

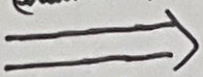
Notation of:  $\mathbb{R}^n \rightarrow \mathbb{R}^p$  smooth map. (without saying, it's auto sm  
 i.e.  $\exists U \subset \mathbb{R}^n : f: U \rightarrow \mathbb{R}^p$ , & denote (call down by) the domain of  $f$ .

•  $df_{x_0}(u) = \lim_{t \rightarrow 0} \frac{f(x_0 + tu) - f(x_0)}{t}$ ,  $\forall u \in \mathbb{R}^n$ .

Observe

only defined in a nbh of  $x_0$ .  
 the size of the nbh doesn't matter.

(want to define some algebra doesn't care about the nbh)



Def (Two maps)  $f, g: \mathbb{R}^n \rightarrow \mathbb{R}^p$  are called (said to be)

germ equivalent at (a pt)  $q \in \mathbb{R}^n$  if.

$q \in \text{dom}(f) \cap \text{dom}(g)$

2.  $\exists (\text{nbh}) U \ni q : f|_U = g|_U$

Rmk • Indeed equivalence relation.  $\{$  reflexive, symmetric, transitive.  $\}$

Def If  $\eta$  is such an equivalence class,

then  $\forall f \in \eta$  is called a representative of  $\eta$

~~can write  $\eta = [f]_q$~~

Nota Given  $f: \mathbb{R}^n \rightarrow \mathbb{R}^p$ ,  $q \in \text{dom} f$ .

$[f]_q$  denote the germ of  $f$  at  $q$  by  $[f]_q$

~~the germ of  $f$  at  $q$~~

Caution:  $[f]_q$  not a map  
 but an equiv class

write  $[f]_q: (\mathbb{R}^n, q) \rightarrow \mathbb{R}^p$  Every germ at  $q$  has the same value!

And we can still talk about  $[f]_q(1_0)$  (since def),  $df_q$  (since locally def), hence Taylor series.

("the set of all germs at the origin of smooth functions from  $\mathbb{R}^n$  to  $\mathbb{R}$ ")

Def  $\mathcal{E}_n := \{ [f]_0 \mid f: \mathbb{R}^n \rightarrow \mathbb{R}, 0 \in \text{dom}(f) \}$

Rank-Ring! (by define  $+ \& \cdot$  by  $+ \& \cdot$  mult. of representatives)

i.e. if  $\xi, \eta \in \mathcal{E}_n$   
 $\xi = [f]_0, \eta = [g]_0$

$U = \text{dom} f \cap \text{dom} g$

$\Rightarrow \xi + \eta := [f|_U + g|_U]_0, 0$  (Check well-definedness & ring axioms)

$\xi \cdot \eta := [f|_U \cdot g|_U]_0, 1$

Moreover, local ring  $\Leftarrow$  [Prop of A-ring &  $m \neq \{1\}$  an ideal of A s.t.  $\forall x \in A \setminus m, x$  is a unit inverse]

$\Rightarrow$  A is local ring w. max ideal  $m$

Here  $f^{-1} = \frac{1}{f}$  < only works when  $f(0) \neq 0$ .

Hence (let)  ~~$m_n := \{ [f]_0 \mid f(0) = 0 \} \triangleleft \mathcal{E}_n$~~

$m_n := \{ \eta \in \mathcal{E}_n \mid \eta(0) = 0 \} \triangleleft \mathcal{E}_n$

Since  $\eta$  is a germ at origin,  $\eta(0)$  is defined

Recall ~~ideal generated by a set of elements is~~

If  $\eta_1, \dots, \eta_r \in \mathcal{E}_n$ ,

then  $\langle \eta_1, \dots, \eta_r \rangle := \left\{ \sum_{j=1}^r a_j \eta_j \mid a_j \in \mathcal{E}_n \right\}$

Lazy notation

$f := [f]_0 : (\mathbb{R}^n, 0) \rightarrow \mathbb{R}$ .

And write  $\eta = x^2$  instead of  $\eta = [(x, y) \mapsto x^2]_0$ .

Computation of ideals

eg. let  $J = \langle x^2, x^2y + y^3 \rangle$

$\Rightarrow I = J$

$I = \langle x^2, y^3 \rangle$

1.  $x^2$  gen both  $I$  &  $J$

2.  $x^2y + y^3 = (y)x^2 + y^3 \in I \Rightarrow J \subset I$

$y^3 = (x^2y + y^3) - y(x^2) \in I \Rightarrow I \subset J$ .

"generating elements maybe different"

Notice  $x_1, \dots, x_n$  (i.e.  $[(x_1, \dots, x_n) \mapsto x_i]_0$ )  $\Rightarrow x_i \in \mathfrak{m}_n$ .  
all vanish at 0

Great!s.  $\mathfrak{m}_n = \langle x_1, \dots, x_n \rangle$ .

(Hadamard's lem).

