = \mathbb{Q}$ and $A = \mathbb{Z}$. In this case, \mathbb{Z} is the integral closure of \mathbb{Z} in \mathbb{Q} .

Lemma 4.1. (1) *Let A, B be commutative rings with 1 and $B \supseteq A$.*

Then, the following four statements are equivalent:

1. $x \in B$ is integral over A
2. $A[x]$ is a finitely generated A -module.
3. $A[x]$ is contained in a subring $C \subseteq B$, which is a finitely generated A -module:

$$A \subseteq A[x] \subseteq C \subseteq B$$

4. There exists a faithful $A[x]$ -module M , which is a finitely generated as an A -module.

Recall: A module is called faithful, if $y \cdot M = 0, y \in A[x]$ implies $y = 0$.

Proof:

1. (1) \Rightarrow (2)

Let be $x \in B$ integral over A .

$$\Rightarrow x^n + \sum_{k=0}^{n-1} a_k x^k = 0 \text{ for some } n \in \mathbb{N}_{>0}, a_0, \dots, a_{n-1} \in A.$$

$$\Rightarrow x^n = \sum_{k=0}^{n-1} (-a_k) x^k$$

$\Rightarrow x^n$ can be generated (over A), i.e. represented as an A -linear combination, by $1, x, \dots, x^{n-1}$, $k \in \mathbb{N}$.

$\Rightarrow A[x]$ is generated as an A -module by $1, x, \dots, x^{n-1}$.

$\Rightarrow A[x]$ is a finitely generated A -module.

2. (2) \Rightarrow (3)

Choose $C = A[x]$

C is a ring: $A \subseteq A[x] = C \subseteq B$.

C is a finitely generated A module.

3. (3) \Rightarrow (4)

Choose $M = C$.

Note: $A[x] \subseteq C$, by (3).

$\Rightarrow C$ is an $A[x]$ -module. C is finitely generated as an A -module, by (3).

C is a ring, i.e. $1 \in C$.

Suppose: $y \cdot M = 0$ for some $y \in A[x]$

$$\Rightarrow y \cdot C = 0 \text{ for some } y \in A[x]$$

$$\Rightarrow y \cdot 1 = 0 \text{ for some } y \in A[x]$$

$$\Rightarrow y = 0$$

4. (4) \Rightarrow (1)

Recall:

Let M be a finitely generated A -module, $\mathfrak{a} \subseteq A$ an ideal and $\varphi \in \text{End}_A(M)$ such that $\varphi(M) \subseteq \mathfrak{a} \cdot M$.

Then, there exist $a_0, \dots, a_{n-1} \in \mathfrak{a}$ such that $\varphi^n + a_{n-1}\varphi^{n-1} + \dots + a_0\text{id}_M = 0_M$.

Let $\varphi : M \rightarrow M$ be given by $m \mapsto x \cdot m$.

(M is a finitely generated A -module and $\varphi \in \text{End}_A(M)$.)

$$\Rightarrow \exists a_0, \dots, a_{n-1} : \varphi^n + \sum_{k=0}^{n-1} a_k \varphi^k = 0 \in \text{End}_A(M)$$

Since M is a faithful $A[x]$ -module, we must have $x^n + \sum_{k=0}^{n-1} a_k x^k = 0$.

$\Rightarrow x$ is integral over A . □

Definition (integrally closed)

An integral domain A is called *integrally closed*, if the integral closure of A in $\text{Quot}(A)$ equals A itself.

Lemma 4.2. (2) *Let R be discrete valuation ring. Then, R is integrally closed.*

Proof:

Let be $K = \text{Quot}(R)$ and $v : K^\times \rightarrow (\mathbb{Z}, +)$ our well-known surjective homomorphism.

We have $R = \{x \in K^\times \mid v(x) \geq 0\} \cup \{0\}$.

We have to show: $x \in K$ (integral over R) $\Rightarrow x \in R$.

Let be $0 \neq x \in K$ integral over R .

$$\Rightarrow \exists n \in \mathbb{N}_{>0}, a_0, \dots, a_{n-1} \in R : x^n + \sum_{k=0}^{n-1} a_k x^k = 0$$

$$\text{(Note, } x \in K^\times = \begin{cases} v(x) \geq 0 \Rightarrow x \in R \\ v(x) < 0 \Rightarrow x^{-1} \in R \end{cases} \text{)}$$

If $x \in R$, then we are done.

If $x^{-1} \in R$, we divide $x^n + a_{n-1}x^{n-1} + \dots + a_1x + a_0 = 0$ by x^{n-1} .

$$\Rightarrow x = -a_{n-1} \cdot 1 - a_{n-2}x^{-1} - \dots - a_1(x^{-1})^{n-2} - a_0(x^{-1})^{n-1} \in R$$

□

Characterization

We now come to the characterization of discrete valuation ring. For this, we let R be a

- local
- noetherian integral domain
- of dimension 1

Notation

Let be \mathfrak{m} be the unique maximal ideal of R and $K = R/\mathfrak{m}$ the residue field of R .

Remark

1. Let $(0) \subset \mathfrak{a} \subset R$ be primary.
 $\Rightarrow \mathfrak{r}(\mathfrak{a}) = \mathfrak{m}$
 $\Rightarrow \exists n \in \mathbb{N}_{>0} : \mathfrak{m}^n \subseteq \mathfrak{a}$
2. By Nakayama's lemma, we recall $\mathfrak{m}^n \neq \mathfrak{m}^{n+1}$ for all $n \in \mathbb{N}$, i.e. $\forall n \in \mathbb{N} : \mathfrak{m}^n \supset \mathfrak{m}^{n+1}$.

Theorem 4.2. (3) *Let R be a local, noetherian integral domain of dimension 1 with maximal ideal \mathfrak{m} and residue field $K = R/\mathfrak{m}$. Then, the following are equivalent:*

1. R is a discrete valuation ring.
2. R is integrally closed
3. \mathfrak{m} is principal ideal
4. $\dim_k(\mathfrak{m}/\mathfrak{m}^2) = 1$
5. Every non-zero ideal of R is a power of \mathfrak{m} .
6. Every (non-zero) ideal of R is of the form (x^n) for some $x \in R, n \in \mathbb{N}$.

Proof:

1. (1) \Rightarrow (2)

This is just Lemma 2.

2. (2) \Rightarrow (3)

$$\mathfrak{m} \neq 0 \Rightarrow \exists a \in \mathfrak{m}, a \neq 0$$

By the second remark above, we find $n \in \mathbb{N} : \mathfrak{m}^n \subseteq (a), \mathfrak{m}^{n-1} \not\subseteq (a)$.

$$\Rightarrow \exists b \in \mathfrak{m}^{n-1} \setminus (a)$$

We define $x = \frac{a}{b} = a \cdot b^{-1} \in K = \text{Quot}(R)$.

We want to show $\mathfrak{m} = (x)$.

Note: $x^{-1} \notin R$, since $x^{-1} = \frac{b}{a} \in R \Rightarrow b \in (a)$

Since R is integrally closed by (2), we have that x^{-1} is not integral over R .

$$\Rightarrow x^{-1}\mathfrak{m} \not\subseteq \mathfrak{m}$$

Assume $x^{-1}\mathfrak{m} \subseteq \mathfrak{m}$
 $\Rightarrow \mathfrak{m}$ is an $R[x^{-1}]$ -module and faithful.
 \Rightarrow (Lemma 1, part 4) x^{-1} is integral over R .

By our construction: $b \cdot \mathfrak{m} \subseteq \mathfrak{m}^n \subseteq (a)$
 $\Rightarrow x^{-1}\mathfrak{m} = \frac{b}{a} \cdot \mathfrak{m} \subseteq R$
 $\Rightarrow x^{-1}\mathfrak{m} = R$ (since $x^{-1}\mathfrak{m} \not\subseteq \mathfrak{m}$)
 $\Rightarrow \mathfrak{m} = x \cdot R = (x)$

3. (3) \Rightarrow (4)

Let be $\mathfrak{m} = (x)$ for some $x \in R$.
 $\Rightarrow \mathfrak{m}/\mathfrak{m}^2$ is generated by $x + \mathfrak{m}^2$ as a K -vector space.
 $\Rightarrow \dim_K(\mathfrak{m}/\mathfrak{m}^2) \leq 1$
On the other hand, by the second remark, $\mathfrak{m} \neq \mathfrak{m}^2$, hence $\mathfrak{m}/\mathfrak{m}^2 \neq 0$.
 $\Rightarrow \dim_K(\mathfrak{m}/\mathfrak{m}^2) = 1$

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4. (4) \Rightarrow (5) Since $\dim_K(\mathfrak{m}/\mathfrak{m}^2) = 1$, we have $\mathfrak{m} = (x)$ for some $x \in R$.