Pf Let  $f \in \mathfrak{m}_n$ ,  $f$  a rep def on  $B(0, \epsilon)$  for some  $\epsilon > 0$ .  
By fundamental thm of calculus;

$$f(x_1, \dots, x_n) = \int_0^1 \frac{d}{dt} f(tx_1, \dots, tx_n) dt$$

Then from chain rule  $\frac{d}{dt} f(tx_1, \dots, tx_n) = \sum_{i=1}^n x_i \frac{\partial f}{\partial x_i}(tx_1, \dots, tx_n)$

$$\text{Hence } f(x_1, \dots, x_n) = \sum_{i=1}^n x_i \int_0^1 \frac{\partial f}{\partial x_i}(tx_1, \dots, tx_n) dt$$

$\in \langle x_1, \dots, x_n \rangle$

$\in \mathcal{E}_n$  (since  $\frac{\partial f}{\partial x_i}$  won't change the smoothness of function)

(Thanks for correction!)

Remark There's a generalized version of Hadamard's lem I didn't mention in the talk  
which says if  $I_{n+b} = m_n \cdot \epsilon_b$

$$\text{then } I_{n+b} = m_n = \langle x_1, \dots, x_n \rangle,$$

the pf is simply by adding  $b$  parameters behind,  
which is almost the same as the previous pf.

Define  $m_n^r := m_n \cdot m_n^{r-1}$ , for  $r \geq 2$ .

Cor  $m_n^r$  is generated by monomials of deg  $r$  in  $x_1, \dots, x_n$ .

Pf. By induction.  $r=1$ : Hadama's lem.

Suppose true for  $r \leq k-1$ :

Let  $f \in m_n^k = m_n \cdot m_n^{k-1}$

then  $f = \sum_j x_j g_j$ , where  $g_j \in m_n^{k-1}$

$= \sum_j x_j (\sum_i a_i \eta_i)$ , where  $a_i \in \mathbb{C}$   
 $\eta_i$  is a deg  $k-1$  monomial

$= \sum_{j,i} a_i (\underbrace{x_j \eta_i}_{\text{deg } k \text{ monomial}}) \in \langle \text{monomials of deg } k \text{ in } x_1, \dots, x_n \rangle =: M$

And in the same way can prove  $M \subseteq m_n^k$  □

Rmk • There're  $\binom{n+r-1}{r}$  deg  $r$  monomials in  $x_1, \dots, x_n$ .

• Can record monomials in  $\mathbb{R}[x,y]$  in a diagram by mapping

$$\begin{aligned} \{ \text{monomials in } \mathbb{R}[x,y] \} &\longrightarrow \mathbb{Z} \times \mathbb{Z}_{\geq 0} \\ x^a y^b &\longmapsto (a,b) \end{aligned}$$

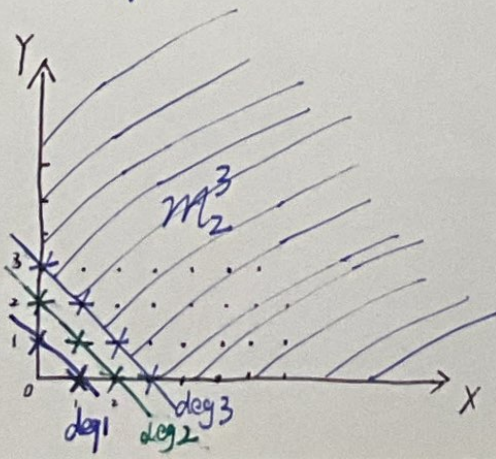
then

$$\begin{aligned} \{ \text{the generators of } m_2^r \} &\longrightarrow \{ \text{the pts in the line } X+Y=r \} \\ m_2^r &\longrightarrow \{ \text{the linear combination of the pts in and above the line } X+Y=r \} \end{aligned}$$

eg.

$m_2^2 = \langle y^2, xy, x^2 \rangle$

$m_2^3 = \langle y^3, xy^2, x^2y, x^3 \rangle$



Cor  $f \in \mathcal{E}_n$  is in  $m_n^r \iff f$  & all its partial derivatives of order  $< r$  vanish at the origin.

Pf By induction.  $r=1$ ,  $f \in m_n \iff f(0) = 0$  by def of  $m_n$ .

Now suppose true for  $r < k$ ,

" $\implies$ ": If  $f \in m_n^k = m_n \cdot m_n^{k-1}$

then  $f = gh$ , for some  $g \in m_n, h \in m_n^{k-1}$

Denote  $\alpha = (\alpha_1, \dots, \alpha_n)$

$$|\alpha| = \sum \alpha_i$$

$$\alpha! = \alpha_1! \cdots \alpha_n!$$

$$\text{Then } \frac{\partial^{|\alpha|} f}{\partial x_1^{\alpha_1} \cdots \partial x_n^{\alpha_n}}(0) = \frac{\partial^{|\alpha|} (gh)}{\partial x_1^{\alpha_1} \cdots \partial x_n^{\alpha_n}}(0)$$

Notice the right hand side is 0, since  $\frac{\partial^{|\alpha|-l} g}{\partial x_1^{\beta_1} \cdots \partial x_n^{\beta_n}}(0) = 0$  when  $l \geq 1$   
when  $|\alpha| = k-1$ ,  
for  $h \in m_n^{k-1}$

and

$$(\partial^{|\alpha|} g)(0) = 0 \text{ for } g \in m_n.$$

$$\text{Hence } \frac{\partial^{|\alpha|} f}{\partial x_1^{\alpha_1} \cdots \partial x_n^{\alpha_n}}(0) = 0.$$