Assume $\mathfrak{a} \neq (0), R$.

So \mathfrak{a} is primary with $\mathfrak{r}(\mathfrak{a}) = \mathfrak{m}$. Using corollary 5 of section 3 yields $\exists n_0 \in \mathbb{N} : \mathfrak{m}^{n_0} \subseteq \mathfrak{a}$.
(W.l.o.g. we assume $\mathfrak{m}^{n_0} \subset \mathfrak{a}$ and $n_0 \geq 1$ (otherwise $\mathfrak{m} \subseteq \mathfrak{a} \subseteq \mathfrak{m}$).)

Consider $\pi : R \rightarrow \overline{R} = R/\mathfrak{m}^{n_0}$, where $\mathfrak{a} \mapsto \overline{\mathfrak{a}}$. W.l.o.g.: $\overline{\mathfrak{a}} \neq (\overline{0})$ (otherwise $\mathfrak{a} = \mathfrak{m}^{n_0}$).

Let be $\mathfrak{n}_{\overline{R}}$ the nilradical of \overline{R} .

$\Rightarrow \pi^{-1}(\mathfrak{n}_{\overline{R}}) = \mathfrak{r}(\mathfrak{m}^{n_0}) = \mathfrak{r}(\mathfrak{m}) = \mathfrak{m}$
 $\Rightarrow \overline{\mathfrak{m}} = \pi(\mathfrak{m}) = \pi(\pi^{-1}(\mathfrak{n}_{\overline{R}})) = \mathfrak{n}_{\overline{R}}$
 $\Rightarrow \overline{x} \in (\overline{x}) = \overline{(x)} = \overline{\mathfrak{m}} = \mathfrak{n}_{\overline{R}}$ is nilpotent.
 $\Rightarrow \exists n_1 \in \mathbb{N} : \overline{\mathfrak{m}}^{n_1} = (\overline{0})$
 $\Rightarrow \exists n_2 \in \mathbb{N} : \overline{\mathfrak{a}} \subseteq \overline{\mathfrak{m}}^{n_2}$, but $\overline{\mathfrak{a}} \not\subseteq \overline{\mathfrak{m}}^{n_2+1}$.

We want to show $\overline{\mathfrak{a}} \supseteq \overline{\mathfrak{m}}^{n_2}$ ($\Rightarrow \overline{\mathfrak{a}} = \overline{\mathfrak{m}}^{n_2}$).

Let be $\overline{y} \in \overline{\mathfrak{m}}$.

$\Rightarrow \overline{y} = \overline{\mathfrak{a}} \cdot \overline{x}^{n_2}, \overline{\mathfrak{a}} \in \overline{R}$ (note: $\mathfrak{m} = (x) \Rightarrow \overline{\mathfrak{m}} = (\overline{x})$) with $\overline{\mathfrak{a}} \notin \overline{\mathfrak{m}} \Rightarrow \overline{\mathfrak{a}} \in \overline{R}^\times$
 $\Rightarrow \overline{\mathfrak{m}}^{n_2} = (\overline{x}^{n_2}) = (\overline{y}) \subseteq \overline{\mathfrak{a}}$
 $\Rightarrow \overline{\mathfrak{a}} = \overline{\mathfrak{m}}^{n_2}$

Lifting this equation up to R yields:

$$\mathfrak{a} + \mathfrak{m}^{n_0} = \mathfrak{m}^{n_2} + \mathfrak{m}^{n_0}$$

Let n_0 be large enough such that $\mathfrak{m}^{n_2} \supseteq \mathfrak{m}^{n_0}$.

$$\Rightarrow \mathfrak{a} = \mathfrak{m}^{n_2}$$

5. (5) \Rightarrow (6)

By Nakayama's Lemma, we have $\mathfrak{m} \neq \mathfrak{m}^2$.

$\Rightarrow \mathfrak{m}^2 \subset \mathfrak{m}$
 $\Rightarrow \exists x \in \mathfrak{m} \setminus \mathfrak{m}^2$

By assumption, applied to $\mathfrak{a} = (x)$, we have $(x) = \mathfrak{m}^k$ for some $k \in \mathbb{N}$.

Since $(x) \not\subseteq \mathfrak{m}^2$, we must have $k = 1$, hence $\mathfrak{m} = (x)$.

Let $(0) \neq \mathfrak{a} \subseteq R$. By assumption, there ist $n \in \mathbb{N}$ such that $\mathfrak{a} = \mathfrak{m}^n = (x)^n = (x^n)$.

6. (6) \Rightarrow (1)

Let be $K = \text{Quot}(R)$.

We have to construct a discrete valuation v on K^\times such that $R^\times = \{r \in R \mid v(r) \geq 0\}$.

We have, in particular, by assumption $\mathfrak{m} = (x)$ for some $x \in R$. By Nakayama, we note $\mathfrak{m}^n \neq \mathfrak{m}^{n+1}$ which shows that $(x^n) \neq (x^{n+1})$.

This shows, that (given $0 \neq a \in R$) there is a unique $n \in \mathbb{N}$ such that $(a) = (x^n)$. We define $v(a) = n \in \mathbb{N}$ and extend this definition to K^\times as follows:

Any element in K^\times is of the form $\frac{a}{b}$ with $a, b \in R^\times$. We set $v(\frac{a}{b}) = v(a) - v(b)$.

$\Rightarrow v(K^\times) = \mathbb{Z}$

By the very construction, v is a homomorphism, i.e. $v(y_1 \cdot y_2) = v(y_1) + v(y_2)$, for all $y_1, y_2 \in K^\times$.

By inspection you find $v(y_1 + y_2) \geq \min(v(y_1), v(y_2))$ for all $y_1, y_2 \in K^\times$.

By construction, we also get $R^\times = \{y \in K^\times \mid v(y) \geq 0\}$. □

Proposition 4.2. (4) *Let $B \supseteq A$ be commutative rings with 1. Then, the following holds true:*

1. *The integral closure of A in B is a ring containing A .*

2. *Let $C \supseteq B$ be a third commutative ring with 1.*

If C is integral over B and B is integral over A , then C is integral over A .

3. *Let B be integral over A , $\mathfrak{b} \subseteq B$ an ideal and $\mathfrak{a} = A \cap \mathfrak{b}$.*

Then, B/\mathfrak{b} is integral over A/\mathfrak{a} .

4. *Let B be integral over A and $S \subseteq A$ a multiplicatively closed set.*

$\Rightarrow S^{-1}B$ is integral over $S^{-1}A$.

Proof:

1. See Problem-Set 13, Problem 1.

Note: If x, y are integral over A , then $A[x, y]$ is finitely generated over A .

$\Rightarrow A[x + y]$ is finitely generated over A .

$\Rightarrow x \pm y$ is integral over A .

2. See Problem-Set 13, Problem 2.

Let be $x \in C$ integral over B .

$\Rightarrow x^n + \sum_{k=0}^{n-1} b_k x^k = 0, b_0, \dots, b_{n-1} \in B$

b_0, \dots, b_{n-1} are integral over A .

$\Rightarrow B' = A[b_0, \dots, b_{n-1}] \subseteq B$ is a finitely generated A -module.

Look at $B'[x]$. This is a faithful $A[x]$ -module and finitely generated over A , i.e. x is integral over A .

3. Let $x \in B$ and $\bar{x} \in B/\mathfrak{b}$.

x is integral over A .

$\Rightarrow x^n + \sum_{k=0}^{n-1} a_k x^k = 0, a_0, \dots, a_{n-1} \in A$

$\Rightarrow \bar{x}^n + \sum_{k=0}^{n-1} \bar{a}_k \bar{x}^k = 0$

$\Rightarrow \bar{x} \in B/\mathfrak{b}$ is integral over A/\mathfrak{a} .