" $\Leftarrow$ ": If  $f$  & all its partial derivatives of order  $< k$  vanish at the origin,  
then first by induction assumption,  $f \in m_n^{k-1} = \langle \text{deg } k-1 \text{ monomials in } x_1, \dots, x_n \rangle$ .

$$\text{Hence } f = \sum_{\substack{\alpha = (\alpha_1, \dots, \alpha_n) \\ |\alpha| = k-1}} a_\alpha h_\alpha, \text{ where } a_\alpha := x_1^{\alpha_1} \cdots x_n^{\alpha_n}, \\ h_\alpha \in \mathcal{E}_n$$

$$\text{Then } \alpha! h_\alpha(0) = \frac{\partial^{|\alpha|} f}{\partial x_1^{\alpha_1} \cdots \partial x_n^{\alpha_n}}(0) = 0 \text{ by assumption,}$$

$$\text{Hence } h_\alpha(0) = 0.$$

$$\implies h_\alpha \in m_n$$

$$\implies f \in m_n^{k-1} m_n = m_n^k.$$

Correction: In the talk, I used Taylor expansion to prove it, which is improper since  $f \in \mathcal{E}_n$  Sm. function germ maybe not-analytic, i.e. not equal to its Taylor expansion. □

Def Define the r-jet of a function  $f$  at the origin to be its Taylor series to deg  $r$ , denoted  $j^r f(0)(x_1, \dots, x_n)$ , i.e.

$$j^r f(0)(x_1, \dots, x_n) := \sum_{|\alpha| \leq r} \frac{1}{\alpha!} \frac{\partial^{|\alpha|} f}{\partial x_1^{\alpha_1} \dots \partial x_n^{\alpha_n}}(0) x_1^{\alpha_1} \dots x_n^{\alpha_n}$$

Rmk The previous condition becomes

$f \in \mathcal{M}_n^r \iff (r-1)$ -jet vanish.

eg. Let  $f(x, y) = e^{x+y}$ ,

then the 2-jet of  $f$  at the origin is

$$j^2 f(0)(x, y) = 1 + x + y + \frac{1}{2}x^2 + xy + \frac{1}{2}y^2,$$

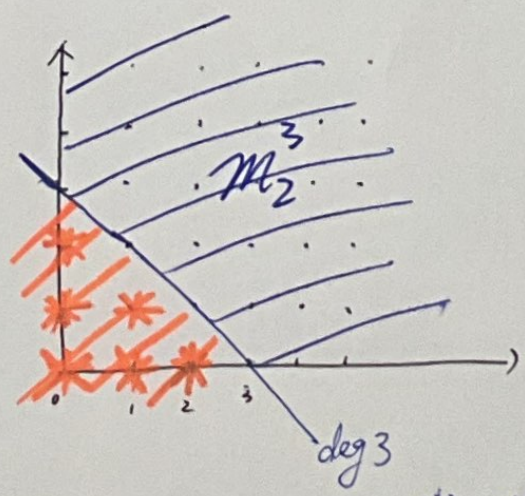
$x$  corresponds to  $(1, 0)$ .

Rmk • Can record more information in the previous diagram;

{ monoidal parts of the  $j^r (r-1)$ -jet }  $\rightarrow$  { the pts below the line  $X+Y=r-3$  }

eg.

monoidal parts of the 2-jet



• can identify the  $k$ -jet of a germ  $f \in \mathcal{E}_n$

with the image of  $f$  under the projection  $\text{Th}_k : \mathcal{E}_n \rightarrow \mathcal{E}_n / \mathcal{M}_n^{k+1}$

ring homomorphism w. kernel  $\mathcal{M}_n^{k+1}$

since  $k$ -jet is obtained by keeping the items up to  $k$  in the Taylor series and ignoring the rest, which can also be seen in the diagram.

Correction: In the talk, I said  $\mathcal{E}_n \longrightarrow \mathcal{E}_n$

$$f \longmapsto j^k f(0)(x_1, \dots, x_n)$$

is a ring homomorphism,

But it's **WRONG!**

eg.  ~~$j^1 g(0)(x_1, \dots, x_n) = g(0) + \sum_i \frac{\partial g}{\partial x_i}(0) x_i$~~

~~$j^2 g(0)(x_1, \dots, x_n) = g(0) + \sum_i \frac{\partial^2 g}{\partial x_i^2}(0) x_i^2$~~

For  $f, g \in \mathcal{E}_1$ ,

$$j^1 g(0)(x) = g(0) + \frac{\partial g}{\partial x}(0)x$$

$$j^1 f(0)(x) = f(0) + \frac{\partial f}{\partial x}(0)x$$

$$(j^1 f(0)(x)) (j^1 g(0)(x)) = f(0)g(0) + g(0)\frac{\partial f}{\partial x}(0)x + f(0)\frac{\partial g}{\partial x}(0)x + \boxed{\frac{\partial f}{\partial x}(0)\frac{\partial g}{\partial x}(0)x^2}$$

$$\text{But } j^1(fg)(0)(x) = (fg)(0) + \frac{\partial(fg)}{\partial x}(0)x$$

$$\neq f(0)g(0)$$

$$= f(0) \cdot g(0) + g(0)\frac{\partial f}{\partial x}(0)x + f(0)\frac{\partial g}{\partial x}(0)x$$

$$\neq (j^1 f(0)(x)) (j^1 g(0)(x))$$

Then I considered

~~But now~~ by mapping  $f \longmapsto j^k f(0)(x_1, \dots, x_n) + m_n^{k+1}$ ,

these two will be the same,

$$\text{i.e. } (j^1 f(0)(x)) (j^1 g(0)(x)) + m_1^{1+1} = j^1(fg)(0)(x) + m_1^{1+1}$$

But  $f$  might be some non-analytic monster (eg.  $\begin{cases} e^{-x}, & x > 0 \\ 0, & x \leq 0 \end{cases}$ )

then it's still not a ring homomorphism.

But  $\mathcal{E}_n \longrightarrow \mathcal{E}_n / m_n^{k+1}$  is always a surjective ring homomorphism for any ring and ideal,

$$f \longmapsto f + m_n^{k+1}$$

So just map  $f$  like that will be fine.

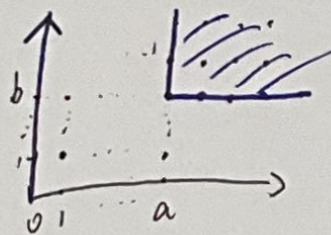


# Newton diagram

The previous diagram is called Newton diagram.

a) If  $I$  is an ideal generated by a single monomial, i.e.  $I = \langle x^a y^b \rangle$

then can record  $I$  as



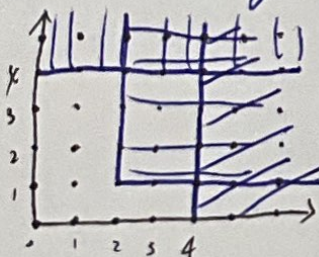
Since  $x^c y^d \in I \iff c \geq a \text{ \& } d \geq b$ ,

$I$  contains all monomials corresponding to  $(c, d)$  above and to the right of  $(a, b)$ .

b) If  $I$  is generated by several monomial generators,

then shade the regions of each generator.

eg. For  $I = \langle x^4, x^2 y, x^3 \rangle$ , the diagram is



c) If  $I$  is generated by ~~monomials~~ generators which are not monomials,

then you can choose not to draw the diagram,

but if you wanna draw, there're two steps:

Step 1. Find as many generators as possible and shade in corresponding regions;

Step 2. Illustrate in some way the fact that some of the unshaded monomials are related.

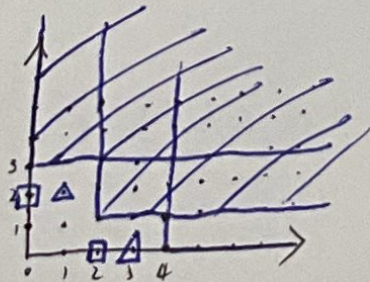
eg. For  $I = \langle x^2 y, x^2 + y^2 \rangle$

Step 1  $y^3 = (x^2 + y^2) \cdot y - x^2 y$

$x^4 = (x^2 + y^2) \cdot x^2 - (x^2 y) y$

and  $x^2 y$  are the generators of the monomial of the ideal

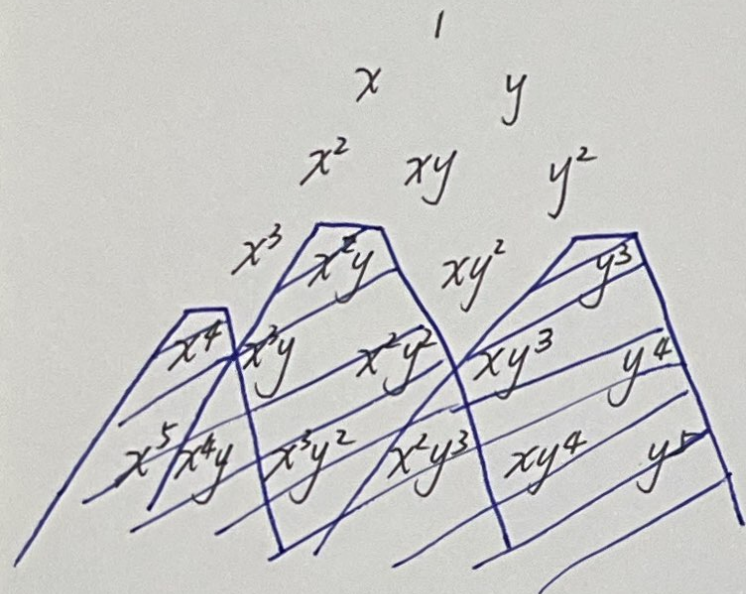
Step 2  $x^2$  and  $y^2$  are marked with small square,  $x^3$  and  $x y^2$  are marked with triangle, illustrating the fact that  $x^2 + y^2$  and  $x^3 + x y^2$  are in the ideal but not in the part generated by monomials.



Another to depict Newton diagram

by  $\mathbb{Q}$  & writing the monomials out:

eg. For  $I = \langle x^4, x^2y, x^3 \rangle$



WANT: To show some ideal  $C$  in another,

↑ especially for showing  $I$  is of finite codimension,  
want to show  $m_n^k \subseteq I$  for some  $k$ .