4. Let be $\frac{x}{s} \in S^{-1}B$, i.e. $x \in B, s \in S$. Furthermore, let x be integral over A .

$$\Rightarrow x^n + \sum_{k=0}^{n-1} a_k x^k = 0, a_0, \dots, a_{n-1} \in A$$

We localize the equation by s^n :

$$\Rightarrow \left(\frac{x}{s}\right)^n + \sum_{k=0}^{n-1} \frac{a_k}{s^{n-k}} \left(\frac{x}{s}\right)^k = 0$$

$$\Rightarrow \frac{x}{s} \text{ is integral over } S^{-1}A.$$

Lemma 4.3. (5) *Let $B \supseteq A$ be commutative rings with 1 and C the integral closure of A in B . Let $S \subseteq A$ be a multiplicatively closed subset. Then, the integral closure of $S^{-1}A$ in $S^{-1}B$ is $S^{-1}C$.*

Proof:

Let C^0 be the integral closure of $S^{-1}A$ in $S^{-1}B$. By Proposition 4 (4.), we have $S^{-1}C \subseteq C^0$.

We show the opposite inclusion, i.e. $C^0 \subseteq S^{-1}C$. Let be $\frac{b}{s} \in C^0 \subseteq S^{-1}B$ with $b \in B, s \in S$. Since

$\frac{b}{s} \in C^0$, $\frac{b}{s}$ is integral over $S^{-1}A$, i.e. $\left(\frac{b}{s}\right)^n + \sum_{k=0}^{n-1} \frac{a_k}{s^{n-k}} \left(\frac{b}{s}\right)^k = 0$ where $a_0, \dots, a_{n-1} \in A, s_0, \dots, s_{n-1} \in S$.

Put $t = \prod_{k=0}^{n-1} s_k \in S$. Multiplying the equation by t gives $\left(\frac{b}{s}\right)^n t + \sum_{k=0}^{n-1} a_k \frac{t}{s_k} \left(\frac{b}{s}\right)^k = 0$. Multiplying this by s^n yields $b^n t + a_{n-1} \cdot (s_{n-2} \cdot \dots \cdot s_0) \cdot s b^{n-1} + \dots + a_0 (s_{n-1} \cdot \dots \cdot s_1) s^n = 0$. Finally, we multiply by t^{n-1} and get $(bt)^n a_{n-1} (s_{n-2} \cdot \dots \cdot s_0) \cdot s (bt)^{n-1} + \dots + a_0 \cdot (s_{n-1} \cdot \dots \cdot s_1) s^n t^{n-1} = 0$.

$\Rightarrow bt$ is integral over A .

$\Rightarrow bt \in C$.

$$\frac{b}{s} = \frac{bt}{st} \in S^{-1}C \Rightarrow C^0 \subseteq S^{-1}C$$

$$\Rightarrow C^0 = S^{-1}C \quad \square$$

Proposition 4.3. (6) *Let A be an integral domain (with quotient field $K = \text{Quot}(A)$). Then the following statements are equivalent:*

1. A is integrally closed.
2. $A_{\mathfrak{p}}$ is integrally closed for all $\mathfrak{p} \in \text{Spec}(A)$.
3. $A_{\mathfrak{m}}$ is integrally closed for all $\mathfrak{m} \in \text{Max}(A)$.

Proof:

1. (1) \Leftrightarrow (2)

Let be C the integral closure of A in K .

(Note: If A is integrally closed, then $A = C$.)

Finally, let be $f : A \rightarrow C$ the natural inclusion.

Now, we have the equivalences:

A is integrally closed $\Leftrightarrow f : A \hookrightarrow C$ is surjective.

$$\Leftrightarrow f_{\mathfrak{p}} : A_{\mathfrak{p}} \hookrightarrow C_{\mathfrak{p}} \text{ is surjective for all } \mathfrak{p} \in \text{Spec}(A).$$

By Lemma 5, $C_{\mathfrak{p}}$ is the integral closure of $A_{\mathfrak{p}}$ in K .

$$\Leftrightarrow A_{\mathfrak{p}} \text{ is integrally closed for all } \mathfrak{p} \in \text{Spec}(A)$$

2. (1) \Leftrightarrow (3)

This equivalence is established in an analogous way, observing the fact that $f : A \rightarrow C$ is injective/surjective, iff $f_{\mathfrak{m}} : A_{\mathfrak{m}} \rightarrow C_{\mathfrak{m}}$ is injective/surjective for all $\mathfrak{m} \in \text{Max}(A)$. \square

Theorem 4.3. (Characterization of Dedekind domains (7)) *Let A be a noetherian integral domain of dimension 1. Then, the following statements are equivalent.*

1. A is integrally closed.

2. Every primary ideal is the power of a prime ideal.
3. Every localization $A_{\mathfrak{p}}, (0) \neq \mathfrak{p} \in \text{Spec}(A)$ is a discrete valuation ring.

Proof:

1. (1) \Leftrightarrow (3)

By proposition 6, we have the equivalence:

A is integrally closed $\Leftrightarrow f : A \hookrightarrow C$ is surjective.
 $\Leftrightarrow f_{\mathfrak{p}} : A_{\mathfrak{p}} \hookrightarrow C_{\mathfrak{p}}$ is surjective for all $\mathfrak{p} \in \text{Spec}(A)$.
 Note: The case $\mathfrak{p} = (0)$ is trivial: $A_{(0)} = K$.

Applying theorem 3 to the local, noetherian integral domain $R = A_{\mathfrak{p}}, (0) \neq \mathfrak{p} \in \text{Spec}(A)$ of dimension 1, shows the equivalence (1) \Leftrightarrow (3).

2. (2) \Rightarrow (3)

Assume: Every non-zero primary ideal of A is a power of some $(0) \neq \mathfrak{p} \in \text{Spec}(A)$.

Let now $(0) \neq \mathfrak{a} \subseteq A_{\mathfrak{p}}$ be an ideal and $\mathfrak{m} = \mathfrak{p}_{\mathfrak{p}}$ the maximal ideal of $A_{\mathfrak{p}}$. Since $A_{\mathfrak{p}}$ is noetherian, $(0) \neq \mathfrak{a}$ is \mathfrak{m} -primary.

Since A has dimension 1, by noetherian property, the ideal \mathfrak{a} , which is obtained by localization of an ideal of A , has to be a power of \mathfrak{m} . (Since the ideal in question reduces to one primary component which is a power of \mathfrak{p} .)

Again, applying theorem 3 to $R = A_{\mathfrak{p}}$ (the implication (1) \Leftarrow (5)), shows (2) \Rightarrow (3).

3. (3) \Rightarrow (2)

Assume: $A_{\mathfrak{p}}$ are discrete valuation rings for all $\mathfrak{p} \in \text{Spec}(A), \mathfrak{p} \neq (0)$.

Now, we apply theorem 3, with $R = A_{\mathfrak{p}}$ (implication (1) \Rightarrow (5)).

Hence, every $(0) \neq \mathfrak{a} \subset A_{\mathfrak{p}}$ is a power of $\mathfrak{m} = \mathfrak{p}_{\mathfrak{p}}$, i.e. $\mathfrak{a} = \mathfrak{m}^n$ for some $n \in \mathbb{N}_{>0}$, i.e. \mathfrak{a} is \mathfrak{m} -primary. By contraction of \mathfrak{a} , we get a primary ideal of A such that $\mathfrak{a} \cap A = \mathfrak{p}^n$.

Since (3) holds for all $\mathfrak{p} \in \text{Spec}(A)$, we cover all primary ideals of A in this way and recognize them as powers of prime ideals. \square

4.2 The fundamental theorem of arithmetic

Definition

Let K/\mathbb{Q} be a finite algebraic extension. The integral closure \mathcal{O}_K of \mathbb{Z} in K is called the ring of integers of K .

\mathcal{O}_K is also called *maximal order* in K .

$\mathbb{Z}[\sqrt{-5}]$ is a ring of integers of $\mathbb{Q}(\sqrt{-5})$.

The fundamental theorem fails here: $6 = 2 \cdot 3 = (1 + \sqrt{-5}) \cdot (1 - \sqrt{-5})$.

Theorem 4.4. (1) *The ring of integers \mathcal{O}_K of a finite algebraic extension K/\mathbb{Q} is a Dedekind domain.*

Proof:

We show that \mathcal{O}_K is a noetherian integral domain of dimension 1 and that all primary ideals are powers of prime ideals.

1. \mathcal{O}_K is an integral domain:

This is clear, since $\mathcal{O}_K \hookrightarrow K$.

Note: $K = \text{Quot}(\mathcal{O}_K)$.

2. \mathcal{O}_K is integrally closed:

Let C be the integral closure of \mathcal{O}_K in K .

$\Rightarrow C$ is integral over \mathcal{O}_K and \mathcal{O}_K is integral over \mathbb{Z} .

$\Rightarrow C$ is integral over \mathbb{Z} .

$\Rightarrow C = \mathcal{O}_K$ by the definition of \mathcal{O}_K .

3. \mathcal{O}_K is noetherian: We show: \mathcal{O}_K is a finitely generated \mathbb{Z} -module. (\Rightarrow every ideal $\mathfrak{a} \subseteq \mathcal{O}_K$ is also a finitely generated \mathbb{Z} -module, hence a finitely generated ideal.)

We take a basis $\{\alpha_1, \dots, \alpha_n\}$ of K/\mathbb{Q} . W.l.o.g. $\alpha_1, \dots, \alpha_n \in \mathcal{O}_K$.

Let be $x \in \mathcal{O}_K$.

$\Rightarrow x \in K$

$\Rightarrow \exists x_1, \dots, x_n \in \mathbb{Q} : x = \sum_{j=1}^n x_j \alpha_j$

Multiplying x by α_k yields $K \ni x \cdot \alpha_k = \sum_{j=1}^n x_j (\alpha_j \alpha_k), k = 1, \dots, n$.

$$\mathbb{Z} \ni \text{tr}_{K/\mathbb{Q}}(x \cdot \alpha_k) = \sum_{j=1}^n x_j \underbrace{\text{tr}_{K/\mathbb{Q}}(\alpha_j \alpha_k)}_{\in \mathbb{Z}}$$

This is a system of linear equations for x_1, \dots, x_n . Let $D = \det(\text{tr}_{K/\mathbb{Q}}(\alpha_j \alpha_k)) \in \mathbb{Z}$.

$D \neq 0$ by general reasons (or, use $K = \mathbb{Q}(\vartheta), \alpha_1 = \vartheta^0, \dots, \alpha_n = \vartheta^{n-1}$ and note that D is the Vandermonde determinant which is non-zero).

$\Rightarrow x_j \in D^{-1}\mathbb{Z}, j = 1, \dots, n$

$\Rightarrow \mathcal{O}_K \subseteq D^{-1} \sum_{j=1}^n \mathbb{Z} \cdot \alpha_j$ is a finitely generated \mathbb{Z} -module.

$\Rightarrow \mathcal{O}_K$ is a finitely generated \mathbb{Z} -module (as a \mathbb{Z} -submodule of $D^{-1} \sum_{j=1}^n \mathbb{Z} \cdot \alpha_j$).

4. \mathcal{O}_K has dimension 1:

Let $(0) \neq \mathfrak{p} \in \text{Spec}(\mathcal{O}_K)$

Proposition 4: $\mathcal{O}_K/\mathfrak{p}$ is integral over $\mathbb{Z}/\mathfrak{p} \cap \mathbb{Z} = \mathbb{F}_p$.

Show: $\mathcal{O}_K/\mathfrak{p}$ is a field.

$x \in \mathcal{O}_K/\mathfrak{p}, x \neq 0$

$\Rightarrow x^n + \sum_{k=0}^n a_k x^k = 0$ with $a_0, \dots, a_{n-1} \in \mathbb{Z}/(p)$

$x^{-1} = -a_0^{-1}(x^{n-1} + \sum_{k=1}^{n-1} a_k x^{k-1}) \in \mathcal{O}_K/\mathfrak{p}$

Chapter 5

Structure Theorems for Algebras

5.1 Basics

In this chapter, K denotes a field.

Definition (K -algebra)

A ring A is called K -algebra, if A has a K -vector space structure, with $\lambda \cdot (a \cdot b) = (\lambda \cdot a) \cdot b = a \cdot (\lambda \cdot b)$, $\lambda \in K$, $a, b \in A$ and whose addition coincides with that of the ring structure.

Definition

The *dimension* $\dim_K A$ of a K -algebra A is the dimension of A as a K -vector space.

Example

Let be $M_n(K)$ the set of $n \times n$ -matrices with entries in K , i.e. $M_n(K) = \{(a_{j,k})_{1 \leq j, k \leq n} | a_{j,k} \in K\}$. We have $\dim_K(M_n(K)) = n^2$. $M_n(K)$ is a K -algebra and non-commutative, if $n > 1$.

Definition (skew-field)

A K -algebra A is called a *skew-field* (\textcircled{D} : Schiefkörper) or *division algebra* over K , if for each $0 \neq a \in A$, there exists $a^{-1} \in A$ satisfying $a^{-1} \cdot a = 1 = a \cdot a^{-1}$.

Example (Hamiltonians)

Let be $K = \mathbb{R}$. We define $\mathbb{H} = \{a \cdot 1 + b \cdot i + c \cdot j + d \cdot k | a, b, c, d \in \mathbb{R}\}$ subject to the following conditions:

$$i^2 = j^2 = k^2 = -1$$

$$i \cdot j = -j \cdot i = k \text{ (analogously for cyclically permuted } i, j \text{ and } k)$$

We get $\dim_{\mathbb{R}} \mathbb{H} = 4$.

$\Rightarrow \mathbb{H}$ is a non-commutative \mathbb{R} -algebra.

Let be $0 \neq \alpha \in \mathbb{H}$, $\alpha = a \cdot 1 + b \cdot i + c \cdot j + d \cdot k$. We define $\bar{\alpha} = a \cdot 1 - b \cdot i - c \cdot j - d \cdot k$.

$\Rightarrow N(\alpha) = \alpha \cdot \bar{\alpha} = a^2 + b^2 + c^2 + d^2 \neq 0$, since $\alpha \neq 0$

$$\Rightarrow \alpha^{-1} = \frac{\bar{\alpha}}{N(\alpha)}$$

$\Rightarrow \mathbb{H}$ is a division algebra over \mathbb{R} .

Definition

Let A, B be K -algebras. A map $f : A \rightarrow B$ is called K -algebra homomorphism, if f is

1. K -linear
2. ring homomorphism

Example

Take $A = \mathbb{H}, B = M_2(\mathbb{C})$.

We define $f : \mathbb{H} \rightarrow M_2(\mathbb{C})$ by $f(a \cdot 1 + b \cdot i + c \cdot j + d \cdot k) = \begin{pmatrix} a + ib & c + id \\ -(c - id) & a - ib \end{pmatrix}$. This is an (injective) \mathbb{R} -algebra homomorphism.

Definition

Let A be a K -algebra. An additive subgroup $\mathfrak{a} \subseteq A$ is called *left ideal* (resp. *right-ideal*) in A , if $a \cdot x \in \mathfrak{a}$ for all $a \in A, x \in \mathfrak{a}$ (resp. $x \cdot a$). The subgroup $\mathfrak{a} \subseteq A$ is called a *two-sided ideal*, if it is at the same time left- and right-ideal.

Example

Let A be a K -algebra and $x \in A$.

1. $\mathfrak{a} = A \cdot x = \{a \cdot x | a \in A\}$ is a left-ideal.
2. $\mathfrak{b} = x \cdot A = \{x \cdot a | a \in A\}$ is a right-ideal.
3. $\mathfrak{c} = A \cdot x \cdot A = \{\sum a_j x b_j | a_j, b_j \in A\}$ is a 2-sided-ideal.

Definition

Let A be a K -algebra. A right ideal $\mathfrak{a} \subseteq A$ is called *minimal* if \mathfrak{a} does not contain any other right ideals apart from (0) and \mathfrak{a} itself.

A corresponding definition holds for left-ideals.

Definition

Let A be a K -algebra with 1. An abelian group P together with a map $P \times A \rightarrow P$, given by $(x, a) \mapsto x \cdot a$, is called an *A -right module*, if the following are satisfied

1. $x \cdot (a + b) = x \cdot a + x \cdot b$
2. $(x + y) \cdot a = x \cdot a + y \cdot a$
3. $(x \cdot a) \cdot b = x \cdot (a \cdot b)$
4. $x \cdot 1 = x$

for all $a, b \in A$ and $x, y \in P$.

Analogously, one defines *A -left modules*.

Definition

Let P, Q be A -right modules.

A map $f : P \rightarrow Q$ is called *A -right linear*, if it satisfies $f(x + y) = f(x) + f(y)$ and $f(x \cdot a) = f(x) \cdot a$ for all $x, y \in P, a \in A$.