Need the help of Nakayama.

### Thm (Nakayama's lem)

Let  $R$  commutative ring

$M$  an ideal s.t.  $x \in M \Rightarrow 1+x$  is a unit in  $R$

$I, J$  ideals ~~of  $A$~~ , with  $I$  finitely generated.

important! so  $I$  only has finitely many generators.

then

$$I \subset J + MI \Rightarrow I \subset J$$

Pf. Let  $I = \langle a_1, \dots, a_r \rangle$

Since  $I \subset J + MI$ ,  ~~$\neq$~~

$$\Rightarrow \overset{u}{a_i} = b_i + \sum_j \lambda_{ij} a_j, \text{ for some } \lambda_{ij} \in M, b_i \in J$$

$$\Rightarrow \underbrace{\begin{pmatrix} a_1 \\ \vdots \\ a_n \end{pmatrix}}_{\vec{a}} = \underbrace{\begin{pmatrix} b_1 \\ \vdots \\ b_n \end{pmatrix}}_{\vec{b}} + \underbrace{\begin{pmatrix} \lambda_{11} & \dots & \lambda_{1n} \\ \vdots & & \vdots \\ \lambda_{n1} & \dots & \lambda_{nn} \end{pmatrix}}_{\Lambda} \begin{pmatrix} a_1 \\ \vdots \\ a_n \end{pmatrix}$$

$$\Rightarrow \vec{a} = \vec{b} + \Lambda \vec{a}$$

$$\Rightarrow (\mathbf{1} - \Lambda) \vec{a} = \vec{b}$$

Let  $\vec{a}$  can be written as something times  $\vec{b}$ , then we win.

So the problem becomes if  $(\mathbf{1} - \Lambda)$  is invertible.

Recall Having a formula for the inverse of a matrix over  $\mathbb{R}$  (or  $\mathbb{C}$ )

$$A^{-1} = \frac{1}{\det A} \text{adj}(A)$$

And we can have this formula for matrix over arbitrary ring  $R$  when  $R$  is commutative

and  $\frac{1}{\det A} \in R$ , which is the same as asking if  $\det A$  is invertible in  $R$ , i.e. if  $\det A$  is a unit in  $R$ .

Now back to the proof of Nakayama,

$$\det(\mathbb{I} - A) = \det \begin{pmatrix} 1 - \lambda_{11} & \lambda_{12} & \dots & \lambda_{1n} \\ -\lambda_{21} & 1 - \lambda_{22} & \dots & \lambda_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ -\lambda_{n1} & -\lambda_{n2} & \dots & 1 - \lambda_{nn} \end{pmatrix} = 1 + \underbrace{\left( \text{some multiplications and additions of } \lambda_{ij} \right)}$$

Since  $M$  is an ideal and  $\lambda_{ij} \in M$ , this thing is still an element of  $M$ , and we can call it  $\lambda$

$$= 1 + \lambda$$

Then by the assumption of  $M$ ,  $1 + \lambda$  is a unit in  $R$ .

Hence  $(\mathbb{I} - A)$  is invertible,

$$\vec{a} = (\mathbb{I} - A)^{-1} \vec{b}$$

Since every ~~star~~ generator of  $I$  can be written as some element in  $J$ ,

$$I \subseteq J$$

□

Remark Assume  $R$  to be a commutative ring since the inverse matrix formula needs it.

• If  $\mathfrak{m}$  is a maximal ideal of ~~any~~ a local ring  $R$  then  $x \in \mathfrak{m} \Rightarrow 1 + x$  unit in  $R$  (if not, then  $1 + x = y \in \mathfrak{m}$   
 $1 = y - x \in \mathfrak{m}$   $\square$ )

• ~~Remark~~ If  $A = B + MA$ , then  $A = B$  (forgot to mention it in the talk)

Come back to ring  $E_n$ , it's commutative (since  $(f \circ g)(a) = g(f(a))$ )  
 and maximal ideal here is  $m_n$ .

So now for  $I, J$  ideals of  $E_n$ , with  $I$  finitely generated

$$I \subset J + m_n I \Rightarrow I \subset J$$

is what we'll use from now on.

Prop If moreover  $I = J + m_n I$ ,

then  $I = J$

eg. Show  $m_2^5 \subset \langle x^3, y^3 + x^2 y^2 \rangle$ .

Let  $I = m_2^5$ ,

$J = \langle x^3, y^3 + x^2 y^2 \rangle$ ,

want to show  $I \subset J + m_2 I = J + m_2^6$

then apply Nakayama.

Denote  $\alpha := x^3$ ,

$\beta := y^3 + x^2 y^2$

then check each generator of  $I$  in turn:

$$\left. \begin{array}{l} y^2 \alpha = y^2 x^3 \\ x^2 \beta = x^2 (y^3 + x^2 y^2) = x^2 y^3 + x^4 y^2 \\ x^3 y^3 = x^3 y^3 \\ x^3 y^2 = x^3 y^2 \\ x^2 y = x^2 y \\ x^3 = x^3 \end{array} \right\} \text{all in the form of } r\beta + \alpha, \text{ with } r \in m_2^6 \left. \vphantom{\begin{array}{l} y^2 \alpha \\ x^2 \beta \\ x^3 y^3 \\ x^3 y^2 \\ x^2 y \\ x^3 \end{array}} \right\} \text{all } \in J + m_2^6 = J + m_2 I$$

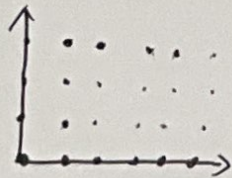
$\Rightarrow I \subset J + m_2 I$

Apply Nakayama we get  $I \subset J$ .