Remark (Example)

Let A be a K -algebra and P an A -right module. We set $\text{End}_A(P) = \{f : P \rightarrow P | f \text{ is right linear}\}$. For $f, g \in \text{End}_A(P)$ and $\lambda \in K$ we have $(f + g)(x) = f(x) + g(x), (\lambda f)(x) = \lambda f(x)$ and $(f \circ g)(x) = f(g(x))$. This is obviously a K -algebra.

Theorem 5.1. (1) *Let A be a K -algebra.*

Then, the following holds:

1. *For any A -right module P and any natural number $n \in \mathbb{N}_{>0}$, we have the A -algebra isomorphism $M_n(\text{End}_A(P)) \cong \text{End}_A(P^n) = \text{End}_A(\bigoplus_{k=1}^n P)$.*
2. *Let $(0) \neq \mathfrak{a} \subseteq A$ be a minimal right ideal. Then, $D = \text{End}_A(\mathfrak{a})$ is a division algebra over K .*

3. The map $\eta : A \rightarrow \text{End}_A(A)$, given by $a \mapsto \eta(a)$, $\eta(a)(x) = x \cdot a$ ($a, x \in A$) is an isomorphism of K -algebras.

Proof:

1. General remark: Let P_1, \dots, P_n be A -right modules. Then, one has the obvious A -right linear maps $i_j : P_j \rightarrow \bigoplus_{k=1}^n P_k$, defined by $x \mapsto (0, \dots, 0, x, 0, \dots, 0)$, and $p_k : \bigoplus_{r=1}^n P_r \rightarrow P_k$, defined by $(x_1, \dots, x_n) \mapsto x_k$.

One easily checks

- (a) $p_k \circ i_j = 0$ for $j \neq k$ and $p_j \circ i_j = \text{id}_{P_j}$
(b) $\sum_{j=1}^n i_j \circ p_j = \text{id}_{\bigoplus_{k=1}^n P_k}$

Let now be $P_1 = P_2 = \dots = P_n = P$.

We define $\alpha : \text{End}_A(P^n) \rightarrow M_n(\text{End}_A(P))$ by $f \mapsto (p_j \circ f \circ i_k)_{1 \leq j, k \leq n}$.

$$P \xrightarrow{i_k} P^n \xrightarrow{f} P^n \xrightarrow{p_j} P$$

α

It is easy to see that α is K -linear. It remains to show that α is multiplicative.

Let be $f, g \in \text{End}_A(P^n)$.

We have

$$\begin{aligned} \alpha(f \circ g) &= (p_j \circ (f \circ g) \circ i_k)_{1 \leq j, k \leq n} = (p_j \circ f \circ (\sum_{l=1}^n i_l \circ p_l) \circ g \circ i_k)_{1 \leq j, k \leq n} \\ &= (\sum_{l=1}^n (p_j \circ f \circ i_l) \circ (p_l \circ g \circ i_k))_{1 \leq j, k \leq n} = \alpha(f) \cdot \alpha(g) \end{aligned}$$

So α is a K -algebra homomorphism. Consider next $\beta : M_n(\text{End}_A(P)) \rightarrow \text{End}_A(P^n)$, defined by $(f_j, k)_{1 \leq j, k \leq n} \mapsto \sum_{j=1}^n \sum_{k=1}^n i_j \circ f_{j,k} \circ g_k$.

We show that β is the inverse of α (i.e. $\alpha \circ \beta = \text{id}_{M_n(\text{End}_A(P))}$), hence α (and β) are K -algebra isomorphisms

$$\begin{aligned} (\alpha \circ \beta)(f_{j,k}) &= \alpha(\sum_j \sum_k i_j \circ f_{j,k} \circ p_k) = p_l \circ (\sum_j \sum_k i_j \circ f_{j,k} \circ p_k) \circ i_m \\ &= \sum_j \sum_k (p_l \circ i_j) \circ f_{j,k} \circ (p_k \circ i_m) = (f_{j,k}) \end{aligned}$$

Now, we show that $\beta \circ \alpha = \text{id}_{\text{End}_A(P^n)}$:

$$(\beta \circ \alpha)(f) = \beta(p_j \circ f \circ i_k) = \sum_j \sum_k i_j \circ p_j \circ f \circ i_k \circ p_k = \text{id}_{P^n} \circ f \circ \text{id}_{P^n} = f$$

2. Let be $0 \neq f \in D = \text{End}_A(\mathfrak{a})$.

($f : \mathfrak{a} \rightarrow \mathfrak{a}$, non-trivial, A -right linear)

$\ker(f), \text{im}(f)$ are A -right ideals, contained in A .

By minimality of \mathfrak{a} , we have $\ker(f) \in \{(0), \mathfrak{a}\}$. Since $f \neq 0$, we have $\ker(f) \neq \mathfrak{a}$.

$\Rightarrow \ker(f) = (0) \Rightarrow f$ is injective.

Analogously, $\text{im}(f) \in \{(0), \mathfrak{a}\}$.

$\Rightarrow f$ is surjective, since $f \neq 0$

$\Rightarrow f$ is bijective.

$\Rightarrow \exists f^{-1}$

3. We consider the map $\eta : A \rightarrow \text{End}_A(A)$, defined by $\eta(a)(x) = x \cdot a$.

Furthermore, we define $\vartheta : \text{End}_A(A) \rightarrow A$ by $f \mapsto f(1)$.

$\Rightarrow (\vartheta \circ \eta)(a) = \vartheta(\eta(a)) = \eta(a)(1) = 1 \cdot a = a, a \in A$

($\eta \circ \vartheta)(f) = \eta(f(1)) = f$, since $\eta(f(1))(a) = f(1) \cdot a = f(a)$ □

5.2 Structure theorem for simple algebras

Definition

A K -algebra A is called simple, if the only two-sided ideals are (0) and A .

Goal

Let A be a simple K -algebra.

Then, there exists a division algebra D over K and $n \in \mathbb{N}_{>0}$ such that $A \cong M_n(D)$.

Lemma 5.1. (1) *Let D be a division algebra over K and $n \in \mathbb{N}_{>0}$. Then, the K -algebra $M_n(D)$ is simple.*

Proof:

Let $E_{j,k} \in M_n(D)$ be the elementary matrix having a 1 at the j th row in the k th column and 0s everywhere else. Let $M = (a_{j,k})_{1 \leq j,k \leq n} \in M_n(D)$ be any matrix.

Then, one easily computes: $E_{j,k} \cdot M \cdot E_{k,l} = a_{j,k} \cdot E_{l,l}$. (*)

Now, let $(0) \neq \mathfrak{a} \subseteq M_n(D)$ be a two-sided ideal. We have to show that $\mathfrak{a} = M_n(D)$.

Since $\mathfrak{a} \neq (0)$, there exists $M \in M_n(D)$, $M \neq 0$, i.e. $M = (a_{j,k})_{1 \leq j,k \leq n}$ with some (j,k) such that $a_{j,k} \neq 0$.

If $a_{j,k} \in D$, we have $a_{j,k}^{-1} \in D$, since D is a division algebra.

By (*), we have $E_{l,l} = \underbrace{a_{j,k}^{-1} \cdot E_{l,j}}_{\in M_n(D)} \cdot \underbrace{M}_{\in \mathfrak{a}} \cdot \underbrace{E_{k,l}}_{\in M_n(D)} \in \mathfrak{a}$.

$\Rightarrow 1 = E_{1,1} + \dots + E_{n,n} \in \mathfrak{a} \Rightarrow \mathfrak{a} = M_n(D)$

$\Rightarrow M_n(D)$ is simple. □

Lemma 5.2. (2) *Let A be a simple, finite dimensional K -algebra and P a non-trivial A -right module with $\dim_K(P) < \infty$. Further, let $\mathfrak{a} \subseteq A$ be any minimal right-ideal, $\mathfrak{a} \neq (0)$.*

Then, there exists $n \in \mathbb{N}_{>0}$ such that $P \cong \bigoplus_{k=1}^n \mathfrak{a}$. (The isomorphism \cong is a bijective, bilinear map.)

Proof:

Consider $A \cdot \mathfrak{a} = \{\sum_{i, \text{ finite}} a_i x_i \mid a_i \in A, x_i \in \mathfrak{a}\}$. $A \cdot \mathfrak{a}$ is a two-sided ideal in the simple algebra A . Since \mathfrak{a} is not trivial and A is simple, the two-sided ideal $A\mathfrak{a} \supseteq \mathfrak{a} \neq (0)$ is the whole algebra, i.e. $A\mathfrak{a} = A$.