## Ideals of finite codimension

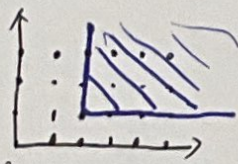
Observe  $E_n$  is a vector space but of infinite dimension,

e.g. For  $E_2$  all pts in  $\mathbb{Z}_{>0} \times \mathbb{Z}_{>0}$  is of its basis



Also  $\forall$  ideal  $I$  in  $E_n$  (except the zero ideal) is of infinite dim.

e.g. For  $I = \langle x^2 - y \rangle \triangleleft E_2$ , all the points in the shaded regions are the basis for  $I$ .



Hence we consider the thing between two infinite things, which may be finite.

Def An ideal  $I \triangleleft E_n$  is of finite codimension

if  $E_n/I$  is a finite-dimensional vector space.

Equivalently, if  $\exists$  a finite dimensional vector subspace  $V$  of  $E_n$

$$\text{s.t. } E_n = V + I.$$

$$\text{so } \forall g \in E_n,$$

$$g \text{ can be written as } g = v + h, \text{ with } v \in V,$$

$$h \in I.$$

Rmk fin. codim is closed under addition since if  $E_n = V + I$ ,  $E_n = W + J$ , then  $E_n = (V+W) + I+J$ .

Def A cobasis for an ideal  $I$  of finite codimension in  $E_n$

is a linearly independent set of elements  $\{h_1, \dots, h_r\} \subset E_n$

$$\text{s.t. } E_n = \mathbb{R}\{h_1, \dots, h_r\} \oplus I.$$

Notation.  $\mathbb{F}\{v_1, v_2, \dots, v_r\} = \left\{ \sum_{j=1}^r \lambda_j v_j \mid \lambda_j \in \mathbb{F} \right\}$  called the span over  $\mathbb{F}$  of  $v_1, \dots, v_r$  in  $V$ .

• the direct sum  $\oplus$  means  $\forall f \in E_n, \exists!$  choice of  $a_1, \dots, a_r \in \mathbb{R}$  and  $g \in I$  for which  $f = \sum_j a_j h_j + g$

eg.  $m_n$  is of fin. codim in  $E_n$  since

$$E_n = \mathbb{R} + m_n$$

This is because  $\forall f \in E_n$ ,

$$f(0) \in \mathbb{R}$$

Now we let  $\bar{f} = f - f(0)$

$$\text{then } \bar{f}(0) = f(0) - f(0) = 0 \Rightarrow \bar{f}(0) \in m_n$$

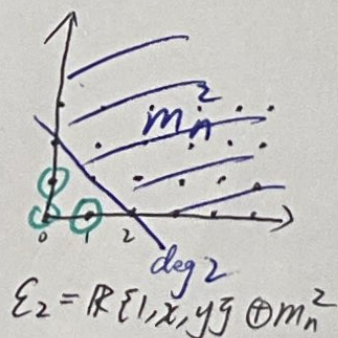
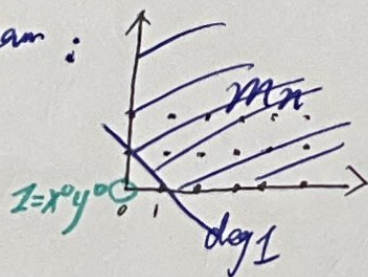
Then  $f$  can be written as

$$f = f(0) + \bar{f} \in \mathbb{R} \oplus m_n$$

Rmk • Moreover,  $E_n = \mathbb{R} \oplus m_n$  since this decomposition is unique,

so  $\{1\}$  is a cobasis for  $m_n \triangleleft E_n$ ,

which can be also seen in the diagram:



• Similarly  $E_n = \mathbb{R}\{1, x_1, x_2, \dots, x_n\} \oplus m_n^2 \Rightarrow m_n^2$  is of fin. codim,

Let  $V_r := \{ \text{all polynomials of } \text{deg} < r \}$

then by Taylor's theorem

$$E_n = V_r \oplus m_n^r \Rightarrow m_n^r \text{ is of fin. codim.}$$

Now we have  $m_n^r$  is of fin. codim,  $\forall r \in \mathbb{Z}_{>0} = \mathbb{N}$ ,

How to know if an arbitrary ideal is of fin. codim?

Prop An ideal  $I \triangleleft E_n$  is of fin.  $\Leftrightarrow \exists r \in \mathbb{N}$ : s.t.  $m_n^r \subset I$ .

Pf " $\Leftarrow$ ": Suppose  $m_n^r \subset I$

Define  $W$  to be  $\mathbb{R}$ -vector space spanned by monomials of  $\deg < r$ .

Let  $f \in E_n$ ,  $f_r :=$  the Taylor series of  $f$  to  $\deg r-1 = \sum_{i=0}^{r-1} f^{(i)} x^i$

$$\bar{f} := f - f_r$$

Then  $\sum_{i=0}^{r-1} \frac{f^{(i)} x^i}{i!} \neq 0$ .

by (\*) get  $\bar{f} \in m_n^r$

Hence  $f = f_r + \bar{f} \in W + m_n^r \subset W + I$

So  $E_n = W + I$ .

Since  $\dim W < \infty$ ,  $I$  is of fin. codim.

" $\Rightarrow$ ": In this direction we'll use Nakayama.

Suppose  $I \triangleleft E_n$  is of fin. codim,

$\forall r > 0$ , define  $I_r = I + m_n^r$ , which is of fin. codim since  $m_n^r \subset I + m_n^r$   
(also can be got by both  $I$  and  $m_n^r$  is of fin. codim)

Then  $r \uparrow, I_r \downarrow$ :

$$I_1 \supset I_2 \supset \dots \supset I_{r-1} \supset I_r \supset I_{r+1} \supset \dots \supset I$$

Since  $I_r$  is of fin. codim,

$c_r := \dim(E/I_r)$  is finite, and it follows that

$$0 \leq c_1 \leq c_2 \leq \dots \leq c_{r-1} \leq c_r \leq c_{r+1} \leq \dots \leq c := \dim(E/I)$$

Since  $I$  is of fin. codim,  $c$  is finite.

Hence  $\exists k: c_k = c_{k+1}$ .

Then since  $I_k \supset I_{k+1}$  & they have the same codim,

$$I_k = I_{k+1}, \text{ i.e. } I + m_n^k = I + m_n^{k+1}$$

$$\text{Then } m_n^k \subset I + m_n^k = I + m_n^{k+1} = I + m_n \cdot m_n^{k+1}$$

Applying Nakayama, get  $m_n^k \subset I$

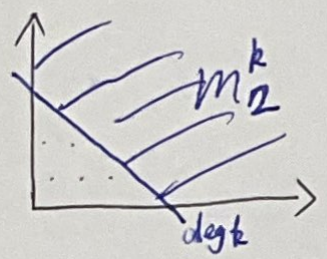
□



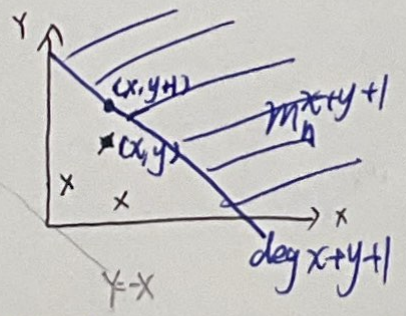
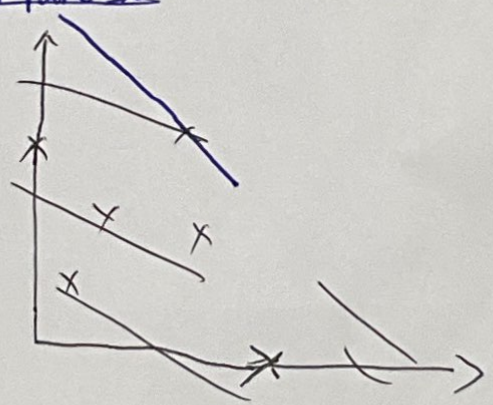
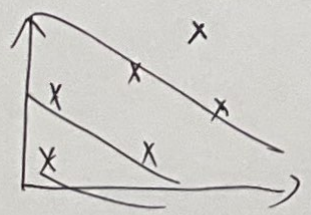
Rmk • fin. codim is closed under multiplication since if  $I \supset m_n^r$   $J \supset m_n^k$  then  $IJ \supset m_n^{r+k}$

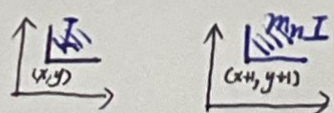
• can also see the pf of the Prop by the diagram

" $\Leftarrow$ ": If  $I$  contains the shaded region, then ~~has~~ only has finitely many lefty pts outside  $I$ , which is the cobasis of  $I$



" $\Rightarrow$ ": If there're only fin. many pts outside  $I$ , then take the pt which is the most far away from  ~~$I$~~ ,  $Y = -X$  and call it  $(x, y)$  and ~~take~~  $x$  then  $m_n^{x+y+1} \subset I$ .



• can also see monomial version Nakayama in the diagram.  $m_n I$  is  $I$  move one to the right and one to the up. eg. . Hence if  $I \subset J + m_n I$ ,  $J$  needs to contain the pt  $(x, y)$ . And once  $J \ni (x, y)$ ,  $J \supset I$ .

Rmk If  $J \triangleleft R[x_1, \dots, x_n]$  is of fin. codim, — polynomial ring of n variable

then let  $I \triangleleft E_n$  be the ideal generated by the generators of  $J$  (can do so since  $R[x_1, \dots, x_n]$  is noetherian, every ideal is fin. gen.)