$\Rightarrow P = PA = P(A\mathfrak{a}) = P\mathfrak{a}$

Since $\dim_K P < \infty$, we can choose a K -basis $\{v_1, \dots, v_m\}$ for P such that

$$P = \sum_{k=1}^m v_k K = (\sum_{k=1}^m v_k K)\mathfrak{a} = \sum_{k=1}^m v_k \mathfrak{a}.$$

Now, choose a minimal set of elements $w_1, \dots, w_n \in P$ such that $P = \sum_{k=1}^n w_k \mathfrak{a}$. In this way, we get a A -right linear map $\varphi : \bigoplus_{k=1}^n \mathfrak{a} \rightarrow P$, defined by $(x_1, \dots, x_n) \mapsto \sum_{j=1}^n w_j x_j \in P$. By construction, φ is surjective. It remains to show that φ is also injective.

Let $(x_1, \dots, x_n) \in \bigoplus_{k=1}^n \mathfrak{a}$ such that $\varphi(x_1, \dots, x_n) = 0 \in P$, i.e. $\sum_{k=1}^n w_k x_k = 0$.

We have to show $x_1 = \dots = x_n = 0$.

Assume that there exists $0 \neq x_i \in \mathfrak{a}$ for some i . W.l.o.g. let be $i = 1$.

$\Rightarrow 0 \neq x_1 \cdot A$ is a non-zero right-ideal in \mathfrak{a} .

Since \mathfrak{a} is minimal, we must have $x_1 \cdot A = \mathfrak{a}$.

$\Rightarrow w_1 \cdot \mathfrak{a} = w_1 x_1 \cdot A \subseteq \sum_{k=2}^n w_k x_k A$, taking into account that $w_1 x_1 = -\sum_{k=2}^n w_k x_k$.

Since $x_k \cdot A \subseteq \mathfrak{a}$ for $k = 2, \dots, n$, we get $w_1 \mathfrak{a} \subseteq \sum_{k=2}^n w_k \mathfrak{a}$. This contradicts the minimality of w_1, \dots, w_n . □

Corollary 5.1. (3) *In a simple, finite dimensional K -algebra A all minimal A -right ideals $\mathfrak{a} \neq (0)$ are isomorphic.*

Proof:

Let $(0) \neq \mathfrak{a} \subseteq A$ and $(0) \neq \mathfrak{a}' \subseteq A$ be two minimal A -right ideals. We will show that $\mathfrak{a} \cong \mathfrak{a}'$.

By lemma 2, there is $n \in \mathbb{N}_{>0}$ and an A -right linear isomorphism $\varphi : \mathfrak{a}^n = \bigoplus_{k=1}^n \mathfrak{a} \rightarrow \mathfrak{a}'$. We are left to show that $n = 1$.

Let $\psi : \mathfrak{a} \rightarrow \mathfrak{a}^n$ (defined by $x \mapsto (x, 0, \dots, 0)$) be the natural, injective A -right linear map. By composition, we get $\varphi \circ \psi : \mathfrak{a} \rightarrow \mathfrak{a}'$. Since $\mathfrak{a} \neq (0)$, we get $\psi(\mathfrak{a}) \subseteq \mathfrak{a}^n$ is non trivial.

$$\Rightarrow (0) \neq \varphi(\psi(\mathfrak{a})) \subseteq \mathfrak{a}'$$

Note: $\varphi(\psi(\mathfrak{a}))$ is a A -right ideal. By minimality of \mathfrak{a}' , we get $\varphi(\psi(\mathfrak{a})) = \mathfrak{a}'$.

We assume $n > 1$ and lead it to a contradiction. Obviously, for $n > 1$, we have $\psi(\mathfrak{a}) \neq \mathfrak{a}^n$. Now, we have the two equalities $\varphi(\psi(\mathfrak{a})) = \mathfrak{a}'$ and $\varphi(\mathfrak{a}^n) = \mathfrak{a}'$. This is a contradiction, since φ is injective.

$$\Rightarrow n = 1 \Rightarrow \varphi : \mathfrak{a} \xrightarrow{\cong} \mathfrak{a}' \quad \square$$

Theorem 5.2. (4) *Let A be a simple, finite dimensional K -algebra. Then, there is exactly one $n \in \mathbb{N}_{>0}$ and, up to isomorphism of K -algebras, exactly one division algebra D over K such that $A \cong M_n(D)$ as K -algebras.*

Proof:

1. Existence

Let $0 \neq \mathfrak{a} \subseteq A$ be any minimal A right ideal. By lemma 2, we have $n \in \mathbb{N}_{>0}$ and an (A -right linear) isomorphism $A \cong \mathfrak{a}^n$.

Now, apply theorem 1 (from the previous subsection), parts 1 and 3, to get:

$$A \cong \text{End}_A(A) \cong \text{End}_A(\mathfrak{a}^n) \cong M_n(\text{End}_A(\mathfrak{a}))$$

Now, since $\mathfrak{a} \neq (0)$ and \mathfrak{a} is a minimal A -right ideal, theorem 1 shows that $D = \text{End}_A(\mathfrak{a})$ is a division algebra over K .

2. Uniqueness

Intermediate consideration

Assume: $A = M_n(D), e = E_{1,1}$

$$\Rightarrow D \cong e \cdot A \cdot e \quad (d \mapsto d \cdot e = e \cdot d \cdot e)$$

Claim 1: $e \cdot A \cdot e \cong \text{End}_A(e \cdot A)$

Proof:

Let be $\varphi : e \cdot A \cdot e \rightarrow \text{End}_A(e \cdot A)$ defined by $e \cdot a \cdot e \mapsto (x \mapsto e \cdot a \cdot e \cdot x)$. The images of φ , are obviously A -right linear maps.

φ is a K -algebra homomorphism: It's clear that φ is K -linear. Furthermore, we have $\varphi((e \cdot a \cdot e) \cdot (e \cdot b \cdot e))(x) = e \cdot a \cdot e \cdot e \cdot b \cdot e \cdot x = (\varphi(e \cdot a \cdot e) \circ \varphi(e \cdot b \cdot e))(x)$.

Wie define $\psi : \text{End}_A(e \cdot A) \rightarrow e \cdot A \cdot e$ by $f \mapsto f(e) = f(e^2) = f(e) \cdot e$ (we have $e = e^2$). Obviously, ψ is a K -algebra homomorphism.

Finally, it's left to check that φ and ψ are inverses of each other.

$$\psi(\varphi(e \cdot a \cdot e)) = \psi(e \cdot a \cdot e \cdot _) = e \cdot a \cdot e \cdot e = e \cdot a \cdot e$$

$$\Rightarrow \psi \circ \varphi = \text{id}_{e \cdot A \cdot e}$$

$$\varphi(\psi(f))(x) = \varphi(f(e) \cdot e)(x) = f(e) \cdot e \cdot x = f(x)$$

$$\Rightarrow \varphi \circ \psi = \text{id}_{\text{End}_A(e \cdot A)}$$

$$\Rightarrow \varphi : e \cdot A \cdot e \xrightarrow{\cong} \text{End}_A(e \cdot A)$$

Claim 2: A is a minimal A -right ideal.

Proof:

Let $(0) \neq \mathfrak{a} \subseteq A$ be some minimal A right ideal.

We know by lemma 2: $e \cdot A \cong \mathfrak{a}^m$.

$$D \cong e \cdot A \cdot e \cong \text{End}_A(e \cdot A) \cong \text{End}_A(\mathfrak{a}^m) \cong M_m(\text{End}_A(\mathfrak{a}))$$

Since D is division algebra, we have $m = 1$, i.e. $e \cdot A$ is minimal.

Let's now prove the uniqueness as follows: Let $A = M_n(D)$ and $A' = M_{n'}(D')$ where $n, n' \in \mathbb{N}_{>0}$ and D, D' are division algebras over K . We show $A \cong A' \Rightarrow D = D' \wedge n = n'$.