$I$  is also of finite codim in  $E_n$ , and a cobasis for  $J$  in  $R$  is also a cobasis for  $I$  in  $E_n$

## Geometric criterion for fin. codim

For algebraically closed field, we have Nullstellensatz,

and for an analytic function germ  $f \in \mathcal{E}_n$ , <sup>sm. function germs.  $\mathbb{R}^n \rightarrow \mathbb{R}$ . at origin</sup>

$f$  can be extended uniquely to a function germ from  $\mathbb{C}^n \rightarrow \mathbb{C}$ ,

simply by replacing the  $x_i$  occurring in the Taylor series of  $f$  with complex variables  $z_i$ .

• Notation:  $\mathcal{R} :=$  the ring of germs at 0 of complex analytic functions.

• If  $f \in \mathcal{R}$ , and  $f$  is represented by a complex analytic function  $\tilde{f}: \mathbb{C}^n \rightarrow \mathbb{C}$  then define  $V_{\mathbb{C}}(f) := \{z \in \mathbb{C}^n \mid \tilde{f}(z) = 0\} / \sim$ ,

where  $X \sim Y$  if for some nbh  $U$  of origin,  
 $X \cap U = Y \cap U$

Note.  $V_{\mathbb{C}}(f)$  is well-defined, i.e. independent of the choice of the representative of germ  $f$ .

• If  $I = \langle h_1, \dots, h_k \rangle \triangleleft \mathcal{R}$ ,

$V_{\mathbb{C}}(I) := V_{\mathbb{C}}(h_1) \cap \dots \cap V_{\mathbb{C}}(h_k) = \{z \in \mathbb{C}^n \mid h_1(z) = \dots = h_k(z) = 0\} / \sim$

Note  $V_{\mathbb{C}}(I)$  is also well-defined.

• For subset  $X \subseteq \mathbb{C}^n$ ,

$I_0(X) := \{f \in \mathcal{R} \mid V_{\mathbb{C}}(f) \supseteq X\}$ .

### Thm (Analytic Nullstellensatz / Ruckert's Nullstellensatz)

If  $I \subseteq \mathcal{R}$ , then  $\sqrt{I} = I_0(V_{\mathbb{C}}(I))$ , where  $\sqrt{I} := \{x \mid \exists k \in \mathbb{N}: x^k \in I\}$

We'll just use it without proof.

Cor If  $I \triangleleft \mathcal{R}$ , with the property that  $V_{\mathbb{C}}(I) = \{0\}$ , i.e.  $\exists$  nbh  $U$  of origin, s.t.  $V_{\mathbb{C}}(I) \cap U = \{0\} \cap U = \{0\}$ ,

then  $I$  is of fin. codim in  $\mathcal{R}$ .

pf  $V_{\mathbb{C}}(I) = \{0\} \xrightarrow{\text{Nullstellensatz}} \sqrt{I} = I_0(V_{\mathbb{C}}(I)) = I_0(\{0\}) = \{f \in \mathcal{R} \mid V_{\mathbb{C}}(f) \supseteq \{0\}\}$   
 $= \{f \in \mathcal{R} \mid f(0) = 0\} = \mathfrak{m}_0$

Thus  $\exists n_j: x_j^{n_j} \in I, \forall j \in \{1, \dots, n\}$ . Let  $k = \max_j n_j$ , then  $\mathfrak{m}_0^k \subset I$ , so  $I$  is of fin. codim  $\square$

Correction In the talk I wrongly said by Nullstellensatz  $\sqrt{0} = m_n$ .

(it's true, but not given by Nullstellensatz, true by  $\bigcap_{\text{prime ideal } p} p = \sqrt{0}$ , and  $m_n$  is the only maximal ideal (hence prime) in  $R$ )

And this information doesn't help to prove. (I might also say  $\sqrt{0}$  in the talk, which is nonsense).

Rmk Important to take  $V(I)$  in  $\mathbb{C}^n$  but not in  $\mathbb{R}^n$ ,

or have eg.  $I = \langle x^2 + y^2 \rangle \subset m_2$  ~~but~~ not have fin. codim.

For  ~~$V_{\mathbb{R}}$~~  although  $V_{\mathbb{R}}(I) = \{0\}$ ,

$V_{\mathbb{C}}(I) = \{ (x, y) \mid x = \pm iy \}$  consists of two complex lines,  
so the origin is not isolated.

Still unknown for me By the corollary of Nullstellensatz,

we can have

~~$V_{\mathbb{C}}(I) = \{0\} \Rightarrow I$~~  is of fin. codim in  $\mathbb{R}$   
 $\nearrow$   
complex analytic function germ,

but I don't know ~~how to prove~~ <sup>if it implies that</sup>  $I$  is of fin. codim in  $\mathbb{C}^n$ ,

But let's assume it's so.

Thm (Geometric criterion)

Let  $I = \langle h_1, \dots, h_k \rangle \subset m_n$  be an ideal generated by finitely many analytic germs

Then

$I$  has fin. codim in  $\mathbb{C}^n \iff V_{\mathbb{C}}(I) = \{0\}$

Pf. " $\Leftarrow$ ": assumed to be true.

" $\Rightarrow$ ":

~~$V_{\mathbb{C}}(I) = \{0\}$~~   
 $I$  has fin. codim  $\iff \exists r \in \mathbb{N} : m_n^r \subset I$

Hence  $V_{\mathbb{C}}(m_n^r) \subseteq V_{\mathbb{C}}(I) \subseteq V_{\mathbb{C}}(m_n^r) = \{0\}$

□