Let $\alpha : A \xrightarrow{\cong} A'$ denote the K -algebra isomorphism between A and A' .

$$\Rightarrow D \cong e \cdot A \cdot e \cong \text{End}_A(e \cdot A) \cong \text{End}_{A'}(\alpha(e \cdot A)) \text{ where } \alpha(e \cdot A) \text{ is a minimal } A'\text{-right ideal.}$$

$\Rightarrow D \cong \text{End}_{A'}(\alpha(e \cdot A)) \cong \text{End}_{A'}(e \cdot A') \cong a \cdot A' \cdot e \cong D'$
 $\Rightarrow D \cong D'$
 $\Rightarrow M_n(D) = A \cong A' = M_{n'}(D') \cong M_{n'}(D)$
 By dimension reasons, we get $n = n'$. □

Lecture on 2008-02-14

5.3 Structure theorem for semi-simple K -algebras

Definition

Let K be a field and A a finite dimensional K -algebra. The radical \mathcal{R} of A is defined as the union of all nilpotent, two-sided A -ideals.

Recall: \mathfrak{a} is nilpotent, if there exists $n \in \mathbb{N}$ such that $\mathfrak{a}^n = (0)$.

Lemma 5.3. (1) *Let A be a finite dimensional K -algebra. Then, every nilpotent right ideal is contained in a nilpotent 2-sided ideal.*

Proof:

Remark: Let $\mathfrak{a}, \mathfrak{b}$ be two nilpotent right ideals, w.l.o.g. $\mathfrak{a}^n = (0) = \mathfrak{b}^n$ for some $n \in \mathbb{N}$. Then, the sum $\Rightarrow \mathfrak{a} + \mathfrak{b}$ is also a nilpotent right ideal. (We just consider $(\mathfrak{a} + \mathfrak{b})^{2n}$. This power is a sum of products of \mathfrak{a} and \mathfrak{b} . Since \mathfrak{a} or \mathfrak{b} occur at least n times, every summand is (0) , i.e. $(\mathfrak{a} + \mathfrak{b})^{2n} = (0)$.)

Claim: $A \cdot \mathfrak{a}$ is a left ideal, which is nilpotent.

We have $(A \cdot \mathfrak{a})^n = \prod_{k=1}^n (A \cdot \mathfrak{a}) = A \cdot \prod_{k=1}^{n-1} (a \cdot A) \cdot \mathfrak{a} = A \cdot \mathfrak{a}^{n-1} \cdot \mathfrak{a} = A \cdot \mathfrak{a}^n = A \cdot (0) = (0)$.

Consider finally the two-sided ideal $\mathfrak{a}' = \mathfrak{a} + A \cdot \mathfrak{a}$.

By the initial remark, \mathfrak{a}' is also nilpotent and $\mathfrak{a}' \subseteq \mathfrak{a}$. □

Corollary 5.2. *Let A be a finite dimensional K -algebra.*

Then, the radical \mathcal{R} of A is given by the union of all nilpotent right-ideals of A .

Proof:

By Lemma 1, we have the inclusion

$\bigcup_{\mathfrak{a} \text{ right ideal, nilpotent}} \mathfrak{a} \subseteq \bigcup_{\mathfrak{a}' \text{ 2-sided, nilpotent}} \mathfrak{a}' \subseteq \bigcup_{\mathfrak{a}'' \text{ right ical, nilpotent}} \mathfrak{a}''$
 (All inclusionas are in fact equalities.) □

Definition

A finite dimensional K -algebra A is called semi-simple, if the radical \mathcal{R} of A is simple, i.e. $\mathcal{R} = (0)$.

Remark

Let A be a simple algebra. Then, we have $\mathcal{R} = (0)$, hence A is semi-simple.

We will see that, in general, the converse is not true.

Remark

Let A be a finite dimensional K -algebra and \mathcal{R} its radical. Then, one easily checks that \mathcal{R} is a 2-sided ideal. Hence, one can consider the factor algebra A/\mathcal{R} , which is a K -algebra.

Claim

The K -algebra A/\mathcal{R} is semisimple. **Proof:**

Let $\bar{\mathfrak{a}} \subseteq A/\mathcal{R}$ be two-sided and nilpotent, i.e. $\bar{\mathfrak{a}}^n = (\bar{0})$.

$\Rightarrow \mathfrak{a}^n \subseteq \mathcal{R}$

$\Rightarrow \mathfrak{a}^n$ is two-sided and nilpotent.

$\Rightarrow \mathfrak{a}$ is 2-sided and nilpotent.

$\Rightarrow \mathfrak{a} \subseteq \mathcal{R}$

$\Rightarrow \bar{\mathfrak{a}} = (\bar{0})$ □

Classification perspective

0. A is a finite dimensional K -algebra
1. Take the radical \mathcal{R} of A
2. $A_1 = A/\mathcal{R}$ is a semi-simple K -algebra
3. Classify semi-simple K -algebra in terms of simple K -algebras
4. Apply theorem 4 above

Definition (idempotent elements)

An element e satisfying $e^2 = e$ is called *idempotent*.

Lemma 5.4. (3) *Let A be a finite dimensional, semi-simple K -algebra and $\mathfrak{a} \neq (0)$ a minimal right-ideal in A .*

Then, there is an element $e \in \mathfrak{a}$ such that $e^2 = e$ (i.e. e is idempotent) and $\mathfrak{a} = e \cdot A$.

Proof:

We have $\exists a \in \mathfrak{a} : a \cdot \mathfrak{a} \neq (0)$. (Otherwise we had $\mathfrak{a}^2 = (0)$, i.e. \mathfrak{a} is nilpotent. Then, $\mathfrak{a} + A\mathfrak{a}$ would be a non-trivial, two-sided, nilpotent ideal in A , what contradicted the semi-simplicity of A .)

Now, consider the set $a \cdot \mathfrak{a} = \{a \cdot x \mid x \in \mathfrak{a}\} \subseteq \mathfrak{a} \cdot A = \mathfrak{a}$. Obviously, $a \cdot \mathfrak{a}$ is an A -right ideal. Since $a \cdot \mathfrak{a} \neq (0)$ and \mathfrak{a} is minimal, we must have $a \cdot \mathfrak{a} = \mathfrak{a}$.

$$\Rightarrow \exists e \neq 0 \in \mathfrak{a} : a \cdot e = a$$

$$\Rightarrow (a \cdot (e^2 - e)) = \underbrace{(a \cdot e - a)}_{=0} \cdot e = 0$$

$$\Rightarrow e^2 - e \in \mathfrak{b} = \{x \in \mathfrak{a} \mid a \cdot x = 0\}$$

$$\Rightarrow \mathfrak{b} \text{ is an } A\text{-right ideal and } \mathfrak{b} \subseteq \mathfrak{a}.$$

$$\Rightarrow \mathfrak{b} = (0) \text{ or } \mathfrak{b} = \mathfrak{a}$$

$$\Rightarrow \mathfrak{b} = (0), \text{ since } \mathfrak{b} \neq \mathfrak{a} \text{ (we have } e \notin \mathfrak{b}\text{)}.$$

$$\Rightarrow e^2 - e \in \mathfrak{b} = (0) \Rightarrow e^2 - e = 0 \Rightarrow e^2 = e$$

Finally, note:

$$a \cdot e = a \neq 0 \Rightarrow e \cdot A \subseteq \mathfrak{a} \text{ is a right ideal and can not be trivial, since } e \cdot A \ni a \neq 0.$$

$$\Rightarrow a \cdot A = \mathfrak{a}, \text{ since } \mathfrak{a} \text{ is minimal.} \quad \square$$

Lemma 5.5. (4) *Let A be finite dimensional, semi-simple K -algebra, $(0) \neq \mathfrak{a}$ a right ideal in A and $0 \neq e \in \mathfrak{a}$ idempotent, i.e. $e^2 = e$.*

Then, $\mathfrak{a} = e \cdot A \oplus \text{Ann}_{\mathfrak{a}}(e)$, where $\text{Ann}_{\mathfrak{a}}(e) = \{x \in \mathfrak{a} \mid e \cdot x = 0\}$.

Proof:

We have to show $\mathfrak{a} = e \cdot A + \text{Ann}_{\mathfrak{a}}(e)$ and $e \cdot A \cap \text{Ann}_{\mathfrak{a}}(e) = \{0\}$.

1. $\mathfrak{a} = e \cdot A + \text{Ann}_{\mathfrak{a}}(e)$:

Let be $a \in \mathfrak{a}$.

$$\Rightarrow e \cdot (a - e \cdot a) = e \cdot a - e^2 \cdot a = e \cdot a - e \cdot a = 0$$

$$\Rightarrow a - e \cdot a \in \text{Ann}_{\mathfrak{a}}(e)$$

$$a = \underbrace{e \cdot a}_{\in e \cdot A} + \underbrace{(a - e \cdot a)}_{\in \text{Ann}_{\mathfrak{a}}(e)}$$

$$\Rightarrow \mathfrak{a} \subseteq e \cdot A + \text{Ann}_{\mathfrak{a}}(e) \subseteq \mathfrak{a} + \mathfrak{a} = \mathfrak{a}$$

$$\Rightarrow \mathfrak{a} = e \cdot A + \text{Ann}_{\mathfrak{a}}(e)$$

2. $e \cdot A \cap \text{Ann}_{\mathfrak{a}}(e) = \{0\}$:

Let be $x \in e \cdot A \cap \text{Ann}_{\mathfrak{a}}(e)$. We want to show that $x = 0$.

$$x \in e \cdot A \Rightarrow x = e \cdot a, a \in A$$

$$x \in \text{Ann}_{\mathfrak{a}}(A) \Rightarrow e \cdot x = 0$$

$$\Rightarrow 0 = e \cdot x = e(e \cdot a) = e^2 \cdot a = e \cdot a = x$$

$$\Rightarrow x = 0 \Rightarrow e \cdot A \cap \text{Ann}_{\mathfrak{a}}(e) = \{0\}$$

$$\Rightarrow \mathfrak{a} = e \cdot A \oplus \text{Ann}_{\mathfrak{a}}(e) \quad \square$$

Proposition 5.1. (5) *Let be A a finite dimensional, semi-simple K -algebra and $(0) \neq \mathfrak{a}$ a right ideal in A .*

Then, we have $\mathfrak{a} = \bigoplus_{j=1}^n \mathfrak{a}_j$, where all $\mathfrak{a}_j \neq (0)$ are minimal right ideals in A .

Proof:

Since A is finite dimensional, the subvectorspace \mathfrak{a} is also finite dimensional over K , hence there exists a minimal, non-trivial right ideal $\mathfrak{a}_1 \subseteq \mathfrak{a}$.

Applying lemma 3 to \mathfrak{a}_1 gives $0 \neq e_1 \in \mathfrak{a}_1 : e_1^2 = e_1$ and $\mathfrak{a}_1 = e_1 \cdot A$.

Applying Lemma 4 to \mathfrak{a} , we get $\mathfrak{a} = \underbrace{e_1 \cdot A}_{=\mathfrak{a}_1} \oplus \underbrace{\text{Ann}_{\mathfrak{a}}(e_1)}_{=\mathfrak{b}_1}$, where $\mathfrak{b}_1 \subseteq \mathfrak{a}$ is a right ideal.

$\Rightarrow \mathfrak{a} = \mathfrak{a}_1 \oplus \mathfrak{b}_1$ where $\mathfrak{a}_1 \neq (0)$ is a minimal right ideal.

Now, there are two possibilities:

1. $\mathfrak{b}_1 = (0)$

In this case, we are done.

2. $\mathfrak{b}_1 \neq (0)$

If \mathfrak{b}_1 is minimal, we are done, too.

Let's consider the case that \mathfrak{b}_1 is not minimal.

We handle \mathfrak{b}_1 as \mathfrak{a} at the beginning.

$\Rightarrow \mathfrak{b}_1 = \mathfrak{a}_2 \oplus \mathfrak{b}_2$, where $(0) \neq \mathfrak{a}_2$ is minimal right ideal in A and \mathfrak{b}_2 is a right ideal in A .

We continue this way and get a sequence $\mathfrak{a}_1, \mathfrak{a}_2, \dots$. Since the dimension of \mathfrak{b}_j is strictly decreasing, the process has eventually to stop because of dimension reasons.

$$\Rightarrow \mathfrak{a} = \bigoplus_{j=1}^n \mathfrak{a}_j, \text{ where } \mathfrak{a}_j \neq (0) \text{ and } \mathfrak{a}_j \text{ is a minimal right-ideal.} \quad \square$$

Theorem 5.3. (6) *Let A be a finite dimensional, semi-simple K -algebra.*

Then, A is a direct sum of (finite dimensional) simple K -algebras, i.e. $A = \bigoplus_{j=1}^s A_j$ where the A_j are finite dimensional, simple K -algebras.

Proof:

Apply proposition 5 to $\mathfrak{a} = A$ to get $A \cong \bigoplus_{j=1}^s \mathfrak{a}_j^{n_j}$, where $\mathfrak{a}_1, \dots, \mathfrak{a}_s$ are pairwise non-isomorphic, non-trivial, minimal right ideals in A and $n_1, \dots, n_s \in \mathbb{N}_{>0}$.

Note: Since the \mathfrak{a}_j are minimal, for the set of right linear maps holds $\text{Hom}_A(\mathfrak{a}_j, \mathfrak{a}_k) = \{0\}$ for $j \neq k$.

We put $P_j = \mathfrak{a}_j^{n_j}$, which is an A -right-module.

Claim: $\text{End}_A(\bigoplus_{j=1}^s P_j) \cong \bigoplus_{j=1}^s \text{End}_A(P_j)$

Assume this for the moment.

We get

$$A \cong \text{End}_A(A) \cong \text{End}_A\left(\bigoplus_{j=1}^s P_j\right) \cong \bigoplus_{j=1}^s \text{End}_A(P_j) \cong \bigoplus_{j=1}^s \text{End}_A(\mathfrak{a}_j^{n_j}) \cong \bigoplus_{j=1}^s M_{n_j}(\text{End}_A(\mathfrak{a}_j))$$

Now, let's show the claim:

We define a K -linear map

$$\alpha : \text{End}_A\left(\bigoplus_{j=1}^s P_j\right) \rightarrow \bigoplus_{j=1}^s \text{End}_A(P_j)$$

given by

$$f \mapsto (p_1 \circ f \circ i_1, \dots, p_s \circ f \circ i_s)$$

Remember the maps

$$i_j : P_j \rightarrow \bigoplus_{r=1}^s sP_r \quad (p \mapsto (0, \dots, p, \dots, 0))$$

and

$$p_k : \bigoplus_{r=1}^s sP_r \rightarrow P_k \quad ((p_1, \dots, p_s) \mapsto p_k)$$

We show $\alpha(f \circ g) = \alpha(f) \circ \alpha(g)$.

For this note $g \circ i_j = \sum_{k=1}^s i_k \circ p_k \circ g \circ i_j = \sum_{k=1}^s i_k \circ \underbrace{(p_k \circ g \circ i_j)}_{=0, \text{ if } k \neq j} = i_j \circ p_j \circ g \circ i_j$. Furthermore, we

have $p_j \circ (f \circ g) \circ i_j = p_j \circ f \circ (g \circ i_j) = (p_j \circ f \circ i_j) \circ (p_j \circ g \circ i_j)$. This shows that α is a K -algebra homomorphism.

Now, we construct the potential inverse map $\beta : \bigoplus_{j=1}^s \text{End}_A(P_j) \rightarrow \text{End}_A\left(\bigoplus_{j=1}^s P_j\right)$, which is given by $(f_1, \dots, f_s) \mapsto \sum_{k=1}^s i_k \circ f_k \circ p_k$. β is K -linear and well-defined.

We check easily that $\alpha \circ \beta = \text{id}$. Finally, it's left to show that $\beta \circ \alpha = \text{id}$.

We have

$$\begin{aligned} \beta(\alpha(f)) &= \beta(p_1 \circ f \circ i_1, \dots, p_s \circ f \circ i_s) = \sum_{k=1}^s i_k \circ (p_k \circ f \circ i_k) \circ p_k \\ &= \left(\sum_{k=1}^s i_k \circ p_k\right) \circ f \circ \left(\sum_{k=1}^s i_k \circ p_k\right) = f \end{aligned}$$

$\Rightarrow \alpha$ and β are inverse to each other. □

Classification program

Let A be a finite dimensional K -algebra. We take $A' = A/\mathcal{R}$ as a finite dimensional, semi-simple K -algebra.

$\Rightarrow A' = \bigoplus_{j=1}^s A_j$ where the A_j are finite dimensional, simple K -algebras.

$\Rightarrow A_j \cong M_{n_j}(D_j)$ where the D_j are division algebras over K and $n_j \in \mathbb{N}_{>0}$.

$\Rightarrow A/\mathcal{R} \cong \bigoplus_{j=1}^s M_{n_j}(D_j)$

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